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HEALTH CARE FINANCING ADMINISTRATION
Medicare Coverage Advisory Committee
Meeting of the Diagnostic Imaging Panel

June 19, 2001

Baltimore Convention Center
One West Pratt Street
Baltimore, Maryland

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Panelists

Chairperson

Frank J. Papatheofanis, MD, PhD, MPH

Vice-Chairperson

Barbara J. McNeil, MD, PhD

Voting Members

Carole R. Flamm, MD, MPH

10 Jeffrey C. Lerner, PhD
11 Michael Manyak, MD
12 Donna C. Novak, ASA, MAAA, MBA
13 Steven Guyton, MD

14
15 Industry Representative
16 Michael S. Klein, MBA
17

18 Guests
19 Arnold J. Krubsack, PhD, MD
20 Jeff Abrams, MD
21

22 HCFA Liaison
23 Sean R. Tunis, MD, MSc
24 Executive Secretary
25 Janet Anderson

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1 PANEL PROCEEDINGS

2 (The meeting was called to order at
3 8:35 a.m., Tuesday, June 19, 2001.)

4 MS. ANDERSON: Good morning and
5 welcome, committee chairperson, members and
6 guests. I am Janet Anderson, executive secretary
7 of the Diagnostic Imaging Panel of the Medicare
8 Coverage Advisory Committee. The committee is
9 here today to hear and discuss presentations
10 regarding the diagnosing and staging of breast
11 cancer using positron emission tomography scanning
12 technology.

13 In evaluating the evidence presented to
14 you today, HCFA encourages the panel to consider

15 all relevant forms of information, including but
16 not limited to professional society statements,
17 clinical guidelines, and other testimony you may
18 hear during the course of this panel meeting.

19 The following is for the record: For
20 today's panel meeting, voting members present are:
21 Barbara McNeil, Carole Flamm, Jeffrey Lerner,
22 Michael Manyak, Donna Novak, Steven Guyton.
23 Dr. Frank Papatheofanis will vote in the event of
24 a tie. A quorum is present. No one has been
25 recused because of conflicts of interest.

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1 The following announcement addresses
2 conflicts of interest issues associated with this
3 meeting and is made part of the record to preclude
4 even the appearance of impropriety. The conflict
5 of interest statutes prohibit special government
6 employees from participating in matters that could
7 affect their or their employer's financial
8 interests. To determine if any conflict existed,
9 the Agency reviewed all financial interests
10 reported by the committee participants. The
11 Agency has determined that all members may
12 participate in the matters before the committee
13 today.

14 With respect to all other participants,
15 we ask that in the interest of fairness that all
16 persons making statements or presentations
17 disclose any current or previous financial
18 involvement with any firm whose products or
19 services they may wish to comment on. This
20 includes direct financial investments, consulting
21 fees and significant institutional support.

22 I would now like to turn the meeting over to
23 Dr. Sean Tunis, and Chairman Dr. Frank
24 Papatheofanis, who will ask the committee members
25 to introduce themselves and to disclose for the

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1 record any involvement with the topics to be
2 presented. Dr. Tunis.

3 DR. TUNIS: Thanks, Janet. Just very

4 briefly, I wanted to thank the panelists for
5 attending today and especially for all of the
6 extensive preparatory work I'm sure they have all
7 done in reading the material for this meeting,
8 which was quite voluminous.

9 And other than introducing myself as
10 the director of the coverage group and the federal
11 liaison to this panel, I just want to continue
12 around the table and continue introductions.

13 DR. PAPTAEOFANIS: I'm Frank
14 Papatheofanis. I am on the faculty of the
15 University of California at San Diego, and I am
16 going to be chairing the meeting today.

17 DR. BURKEN: I am Mitch Burken. I am a
18 medical officer with Sean's group in coverage and
19 I am also an acting division director in medical
20 and surgical services.

21 DR. MCNEIL: I'm Barbara McNeil from
22 Harvard Medical School and the Brigham and Women's
23 Hospital.

24 DR. LERNER: I'm Jeffrey Lerner. I am
25 vice president for strategic planning at ECRI, and

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1 I direct our evidence based practice center, as
2 designated by AHRQ.

3 DR. MANYAK: I am Michael Manyak,
4 professional and chairman of urology at the George
5 Washington University in Washington, D.C.

