

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-08 Medicare Program Integrity	Centers for Medicare & Medicaid Services (CMS)
Transmittal 180	Date: DECEMBER 22, 2006
	Change Request 5412

SUBJECT: Sources of Data for PSCs

I. SUMMARY OF CHANGES: MACs were added to the PIM as sources of data for the PSCs.

MANUALIZATION/CLARIFICATION

EFFECTIVE DATE: January 22, 2007

IMPLEMENTATION DATE: January 22, 2007

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
R	2/2.3/Sources of Data for PSCs

III. FUNDING:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

Business Requirements

Manual Instruction

**Unless otherwise specified, the effective date is the date of service.*

Attachment - Business Requirements

Pub. 100-08	Transmittal: 180	Date: December 22, 2006	Change Request: 5412
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SUBJECT: Sources of Data for PSCs

Effective Date: January 22, 2007

Implementation Date: January 22, 2007

I. GENERAL INFORMATION

A. Background: MACs were added in chapter 2, §2.3 of the PIM as sources of data for the PSCs.

B. Policy: N/A

II. BUSINESS REQUIREMENTS TABLE

Use "Shall" to denote a mandatory requirement

Number	Requirement	Responsibility (place an "X" in each applicable column)										
		A / B M A C	D M E M A C	F I I E R	C A R R I E R	D M E R C	R H I	Shared-System Maintainers				OTHER
								F I S S	M C S	V M S	C W F	
5412.1	The PSCs shall be responsible for obtaining data for all beneficiaries for whom the AC(s) or MAC(s) paid the claims.											PSCs
5412.2	If the jurisdiction of the AC(s) or MAC(s) is not defined geographically, the PSC shall obtain a complete beneficiary claims history for each unique beneficiary for whom the AC(s) or MAC(s) paid a claim.											PSCs
5412.3	The PSCs may, if agreement and cooperation of the AC(s) or MAC(s) are obtained, use data directly from the claims processing system of the AC(s) or MAC(s), and then supplement the other data using NCH.											PSCs
5412.4	In developing the plan the PSCs shall address the above requirements and, at a minimum, establish read-only access to the AC's or MAC's shared claims processing system(s) and access the Part A, B, and D data available through NCH for the jurisdictional area defined in the task order.											PSCs
5412.5	The PSC shall also work with the AC(s) or MAC(s) to obtain denial data and document the process for obtaining this data from the AC(s) or MAC(s) in the Joint Operating Agreement. At a minimum, the denial data shall include data for edits that were requested and/or recommended by the PSC.											PSCs

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)										
		A / B M A C	D M E M A C	F I I E R	C A R R I E R	D M E R C	R H I	Shared-System Maintainers				OTHER
								F I S S	M C S	V M S	C W F	
	None.											

Number	Requirement	Responsibility (place an "X" in each applicable column)												
		A	D	F	C	D	R	Shared-System Maintainers				OTHER		
		/	M	I	A	M	H	F	M	V	C			
		B	E		R	R	E	H	I	S	S	S	W	
		M	M		I	R	C			S	S	S	F	
		A	A		E									
		C	C		R									

IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the box below:
 Use "Should" to denote a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
	N/A

B. For all other recommendations and supporting information, use the space below:

V. CONTACTS

Pre-Implementation Contact(s): Kimberly Downin, Kimberly.Downin@cms.hhs.gov

Post-Implementation Contact(s): Kimberly Downin, Kimberly.Downin@cms.hhs.gov

VI. FUNDING

A. For TITLE XVIII Contractors, use only one of the following statements:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

B. For Medicare Administrative Contractors (MAC), use only one of the following statements:

The contractor is hereby advised that this constitutes technical direction as defined in your contract. We do not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in excess of the amounts specified in your contract unless and until specifically authorized by the contracting officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the contracting officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

2.3 – Sources of Data for PSCs

(Rev. 180, Issued: 12-22-06; Effective/Implementation Dates: 01-22-07)

The PSCs' approach for combining claims data (fiscal intermediary, regional home health intermediary, carrier, and durable medical equipment regional carrier data) and other data to create a platform for conducting complex data analysis shall be documented in their Information Technology Systems Plan. By combining data from various sources, the PSC will present an entire picture of a beneficiary's claim history regardless of where the claim was processed. The primary source of this data will be the CMS National Claims History (NCH). The PSC shall be responsible for obtaining data for all beneficiaries for whom the AC(s) *or MAC(s)* paid the claims.

The PSCs are required to store at a minimum the most recent 36 months worth of data (including Part A, Part B, and DMERC) for the jurisdiction defined in their task order.

If the jurisdiction of the AC(s) *or MAC(s)* is not defined geographically, the PSC shall obtain a complete beneficiary claims history for each unique beneficiary for whom the AC(s) *or MAC(s)* paid a claim.

EXAMPLE 1: The AC(s) *or MAC(s)* jurisdiction being competed covers Maryland but includes a hospital chain with facilities in Montana. The PSC would request claims history from NCH for all claims paid by the AC(s) *or MAC(s)*.

EXAMPLE 2: The AC(s) *or MAC(s)* jurisdiction being competed covers Maryland, a beneficiary lives in Pennsylvania, and the beneficiary saw a doctor in Maryland. The PSC would request claims history from NCH for all claims paid by the AC(s) *or MAC(s)*.

The PSCs will not be able to tap data from the Common Working File (CWF). The CMS Office of Information Services (OIS) has advised that this methodology for obtaining data will not be allowed.

The PSCs may, if agreement and cooperation of the AC(s) *or MAC(s)* are obtained, use data directly from the claims processing system of the AC(s) *or MAC(s)*, and then supplement the other data using NCH.

In developing this plan the PSCs shall address the above requirements and, at a minimum, establish read-only access to the AC's *or MAC's* shared claims processing system(s) and access to the Part A, B, and D data available through the NCH for the jurisdictional area defined in the Task Order. The PSC shall also work with the AC(s) *or MAC(s)* to obtain denial data and document the process for obtaining this data from the AC(s) *or MAC(s)* in the Joint Operating Agreement. At a minimum, the denial data shall include data for edits that were requested and/or recommended by the PSC.

The PSC must have the ability to receive, load, and manipulate CMS data. The data must also be maintained in accordance with CMS and Federal privacy laws and regulations as described in the CMS Data Use Agreement. For planning purposes, the PSCs should

assume that there are 30 claims per HIC per year, on average. A claim record is about 1000 bytes. To calculate the storage space necessary, use the following formula:

$$\#HICs \times 30 \text{ claims} \times \#years \times 1000 = \#bytes$$

The CMS Government Task Leaders (GTL) and PSC will need to complete:

- Data Use Agreement to give permission to receive privacy protected data.
- Data Request form to specify all data required by the PSC.
- HDC Application for HDC access and/or CMS systems' access to get access to the data center and/or to specify which CMS systems the PSC will access.
- DESY system application form. (This is provided to the PSC post-award.)

Information on data files, including file layouts and data dictionaries, is available at <http://cms.hhs.gov/data/purchase/default.asp>.