

Crosswalk of the Provisions in the Regulation and the SCHIP Template

Location in Template	Description	Regulatory Provision	State Action & Effective Date (A/U)**
Page 2	Signature of Governor or designee.	§457.40(b)	
Page 2*	Name of state officials responsible for the program.	§457.40(c)	
Section 1.1	Program options.	§457.70	
Section 1.2*	Assurance expenditures will not be claimed before state has legislative authority to operate plan/amendment.	§457.40(d)	
Section 1.3*	Civil rights assurance.	§457.130	
Section 1.4*	Effective & implementation dates.	§457.65	
Section 2.1	Extent of creditable coverage.	§457.80(a)	
Section 2.2	State efforts to provide or obtain creditable coverage.	§457.80(b)	
Section 2.3 - <i>Previously 4.4.5</i>	State efforts to coordinate title XXI with other programs.	§457.80(c)	
Section 3.1*	Description of the methods of delivery.	§457.490(a)	
Section 3.2*	Description of the utilization controls.	§457.490(b)	
Section 4.1*	Eligibility standards used by the state.	§457.305(a) §457.320(a)	
Section 4.2	Prohibited eligibility standards.	§457.320(b)	
Section 4.3	Eligibility screening and facilitation of Medicaid enrollment.	§457.350	
Section 4.3.1*	Description of state's policies on enrollment caps & wait lists.	§457.305(b)	
Section 4.4.1*	Description of procedures that assure only targeted low-income children are furnished coverage.	§457.80(c)(3) §457.350(a)(1)	
Section 4.4.2*	Description of procedures that assure Medicaid application process is initiated for children found to be potentially eligible through the screening process.	§457.350(a)(2)	
Section 4.4.3*	Description of procedures that assure SCHIP application process is initiated for children determined ineligible for Medicaid.	§431.636(b)(4)	
Section 4.4.4*	Assurance that coverage provided does not substitute for coverage under group health plans.	§457.805 §457.810(a-c)	
Section 4.4.5*	Provision of child health assistance to AI/AN children.	§457.125(a)	
Section 5*	Description of outreach efforts.	§457.90	

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Location in Template	Description	Regulatory Provision	State Action & Effective Date
Section 6.1*	Health benefits coverage options (benchmark, benchmark equivalent, etc.).	§457.410(a)	
Section 6.1.1	Benchmark coverage	§457.420	
Section 6.1.2	Benchmark-equivalent coverage	§457.430 §457.431	
Section 6.1.3	Existing comprehensive state-based coverage	§457.440	
Section 6.1.4*	Secretary-approved coverage	§457.450	
Section 6.2	Identify the services that will be provided (inpatient services, outpatient services, etc.).	§457.402 §457.490	
Section 6.3* <i>Previously 8.6</i>	Assurances regarding pre-existing condition exclusions.	§457.480	
Section 6.4.1	Cost effective coverage through a community-based health delivery system.	§457.1005(a),(b)	
Section 6.4.2*	Purchase of family coverage.	§457.1010	
Section 7.1	Description of methods used to assure quality and appropriateness of care.	§457.495(a)	
Section 7.2*	Description of methods used to assure access to covered services.	§457.495	
Section 8.1	Does the state impose cost-sharing on any children?	§457.505	
Section 8.2*	Description of the amount of cost-sharing imposed.	§457.505(a) §457.510(b),(c) §457.515(a),(c)	
Section 8.3*	Description of public notice regarding cost-sharing.	§457.505(b)	
Section 8.4*	Assurances regarding cost-sharing.	§457.515(f) §457.520 §457.530	
Section 8.5*	Cumulative cost-sharing maximum (5% cap).	§457.505(e) §457.560(a)	
Section 8.6*	Description of procedures used to ensure AI/AN children will be excluded from cost-sharing.	§457.535	
Section 8.7*	Disenrollment protections.	§457.505(c) §457.570	
Section 8.8*	Limitations on certain payments for certain expenditures.	§457.220 §457.224 §457.475 §457.622(b)(5) §457.626(a)(1)	
Section 9.1	Description of strategic objectives.	§457.710(b)	
Section 9.2	Performance goals for strategic objectives.	§457.710(c)	

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Section 9.3	Description of performance measures.	§457.710(d)	
Location in Template	Description	Regulatory Provision	State Action & Effective Date
Section 9.4	State assurance regarding data collection, records and reports	§457.720	
Section 9.5	Annual Report	§457.750	
Section 9.6	Assurance state will allow access to records for the purpose of audits.	§457.720	
Section 9.7	Assurance state will modify performance measures to meet national requirements.	§457.710(e)	
Section 9.8*	Assurance of compliance with other provisions.	§457.135	
Section 9.9*	Public involvement in program development - including public notice for amendments that eliminate or restrict eligibility.	§457.120 §457.65(b-d)	
Section 9.10*	Budget	§457.140	
Section 10.1*	Annual reports and evaluations.	§457.750	
Section 10.2	Assurance state will comply with future reporting requirements as they are developed.	§457.710(e)	
Section 10.3	Assurance state will comply with all applicable Federal laws and regulations.		
Section 11.1*	Procurement standards.	§457.940(b)	
Section 11.2* <i>Previously 9.8</i>	Sanctions and related penalties.	§457.935(b)	
Section 12.1*	Description of review process for eligibility and enrollment matters.	§457.1120 §457.1130(a)	
Section 12.2*	Description of review process for health services matters.	§457.1120 §457.1130(b)	
Section 12.3*	Premium assistance programs.	§457.1120 §457.1190	

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