

## **MDS DATA SPECIFICATIONS VERSION 1.10**

**March 25, 2000**

Version 1.10 of the MDS data specifications has been developed with the primary purpose of implementing a new, expanded MDS Correction Policy nationally in April 2000. A detailed "MDS Correction Policy Provider Manual" will be completed and posted on the HCFA World Wide Web site in the near future. This manual will present detailed description of the new Correction Policy, provider instructions for implementing that policy, and item-by-item instructions for a new Correction Request Form.

In Version 1.10, new data records and fields have been added to support the new Correction Policy. Along with the new correction policy, this version also implements enhanced record rejection. All field range errors and most field formatting and consistency errors have been elevated to fatal errors. It is anticipated that the new correction policy coupled with enhanced record rejection will improve data accuracy in MDS databases at the facility, State, and federal levels.

Another important change with Version 1.10 is the addition of requirements to report whether the resident's unit at the time of the MDS event (assessment, discharge, or reentry) is Medicare/Medicaid certified or not and also to report whether the facility thinks that the State requires MDS submission if the unit is non-certified. These new requirements will allow the State to determine if MDS data is submitted when such submission is prohibited and would violate the resident's privacy rights. (More details are provided in the section entitled "Submission of MDS Records for Residents on Non-Certified Units" below.)

Other changes with Version 1.10 include (1) revision of record locking and submission timing requirements that will be outdated with the new correction policy, (2) addition of new field consistency requirements for the depression items E1 and E2, (3) addition of new field consistency requirements for the Activities of Daily Living (ADLs) in MDS items G1 and G2, (4) addition of new field consistency requirements for the ulcer items in M1 and M2, and (5) correction of several errors and inconsistencies found in Version 1.03 of the data specifications.

The new correction policy and enhanced record rejection are being tested in a pilot project in the State of Washington in late 1999 and early 2000. Two special versions of the data specifications, Versions 1.04 and 1.05, have been developed for use in the Washington pilot project. Versions 1.04 and 1.05 are only being used in the State of Washington until April 2000. In April 2000, Version 1.10 will be implemented in all States nationally.

Version 1.10 of the data specifications is appropriate for use with the MDS 2.0 Assessment and Tracking Forms dated 1/30/98 and with a new MDS 2.0 Correction Request Form. The new Correction Request Form for national implementation in April 2000 is not available at the present time. It is expected to be finalized and released by the Health Care Financing Administration (HCFA) in the near future. A copy of the pilot project Correction Request Form being used in the State of Washington is being distributed in the file CRFM105B.PDF with Version 1.10 of the data specifications. It is anticipated that the national form will be quite similar to the Washington pilot form (more details are provided in the section entitled "MDS Correction Request Form For National Implementation" below).

A more detailed description of the changes that are being made from Version 1.03 to Version 1.10 can be found in the separate document *CH103\_10.PDF*. (For participants in the Washington Pilot Project, a separate document *CH105\_10.PDF* details the changes from Version 1.05 to Version 1.10.)

### **DOWNLOAD FILES AVAILABLE WITH VERSION 1.10**

Note that these download and component files are each briefly described in the next section ("Descriptions of Individual Data Specifications Files").

Additional Version 1.10 download file available on 12/17/1999:

mdb110.exe (564 K) a self extracting ZIP file containing the Microsoft Access database named MDS110.mdb, the database used to generate the detailed and abbreviated data specifications for the Header, Data and Trailer records for Version 1.10.

#### **Original Version 1.10 Download Files Available 11/29/1999:**

D\_DT110A.PDF (616 KB)

D\_DT110B.PDF (701 KB)

D\_DT110C.PDF (493 KB)

D\_SM110.PDF (1016 KB)

MDS110.EXE (535 KB)

Self extracting ZIP file containing:

SPDOC110.PDF  
CORPL110.PDF  
CFRM105B.PDF  
PRVMN105.PDF  
CH103\_10.PDF  
CH105\_10.PDF  
H\_DT110.PDF  
H\_SM110.PDF  
T\_DT110.PDF  
T\_SM110.PDF  
MDS\_D110.DBF  
MDS\_D110.ASC  
MDS\_H110.DBF  
MDS\_H110.ASC  
MDS\_T110.DBF  
MDS\_T110.ASC