6 MS. NOVAK: I am Donna Novak, I am a
7 principal with Marsh McClennan Enterprise Risk
8 Consulting.

9 DR. GUYTON: I'm Steve Guyton. I'm a
10 cardiothoracic surgeon at the Virginia Mason
11 Medical Center at Seattle.

12 DR. KRUBSACK: I am Arnold Krubsack,
13 medical director for Medicare Part B in Indiana,
14 with Administar Federal.

15 DR. FLAMM: I'm Carole Flamm. I am
16 senior consultant at the Blue Cross/Blue Shield
17 Association Technology Evaluation Center, and I
18 was a co-author on the technology assessment
19 report on PET that was done as a task order

20 through the AHRQ evidence based practice center
21 program.

22 DR. ABRAMS: Hi. I'm Jeff Abrams, I'm
23 a medical oncologist and I work in the breast
24 cancer area at the National Cancer Institute.

25 MR. KLEIN: Mike Klein, president and

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1 CEO of R2 Technology, computer aided detection for
2 medical imaging, previously general manager for
3 oncology for Varian Medical Systems.

4 DR. PAPTATHEOFANIS: Great. Well, good
5 morning and welcome to panelists and also to the
6 audience. As you all know, this is the first time
7 the Diagnostic Imaging panel actually will be
8 reviewing and considering a topic in the two-year
9 interval since we all met. You probably have
10 tracked the Executive Committee and some of the
11 other panels. The Executive Committee has met at
12 least half a dozen times and has considered
13 numerous topics. Approximately half of the
14 panels, I think, have yet to meet or are about to
15 meet. And we're just kicking off, so welcome.

16 As you know, you were chosen to serve
17 on this panel because of various backgrounds and
18 various levels of expertise that you bring to the
19 table, and what hopefully Barbara, the co-chair,
20 and I would like to see in our deliberations today
21 is expressions of that expertise, and a lively
22 discussion.

23 Obviously, it's a very contentious or
24 potentially contentious topic that we will be
25 reviewing. The research that has been done and

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1 the background information you have been provided
2 is very thorough, it's technical, and it's
3 difficult to appreciate, and so hopefully there
4 will be opportunities for all of you to ask
5 questions and seek clarification during this
6 meeting.

7 That's all I would like to say at this
8 point, and I'm going to turn the mike over to

9 Mitch Burken.

10 DR. BURKEN: I think the way to start
11 the day off is to talk about what questions are
12 going to be posed to the panel, and let's get
13 right to it.

14 There is a framework, kind of a
15 two-part framework that we're going to be using
16 for all the questions, and the first part of this
17 two-prong framework is to ask, is there adequate
18 evidence to, that PET improves health outcomes
19 under a particular situation? And then once we
20 have answered that first question, we will go to a
21 second question and we'll say, if so, what is the
22 size of the effect, and there is a seven-point
23 scale that the Executive Committee has helped lay
24 out for us, starting from not effective; up to
25 less effective without advantages; less effective

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1 with advantages, and those advantages might be
2 convenience or tolerability; then going up to as
3 effective without advantages, or with advantages;
4 then more effective; and then a breakthrough
5 technology.

6 So with that in mind, again, that being
7 the general framework which we have used
8 throughout several panels, we discussed PET always
9 in the context of a comparative technology. So in
10 this first question, we compare PET to biopsy when
11 there is an abnormal mammogram or palpable mass,
12 and obviously in this situation, there is
13 presumably a high risk of malignancy, so biopsy is
14 considered an alternative strategy.

15 In the second question, we take another
16 situation where we have a lower suspicion of
17 cancer, and we look at the difference between PET
18 and short interval mammographic follow-up.

19 In the third scenario or the third
20 question, we look to see whether PET has a role in
21 staging as compared to axillary lymph node
22 dissection, and once we have addressed that issue,
23 we find another question that opens up because
24 sentinel node biopsy has been an emerging

25 diagnostic technology, so we ask is sentinel

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1 biopsy versus PET, you know, versus axillary lymph
2 node dissection, something we ought to consider.

3 The fourth scenario we have is looking
4 at PET versus standard staging tests for detecting
5 locoregional recurrence or distant mets.

