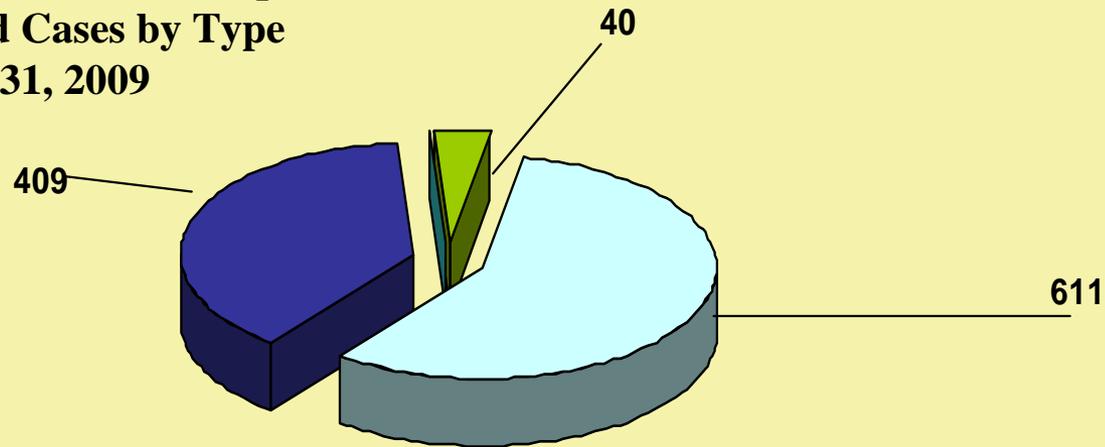


CMS Enforcement Statistics Report
Open and Closed Cases by Type
As of March 31, 2009

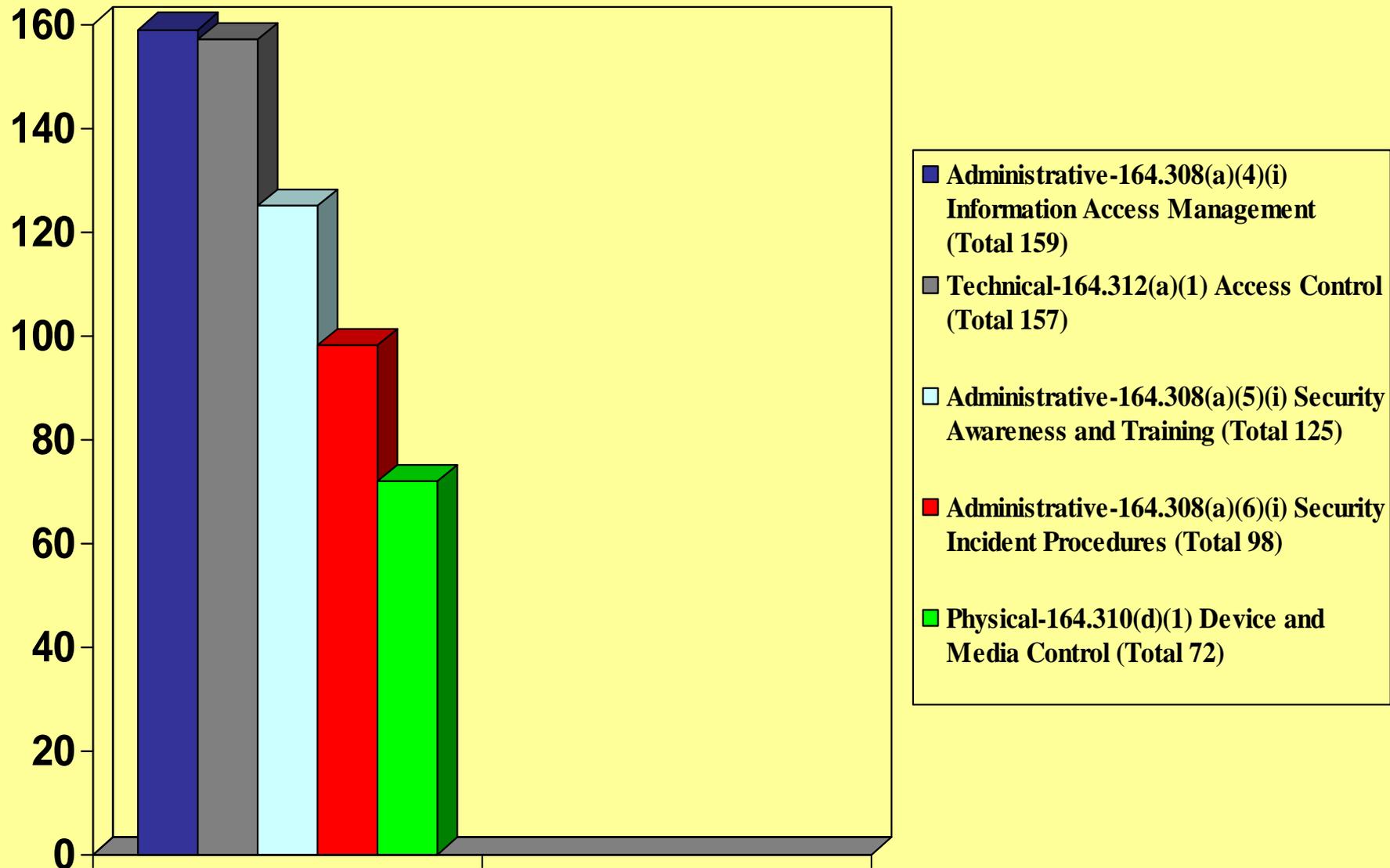


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	611	47	564
Security	409	78	331
National Provider Identifier (NPI)	40	7	33
Total	1,060	132	928

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved. Please note that 46 of the 331 security cases have been closed via corrective action plans.

Summary of Most Commonly Violated Security Provisions



- A single security complaint can allege violations of all the provisions listed.
- The number of provisions listed do not correlate to the total number of security complaints.
- The total number of Administrative provisions represented on this slide, 382; Technical 157, and Physical 72.