**Additional Version 1.10 Download File Available on 12/17/1999:**

MDB110.EXE (564 K)  
Self extracting ZIP file containing:  
MDS110.MDB

**Additional Version 1.10 Download File Available on 03/25/2000:**

MDS110E.EXE (360 K)  
Self extracting ZIP file containing:  
PRVMN300.PDF  
RA32000B.PPT

**DESCRIPTIONS OF INDIVIDUAL DATA SPECIFICATIONS FILES**

**NOTE:**

Most of the documents available with version 1.10 are Adobe Acrobat files with a "PDF" extension. You must have the Adobe Acrobat reader to view and print these files. The Adobe Acrobat reader can be downloaded and distributed for free and is available from many sites on the Internet including the following:

<http://www.adobe.com>

PrvMn300.pdf (available 3/25/2000)

"Draft Provider Instructions for Making Automated Corrections Using the New MDS Correction Request Form"

This is the version of the provider instructions for use when the new MDS Correction Policy is implemented in late April of 2000. This version (revised in March 2000) replaces the prior draft document (PrvMn105.pdf) posted on 11/29/1999. These Provider Instructions present revised MDS policies for national implementation. Revised polices include new procedures for correcting MDS records, revised locking of MDS records, and revised submission timing for MDS records. The document provides detailed instructions for correcting MDS records and item-by-item instructions for the MDS 2.0 Correction Request Form. (63 pages)

Printing Note: This document should be printed using Adobe Acrobat. Because of the flowcharts in the document, there have been some problems with printing the document with printers with limited memory. If you have problems, try printing the document in segments. Try printing pages 1 through 23 in one pass, and then pages 24 to 63 in a second pass.

Ra32000b.ppt (available 3/25/2000)

Power Point presentation on MDS Correction Policy from the RAI conference in January 2000, later revised in March 2000. (31 pages)

MDS110.mdb (available 12/17/1999)

The Microsoft Access database used to generate the detailed and abbreviated data specifications for the Header, Data and Trailer records for Version 1.10.

SpDoc110.pdf (available 11/29/1999)

"Data Specifications for the MDS 2.0 (1/30/98 Update): Version 1.10"

General documentation for Version 1.10 concerning MDS 2.0 correction policy, record locking, submission files and submission timing, record layouts, item names, record types, date consistency, record sequencing and timing, and documents available. (45 pages)

CorPL110.pdf (available 11/29/1999)

"MDS Correction Policy to Be Implemented With Version 1.10 of the Standard Data Specifications for the MDS 2.0"

Brief overview of the new MDS correction policy to be implemented nationally in April 2000 with Version 1.10 of the data specifications. (5 pages)

**Note:** The Provider Instruction document named PrvMn300. pdf (available 3/25/2000) presents a updated detailed description of the new Correction Policy, provider instructions for implementing that policy, and item-by-item instructions for the Correction Request Form.

PrvMn105.pdf (available 11/29/1999)

"MDS Correction Policy Provider Manual, Version 1.0" for use in the State of Washington Pilot Project.

This is the Correction Policy Provider Manual for use in the Washington Correction Policy Pilot Project. This Provider Manual presents revised MDS policies implemented in the Washington Pilot Project. Revised polices include new procedures for correcting MDS records, revised locking of MDS records, and revised submission timing for MDS records. The manual provides detailed instructions for correcting MDS records and item-by-item instructions for the MDS 2.0 Correction Request Form. (44 pages)

**Note:** The Provider Instruction document named PrvMn300. pdf (available 3/25/2000) presents a updated detailed description of the new Correction Policy, provider instructions for implementing that policy, and item-by-item instructions for the Correction Request Form.

CFrm105B.pdf (available 11/29/1999)

"Correction Request Form for the Washington Pilot Project"

This is the Correction Request Form to be used with the new MDS correction policy in the Washington Correction Policy Pilot Project. (1 page)

**Note:** A different version of the Correction Request Form will be published for national implementation of the new MDS Correction Policy, anticipated in April 2000. The national version of the form is anticipated to contain exactly the same data items in the same order as the Washington Pilot form (CFRM105B.PDF). The only changes expected are correction of one spelling error ("reentry" instead of "rentry") and addition of form attestation language (where the box labeled "Attestation text here" appears on the Washington Pilot form).

