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# CMS Manual System

## Pub. 100-05 Medicare Secondary Payer

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Department of Health &  
Human Services (DHHS)  
Centers for Medicare &  
Medicaid Services (CMS)

Transmittal 9

Date: February 6, 2004

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### CHANGE REQUEST 3116

**I. SUMMARY OF CHANGES:** The current version of the Health Insurance Portability and Accountability Act (HIPAA) transaction and code sets contains individual relationship codes that are not recognized by the CMS' Common Working File (CWF). Through this change, CMS is issuing a crosswalk that will be used by the shared systems to convert incoming HIPAA individual relationship codes, reported on incoming electronic or direct data entry (DDE) or hard copy claims, to the patient relationship to the insured codes that are recognized by CWF.

The manual instructions accompanying this Change Request specify the actions that Medicare intermediaries should take both before and upon the implementation of the required shared system changes. Carriers and Durable Medical Equipment Regional Carriers (DMERCs) currently utilize manual processes to create MSP "I" (Investigational) auxiliary records. At carrier and DMERC shops, these processes are executed without regard to HIPAA individual relationship codes that may be present on incoming claims. Therefore, the business requirements and manual instructions herein provided do not apply to them.

**NEW/REVISED MATERIAL - EFFECTIVE DATE: July 1, 2004**

**\*IMPLEMENTATION DATE: July 6, 2004**

*Disclaimer for manual changes only: The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

## II. CHANGES IN MANUAL INSTRUCTIONS:

**(R = REVISED, N = NEW, D = DELETED)**

<b>R/N/D</b>	<b>CHAPTER/SECTION/SUBSECTION/TITLE</b>
<b>R</b>	6/ Table of Contents
<b>N</b>	6/70/ Conversion of HIPAA Individual Relationship Codes to CWF Patient Relationship Codes for the Creation of MSP HUSP Transactions

**III. FUNDING:**

**\*These instructions shall be implemented within your current operating budget.**

**IV. ATTACHMENTS:**

<b>X</b>	<b>Business Requirements</b>
<b>X</b>	<b>Manual Instruction</b>
	<b>Confidential Requirements</b>
	<b>One-Time Notification</b>
	<b>Recurring Update Notification</b>

**\*Medicare contractors only**

# Business Requirements

Pub. 100-05	Transmittal: 9	Date: February 6, 2004	Change Request 3116
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**SUBJECT: Converting Health Insurance Portability and Accountability Act (HIPAA) Individual Relationship Codes to Common Working File (CWF) Patient Relationship Codes**

## I. GENERAL INFORMATION

**A. Background:** Through the issuance of program transmittal 1881 (Change Request 2655), you received instruction on the various patient relationship to the insured codes that should be used prior to and on and after October 16, 2003. CMS has determined that the logic for its CWF MSP auxiliary file is not equipped to properly associate the newly established patient relationship codes (termed "Individual Relationship Codes"), as found in the HIPAA 837 Institutional and/or Professional Implementation Guides (version 4010), with each corresponding MSP type code, such as working aged (A), end-stage renal disease (ESRD) [B], and disability (G). CMS must ensure that the appropriate patient relationship codes are paired with their corresponding MSP Type Codes for purposes of creating accurate MSP auxiliary records. Medicare intermediaries shall follow the procedures detailed in the Business Requirements section with respect to conversion of HIPAA Individual Relationship Codes to CWF Patient Relationship Codes. Providers that bill intermediaries shall continue to submit the HIPAA individual relationship codes, as directed by the National Uniform Billing Committee (NUBC).

Currently, Carriers and DMERCs establish MSP "I" (Investigational) auxiliary records via manual processes. Therefore, they continue to apply CWF patient relationship codes when creating such records, independent of what alternative values may appear on an incoming claim.

**B. Policy:** The Medicare Part A contractor system will update the MSP auxiliary file using the CWF patient relationship code values rather than the HIPAA individual relationship codes.

**C. Provider Education:** None. Providers that bill intermediaries were already instructed to bill the HIPAA individual relationship codes on incoming claims.

## II. BUSINESS REQUIREMENTS

**NOTE:** APASS is waived from implementation of these requirements in light of the completion of the transition of APASS users to FISS by May 2004.

Requirement #	Requirements	Responsibility
Ch. 6, Sec. 70 3116-1	Your contractor system shall convert incoming HIPAA individual relationship codes to the CWF patient relationship code equivalent values found in the attachment.	Intermediaries, FISS

Ch. 6, Sec. 70 3116-2	You shall allow for the storing of CWF patient relationship codes in your internal MSP control files, since these files should be populated with information sent back to the contractors' systems via the automated HUSC transaction.	Intermediaries
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### III. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

#### A. Other Instructions: N/A

X-Ref Requirement #	Instructions

#### B. Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

#### C. Interfaces: N/A

#### D. Contractor Financial Reporting /Workload Impact: N/A

#### E. Dependencies: N/A

#### F. Testing Considerations: N/A

### IV. SCHEDULE, CONTACTS, AND FUNDING

See Attachment

<b>Effective Date: July 1, 2004</b> <b>Implementation Date: July 6, 2004</b> <b>Pre-Implementation Contact(s): Brian Pabst (410-786-2487) and Olivia White (410-786-6565)</b> <b>Post-Implementation Contact(s): MSP regional office contact</b>	<b>These instructions shall be implemented within your current operating budget</b>
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**Attachment**

