

MLN Matters Number: MM5060 **Revised**

Related Change Request (CR) #: 5060

Related CR Release Date: September 15, 2006

Effective Date: January 1, 2007

Related CR Transmittal #: R1058CP

Implementation Date: January 2, 2007

## Additional Requirements Necessary to Implement the Revised Health Insurance Claim Form CMS-1500

**Note:** This article was revised on June 12, 2008, to add references to MLN Matters (MM) articles MM5568 and MM5616, which changed the implementation of the Form CMS-1500 (08-05). The links to these articles may be found in the Additional Information section at the end of this article.

### Provider Types Affected

Physicians and suppliers who bill Medicare carriers including durable medical equipment regional carriers (DMERCs) for their services using the Form CMS-1500

### Key Points

- The Centers for Medicare & Medicaid Services (CMS) is implementing the revised Form CMS-1500, which accommodates the reporting of the National Provider Identifier (NPI).
- The Form CMS-1500 (08-05) version will be effective January 1, 2007 but will not be mandated for use until April 2, 2007.
- During this transition time, there will be a dual acceptability period of the current and the revised forms.
- A major difference between Form CMS-1500 (08-05) and the prior form CMS-1500 is the **split provider identifier fields**.
- The split fields will enable NPI reporting in the fields labeled as NPI, and corresponding legacy number reporting in the unlabeled block above each NPI field.
- There will be a period of time where both versions of the CMS-1500 will be accepted (08-05 and 12-90 versions). The dual acceptability timeline period for Form CMS-1500 is as follows:

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January 2, 2007 – March 30, 2007	Providers can use either the current Form CMS-1500 (12-90) version or the revised Form CMS-1500 (08-05) version. <b>Note:</b> Health plans, clearinghouses, and other information support vendors should be able to handle and accept the revised Form CMS-1500 (08-05) by January 2, 2007.
April 2, 2007	The current Form CMS-1500 (12-90) version of the claim form is discontinued; only the revised Form CMS-1500 (08-05) is to be used. <b>Note:</b> All <b>rebilling</b> of claims should use the <b>revised</b> Form CMS-1500 (08-05) from this date forward, even though earlier submissions may have been on the current Form CMS-1500 (12-90).

## Background

Form CMS-1500 is one of the basic forms prescribed by CMS for the Medicare program. It is only accepted from physicians and suppliers that are excluded from the mandatory electronic claims submission requirements set forth in the Administrative Simplification Compliance Act, Public Law 107-105 (ASCA), and the implementing regulation at 42 CFR 424.32. The CMS-1500 form is being revised to accommodate the reporting of the National Provider Identifier (NPI).

Note that a provision in the HIPAA legislation allows for an additional year for small health plans to comply with NPI guidelines. Thus, small plans may need to receive legacy provider numbers on coordination of benefits (COB) transactions through May 23, 2008. CMS will issue requirements for reporting legacy numbers in COB transactions after May 22, 2007.

In a related Change Request, CR4023, CMS required submitters of the Form CMS-1500 (12-90 version) to continue to report Provider Identification Numbers (PINs) and Unique Physician Identification Numbers (UPINs) as applicable.

There were no fields on that version of the form for reporting of NPIs in addition to those legacy identifiers. Change Request 4293 provided guidance for implementing the revised Form CMS-1500 (08-05). This article, based on CR 5060, provides additional Form CMS-1500 (08-05) information for Medicare carriers and DMERCs, related to validation edits and requirements.

## Billing Guidelines

- When the NPI number is effective (May 23, 2007, although it can be reported starting January 1, 2007) **and the billed service requires the submission of an NPI**, claims will be **rejected** (in most cases with reason code 16 – “claim/service lacks information that is needed for adjudication”) in tandem with the appropriate remark code that specifies the missing information, **if the appropriate NPI is not entered on Form CMS-1500 (08-05) in items:**
  - 24J (replacing item 24K, Form CMS-1500 (12-90));

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- 17B (replacing item 17 or 17A, Form CMS-1500 (12-90));
- 32a (replacing item 32, Form CMS-1500 (12-90)); and
- 33a (replacing item 33, Form CMS-1500 (12-90)).

## Additional Information

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CR5060 is the official instruction issued to your carrier or DMERC regarding changes mentioned in this article, MM5060. CR 5060 may be found by going to <http://www.cms.hhs.gov/Transmittals/downloads/R1058CP.pdf> on the CMS website.

Please refer to your local carrier or DMERC if you have questions about this issue. To find their toll free phone number, please go to <http://www.cms.hhs.gov/MLNProducts/downloads/CallCenterTollNumDirectory.zip> on the CMS website.

### *When the NPI Number is Effective and Required (May 23, 2007)*

To enable proper processing of Form CMS-1500 (08-05) claims and to avoid claim rejections, please be sure to enter the correct identifying information for any numbers entered on the claim.

Legacy identifiers are pre-NPI provider identifiers such as:

- PINs (Provider Identification Numbers)
- UPINs (Unique Physician Identification Numbers)
- OSCARs (Online Survey Certification & Reporting System numbers)
- NSCs (National Supplier Clearinghouse numbers) for DMERC claims.

### *Additional NPI-Related Information*

**Note:** For details on the NPI contingency plan, see the *MLN Matters* article, MM5595, at <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM5595.pdf> on the CMS website.

Additional NPI-related information can be found at <http://www.cms.hhs.gov/NationalProvIdentStand/> on the CMS website.

The change log, which lists the various changes made to the Form CMS-1500 (08-05) version, can be viewed at the NUCC website at [http://www.nucc.org/images/stories/PDF/change\\_log.pdf](http://www.nucc.org/images/stories/PDF/change_log.pdf) on the Internet.

MLN Matters article MM4320, "Stage 1 Use and Editing of National Provider Identifier Numbers Received in Electronic Data Interchange Transactions via Direct Data Entry Screen, or Paper Claim Forms," can be found at

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<http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM4320.pdf> on the CMS website.

#### *Related MLN Matters Articles*

- MM5616, "New Deadline for Required Submission of the Form CMS-1500 (08-05)," is located at <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM5616.pdf>;
- MM5568, "Extension for Acceptance of Form CMS-1500 (12-90)," is located at <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM5568.pdf>;
- MM4023, "Stage 2 Requirements for Use and Editing of National Provider Identifier (NPI) Numbers Received in Electronic Data Interchange (EDI) Transactions, via Direct Data Entry (DDE) Screens, or Paper Claim Forms," can be found at <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM4023.pdf> and
- MM4293, "Revised CMS-1500 Claim Form," is located at <http://www.cms.hhs.gov/MLNMattersArticles/downloads/MM4293.pdf> on the CMS website.

CR4293, Transmittal Number 899, "Revised Health Insurance Claim Form CMS-1500," provides contractor guidance for implementing the revised Form CMS-1500 (08-05). It can be found at <http://www.cms.hhs.gov/transmittals/downloads/R899CP.pdf> on the CMS website.

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