Ch103\_10.pdf (available 11/29/1999)

"Changes with Version 1.10 of the MDS 2.0 Data Specifications"

Summary of the changes made with Version 1.10 (relative to Version 1.03) of the data specifications (17 pages).

Ch105\_10.pdf (available 11/29/1999)

"Changes with Version 1.10 of the MDS 2.0 Data Specifications"

Summary of the changes made with Version 1.10 (relative to Version 1.05) of the data specifications (6 pages).

D\_Dt110A.pdf (available 11/29/1999)

"Data Record Layout for Submission from the Nursing Home to the State" Pages 1 to 80 (part 1 of 3)

First part of a detailed field by field description of the MDS data record for a submission file, including field length, type, picture and other formatting information; range and consistency requirements; field status (active, inactive, blank, etc.) on different Record Types; and other information. (80 pages)

D\_Dt110B.pdf (available 11/29/1999)

"Data Record Layout for Submission from the Nursing Home to the State" Pages 81 to 160  
(part 2 of 3)

Second part of a detailed field by field description of the MDS data record for a submission file, including field length, type, picture and other formatting information; range and consistency requirements; field status (active, inactive, blank, etc.) on different Record Types; and other information. (80 pages)

D\_Dt110C.pdf (available 11/29/1999)

"Data Record Layout for Submission from the Nursing Home to the State" Pages 161 to 217 (part 3 of 3)

Third part of a detailed field by field description of the MDS data record for a submission file, including field length, type, picture and other formatting information; range and consistency requirements; field status (active, inactive, blank, etc.) on different Record Types; and other information. (62 pages)

D\_Sm110.pdf (available 11/29/1999)

"Abbreviated Data Record Layout for Submission from the Nursing Home to the State"

An abbreviated (summary) field by field description of the data record for a submission file, including field length, type, picture; range; and field status (active, inactive, blank, etc.) on different Record Types. (36 pages)

H\_Dt110.pdf (available 11/29/1999)

"Header Record Layout for Submission from the Nursing Home to the State"

A detailed field by field description of the header record for a submission file, including field length, type, picture and other formatting information; range and consistency requirements; and other information. (7 pages)

H\_Sm110.pdf (available 11/29/1999)

"Abbreviated Header Record Layout for Submission from the Nursing Home to the State"

An abbreviated (summary) field by field description of the header record for a submission file, including field length, type, picture, and range. (2 pages)

T\_Dt110.pdf (available 11/29/1999)

"Trailer Record Layout for Submission from the Nursing Home to the State"

A detailed field by field description of the trailer record for a submission file, including field length, type, picture and other formatting information; range and consistency requirements; and other information. (1 page)

T\_Sm110.pdf (available 11/29/1999)

"Abbreviated Trailer Record Layout for Submission from the Nursing Home to the State"

An abbreviated (summary) field by field description of the trailer record for a submission file, including field length, type, picture, and range. (1 page)

MDS\_D110.dbf (available 11/29/1999)

A DBASE format file which gives field by field layout information for all fields in the DATA record layout used for submission of MDS data from the nursing home to the state.

MDS\_H110.dbf (available 11/29/1999)

A DBASE format file which gives field by field layout information for all fields in the HEADER record layout used for submission of MDS data from the nursing home to the state.

MDS\_T110.dbf (available 11/29/1999)

A DBASE format file which gives field by field layout information for all fields in the TRAILER record layout used for submission of MDS data from the nursing home to the state.

MDS\_D110.asc (available 11/29/1999)

An ASCII format file which gives field by field layout information for all fields in the DATA record layout used for submission of MDS data from the nursing home to the state.

MDS\_H110.asc (available 11/29/1999)

An ASCII format file which gives field by field layout information for all fields in the HEADER record layout used for submission of MDS data from the nursing home to the state.

MDS\_T110.asc (available 11/29/1999)

An ASCII format file which gives field by field layout information for all fields in the TRAILER record layout used for submission of MDS data from the nursing home to the state.