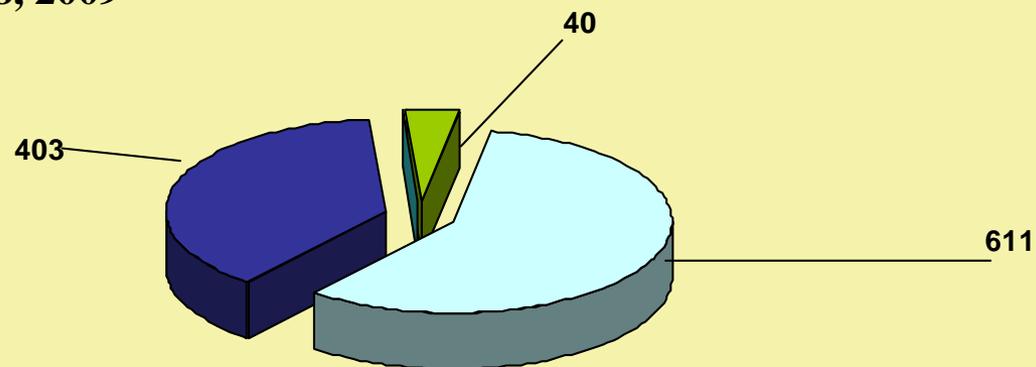


CMS Enforcement Statistics Report

Open and Closed Cases by Type

As of February 28, 2009

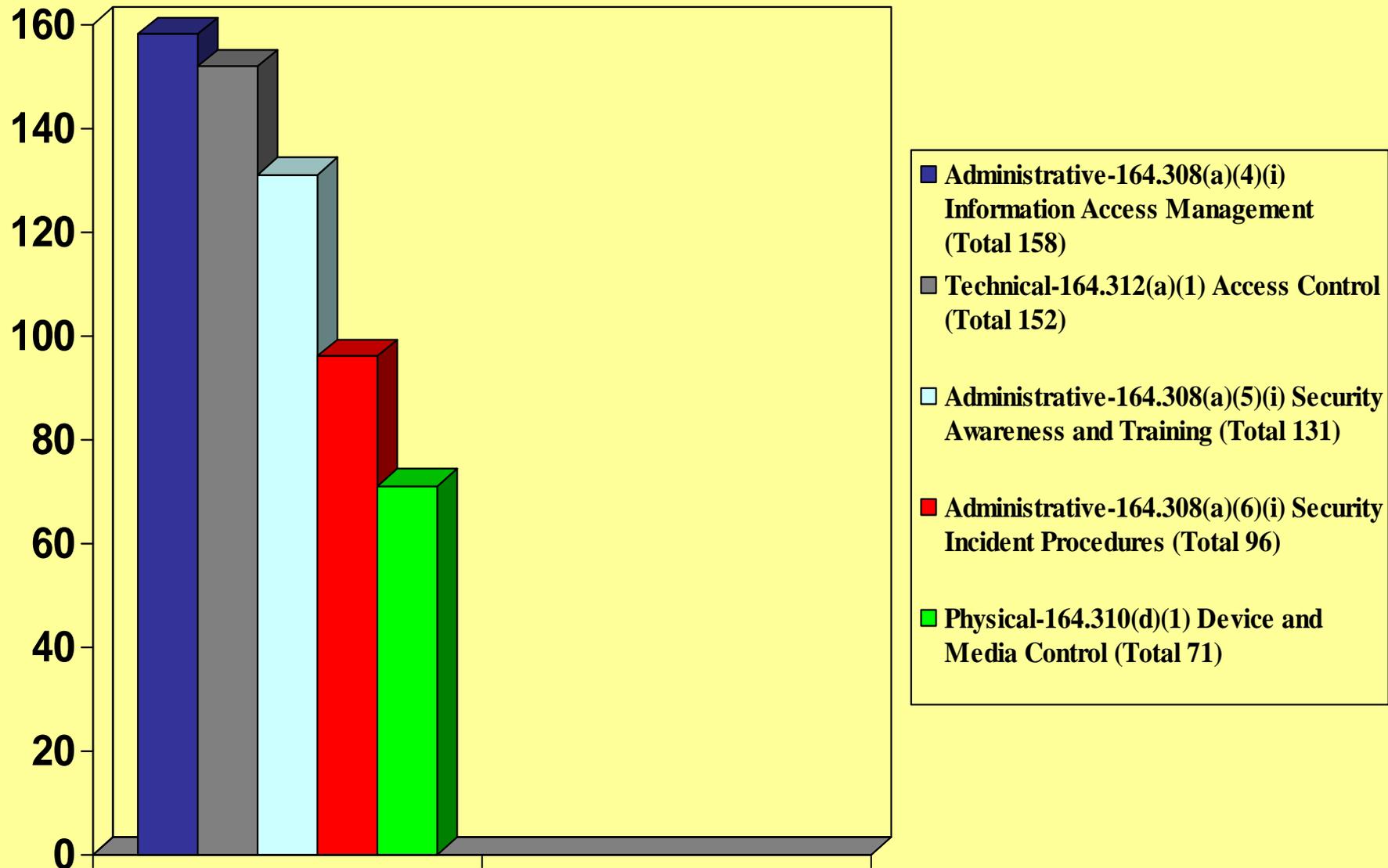


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	611	53	558
Security	403	84	319
National Provider Identifier (NPI)	40	10	30
Total	1,054	147	907

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved. Please note that 46 of the 403 security cases have been closed via corrective action plans.

Summary of Most Commonly Violated Security Provisions



-A single security complaint can allege violations of all the provisions listed.

-The number of provisions listed do not correlate to the total number of security complaints.

-The total number of Administrative provisions represented on this slide, 385; Technical 152, and Physical 71.