

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 2216	Date: December 28, 2018
	Change Request 11037

SUBJECT: Clarification of Part B Recovery Audit Contractor (RAC) Appeals Case File Sharing Process

I. SUMMARY OF CHANGES: Pursuant to a Medicare Administrative Contractors (MACs) and Recover Audit Contractor (RAC) consistency work group formed to standardize the case file request/transfer/naming convention process, CMS issued change request (CR) 10369 to enhance and standardize these processes to benefit both the MACs and the RACs. CMS has since become aware that clarification of the Part B appeals case file sharing process as outlined in CR 10369 is required. This CR clarifies that correspondence shall be shared by MCS on the daily report but adjustments shall not.

EFFECTIVE DATE: July 1, 2019

**Unless otherwise specified, the effective date is the date of service.*

IMPLEMENTATION DATE: July 1, 2019

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Attachment - One-Time Notification

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EFFECTIVE DATE: July 1, 2019

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IMPLEMENTATION DATE: July 1, 2019

I. GENERAL INFORMATION

A. Background: Pursuant to a Medicare Administrative Contractors (MAC) and Recover Audit Contractor (RAC) consistency workgroup formed to standardize the case file request/transfer/naming convention process, CMS issued Change Request (CR) 10369 to enhance and standardize these processes to benefit both the MACs and the RACs. CMS has since become aware that clarification of the Part B appeals casefile sharing process as outlined in CR 10369 is required. This CR clarifies that correspondence shall be shared by MCS on the daily report but adjustments shall not.

B. Policy: N/A

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility							
		A/B MAC		D M E M A C	Shared- System Maintainers				Other
		A	B		F I S S	M C S	V M S	C W F	
11037.1	The contractor shall request Case Files from the RAC using the new daily report defined in requirement BR 10369.8 found within CR 10369.		X						RAC
11037.2	The contractor shall use the new daily report to include all pending appeal correspondence as well as those added to MCS since the last population of the daily file. NOTE: The adjustments shall not be displayed on the daily report.						X		
11037.3	The contractor shall use the new daily report to include all Clerical Reopenings and Redetermination Appeals correspondence until the correspondence is finalized.						X		

Number	Requirement	Responsibility									
		A/B MAC		H H H	D M E M A C	Shared- System Maintainers				Other	
		A	B			F I S S	M C S	V M S	C W F		
11037.4	The contractor shall ensure testing of the new daily appeal case file request is complete prior to implementation. Because this is a clarification to CR 10369, with the implementation of this CR, all CR 10369 BRs related to the Part B daily appeal case file request process shall now be implemented.		X								RAC

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility									
		A/B MAC		H H H	D M E M A C	Shared- System Maintainers				Other	
		A	B			F I S S	M C S	V M S	C W F		
	None										

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Ashley Badami, 410-786-0828 or Ashley.Badami@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

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be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 0