**HIPAA Individual Relationship Codes/ CWF Patient  
Relationship Codes Conversion Chart**

<i>HIPAA Individual Relationship Codes</i>	<i>Convert To CWF Patient Relationship Codes</i>	<i>Valid Values</i>
18	01	<i>Patient is Insured</i>
01	02	<i>Spouse</i>
19	03	<i>Natural Child, Insured has financial responsibility</i>
43	04	<i>Natural Child, insured does not have financial responsibility</i>
17	05	<i>Step Child</i>
10	06	<i>Foster Child</i>
15	07	<i>Ward of the Court</i>
20	08	<i>Employee</i>
21	09	<i>Unknown</i>
22	10	<i>Handicapped Dependent</i>
39	11	<i>Organ donor</i>
40	12	<i>Cadaver donor</i>
05	13	<i>Grandchild</i>
07	14	<i>Niece/Nephew</i>
41	15	<i>Injured Plaintiff</i>
23	16	<i>Sponsored Dependent</i>
24	17	<i>Minor Dependent of a Minor Dependent</i>
32,33	18	<i>Parent</i>
04	19	<i>Grandparent</i>
53	20	<i>Life Partner</i>
29	N/A	<i>Significant Other</i>
30	N/A	??
31	N/A	??
36	N/A	??
G8	N/A	??
<i>Other HIPAA Individual Relationship Codes</i>	<i>N/A</i>	<i>??</i>

# Medicare Secondary Payer (MSP) Manual

## Chapter 6 - Medicare Secondary Payer (MSP) CWF Process

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### Table of Contents

*(Rev. 9, 2-6-04)*

#### Crosswalk to Old Manuals

*70 -- Converting Health Insurance Portability and Accountability Act (HIPAA) Individual Relationship Codes to Common Working File (CWF) Medicare Secondary Payer (MSP) Patient Relationship Codes*

#### ***70—Converting Health Insurance Portability and Accountability Act (HIPAA) Individual Relationship Codes to Common Working File (CWF) Medicare Secondary Payer (MSP) Patient Relationship Codes***

***(Rev. 9, 2-6-04)***

*CMS has realized that its Common Working File (CWF) HUSP transaction does not allow for the correct association of HIPAA individual relationship codes, as found in the HIPAA 83 7 (version 4010) Institutional and Professional Claims Implementation Guides, with corresponding MSP Type Codes, such as working aged (A), end-stage renal disease (B), and disability (G). Therefore, effective July 6, 2004, all intermediaries that receive incoming electronic HIPAA, DDE, or hard copy claims that are in the HIPAA ANSI X-12 format shall convert the incoming individual relationship codes to their equivalent CWF patient relationship codes. Until further notice, intermediaries shall continue to operate under the working assumption that all providers will be including HIPAA individual relationship codes on incoming claims.*

*Before CMS' systems changes are effectuated, intermediaries may receive SP edits (i.e., SP-33 and SP-52) that indicate that an invalid patient relationship code was applied. Intermediaries are to resolve those edits by manually converting the HIPAA individual relationship code to the CWF patient relationship code, as specified in the Conversion Chart below.*

*The Part A contractor system shall utilize the Conversion Chart, found below, to cross-walk incoming HIPAA individual relationship codes to the CWF patient relationship code values.*

<i>HIPAA Individual Relationship Codes</i>	<i>Convert To CWF Patient Relationship Codes</i>	<i>Valid Values</i>
<i>18</i>	<i>01</i>	<i>Patient is Insured</i>
<i>01</i>	<i>02</i>	<i>Spouse</i>
<i>19</i>	<i>03</i>	<i>Natural Child, Insured has financial responsibility</i>
<i>43</i>	<i>04</i>	<i>Natural Child, insured does not have financial responsibility</i>
<i>17</i>	<i>05</i>	<i>Step Child</i>
<i>10</i>	<i>06</i>	<i>Foster Child</i>
<i>15</i>	<i>07</i>	<i>Ward of the Court</i>
<i>20</i>	<i>08</i>	<i>Employee</i>
<i>21</i>	<i>09</i>	<i>Unknown</i>
<i>22</i>	<i>10</i>	<i>Handicapped Dependent</i>
<i>39</i>	<i>11</i>	<i>Organ donor</i>
<i>40</i>	<i>12</i>	<i>Cadaver donor</i>
<i>05</i>	<i>13</i>	<i>Grandchild</i>
<i>07</i>	<i>14</i>	<i>Niece/Nephew</i>
<i>41</i>	<i>15</i>	<i>Injured Plaintiff</i>
<i>23</i>	<i>16</i>	<i>Sponsored Dependent</i>
<i>24</i>	<i>17</i>	<i>Minor Dependent of a Minor Dependent</i>
<i>32,33</i>	<i>18</i>	<i>Parent</i>

<i>04</i>	<i>19</i>	<i>Grandparent</i>
<i>53</i>	<i>20</i>	<i>Life Partner</i>
<i>29</i>	<i>N/A</i>	<i>Significant Other</i>
<i>30</i>	<i>N/A</i>	<i>?</i>
<i>31</i>	<i>N/A</i>	<i>?</i>
<i>36</i>	<i>N/A</i>	<i>?</i>
<i>G8</i>	<i>N/A</i>	<i>?</i>
<i>Other HIPAA Individual Relationship Codes</i>	<i>N/A</i>	<i>?</i>

*Intermediaries shall allow for the storing of CWF patient relationship codes in their internal MSP control files, since these files should be populated with information sent back to the intermediaries' systems via the automated HUSC transaction.*