

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



OFFICE OF INFORMATION SERVICES

DATE: September 23, 2009

TO: Prescription Drug Plan (PDP) Sponsors

FROM: Alan Constantian
Director, Information Services Design and Development Group

SUBJECT: Preliminary Reassignment Notification to PDP Sponsors

CMS will be sending the **Preliminary Notification of Reassignment File** directed to only PDP Sponsors who will lose low-income subsidy (LIS) beneficiaries due to reassignment because their 2010 Part D premium will no longer be at or below the LIS regional low-income benchmark. This notice does not apply to PDP Sponsors losing LIS beneficiaries to reassignment due to termination, nor to MA organizations, Cost plans, PACE organizations, or Part D plans in U.S. Territories.

On September 25, 2009, CMS will transmit a preliminary notification file to alert Plans of beneficiaries that could potentially be reassigned due to a premium increase, effective January 1, 2010. The objective of the file is to provide Plans with an early projection of those beneficiaries that have the potential for reassignment. The intent is to assist Plans with preparation of identifying the appropriate Annual Notice of Change (ANOC) letter for those beneficiaries the Plan is losing due to reassignment. For detailed guidance on identifying the appropriate ANOC, Plans may access the PDP Guidance on Eligibility, Enrollment, and Disenrollment and review Section 30.1.5 at the following link:

<http://www.cms.hhs.gov/MedicarePresDrugEligEnrol/>

Please note that the ANOC process is different for beneficiaries who will be reassigned to a different organization vs. reassigned to another plan within the same organization. Thus, Plans should refer to the PDP Guidance on Eligibility, Enrollment, and Disenrollment as stated above.

IMPORTANT: This file is intended to be a preliminary notice only. The contents of this file may be different from the contents of subsequent reassignment files. Plans should not use this file for purposes other than those stated above.

Due to the timing of the file transmission, the file will not include those beneficiaries that regain deemed status in early October, (and thus will qualify for reassignment), nor those whom a State Pharmaceutical Assistance Program (SPAP) may reassign if it has authority to enroll on behalf of its members. For a copy of the file layout, please refer to Attachment 1 in the August 28, 2009 HPMS memo from Anthony Culotta entitled “Reassignment of Low Income Subsidy Beneficiaries for 2010”. Please note that the file will not contain a header or trailer record.

This file will be transmitted one time only and will follow the naming convention as outlined below:

P.Rxxxxx.APDP5.PRLIM.Dyymmdd.Thhmsst.pn
zzzzzzzz.Rxxxxx.APDP5.PRLIM.Dyymmdd.Thhmsst
[directory]Rxxxxx.APDP5.PRLIM.Dyymmdd.Thhmsst

Please direct questions or concerns to the MAPD Help Desk at mmahelp@cms.hhs.gov or 1-800-927-8069.