6 And finally, the fifth question we ask
7 is whether PET is effective or is there adequate
8 evidence that PET improves health outcomes in
9 determining tumor response to treatment compared
10 to the use of conventional response criteria.

11 Are there any questions about the
12 questions? Okay.

13 DR. PAPANICHOPOULOS: Great. Thank you,
14 Dr. Burken. We are going to follow the agenda
15 that has been posted and I think that we will just
16 move along to the presentation of the technology
17 assessment by David Samson.

18 The other framework in addition to the
19 one that Mitch outlined is the one that you have
20 in your packets and I think is available at the
21 desk in front, and that's the recommendation from
22 the Executive Committee for evaluating
23 effectiveness, which is an important document that
24 the Executive Committee has been framing for the
25 past 18 months or so, so please keep this in mind

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1 in our discussions as well. So, welcome, David.

2 MR. SAMSON: Thank you for inviting me.
3 I am associate director of the Technology
4 Evaluation Center for the Blue Cross and Blue
5 Shield Association, and as Dr. Flamm pointed out,
6 we are an evidence based practice center
7 designated by AHRQ.

8 The assessment that we consider today
9 can be broken down into several parts, and these
10 are the points I will be making. I will be going
11 over first, the review methods that we used; then
12 I will discuss the indications, the specific ones
13 that we considered, the first being the initial

14 diagnosis of breast cancer; second, initial
15 staging of axillary lymph nodes; third is
16 detection of locoregional recurrence of distant
17 metastasis recurrence; and the fourth being
18 evaluating response to therapy. I will then
19 finish up with the conclusions.

20 All right. Turning first to the review
21 methods, the following topics had to do with
22 review methods. First, I will go over what our
23 data abstraction elements were, I will describe
24 the study quality characteristics, I will discuss
25 meta-analysis, the search methods, and the study

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1 selection criteria.

2 Here are the data abstraction elements
3 that we looked at, first the sample size, and we
4 also looked at the institution that the study was
5 performed at and the dates of the study, whether
6 the study design was prospective, retrospective or
7 unclear, what patient selection criteria were
8 described, the mean patient age, and the tumor
9 size and T stage distribution, and the technique
10 by which PET was interpreted, whether it was
11 qualitative, quantitative, sometimes
12 semiquantitative, and also whether attenuation
13 correction was performed.

14 Some additional data abstraction
15 elements included whether verification bias was
16 avoided. By this we were looking for consecutive
17 series of patients, that qualified as a yes. If
18 there was no information about whether the
19 patients were selected consecutively, we in most
20 cases put a question mark to indicate that it was
21 uncertain.

22 We also looked at whether the PET
23 imaging were read blind to the reference standard
24 evaluation, whether the reference standard was
25 read blind with respect to the PET image. We also

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1 gave details about the reference standard test
2 itself, whether it was histologic or had to do

3 with another imaging procedure with follow-up. We
4 looked at the unit of analysis, whether it was the
5 lesion, perhaps a region, an anatomic region for
6 the patient. Then we gave the diagnostic
7 performance data, the joint events of the
8 reference standard and the test result, whether
9 true positive, false negative, false positive or
10 true negative.

11 And then the prevalence data. And
12 throughout the presentation, when I say
13 prevalence, that can be used interchangeably with
14 the pretest probability of disease.

15 Here are the study quality
16 characteristics that we looked at, and I'm aware
17 that there are other, that there are a variety of
18 sources that you can use to document study quality
19 characteristics. The sources that we relied on
20 were the Cochrane collaboration methods group, and
21 a landmark paper from 1994 in the Annals of
22 Internal Medicine by Ehrlich et al., that were
23 guidelines for doing systematic reviews on
24 diagnostic tests and also meta-analysis.

25 So one of the key things that we looked

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1 at was whether there was a valid reference
2 standard, again, whether tests were interpreted
3 blindly with respect to the reference standard and
4 vice versa, whether verification bias was avoided,
5 and verification bias having to do with whether
6 the test results influence performance of the
7 reference standard. We wanted a clear description
8 of the spectrum of disease in the study sample,
9 clear description of other patient
10 characteristics, clear description of the test
11 performance, interpretation and reproducibility
12 aspects, whether the study design was prospective
13 or introspective, and whether there was a valid
14 design for comparing the index test with
15 alternative tests.

16 These are the criteria for what we
17 considered a higher quality study. It had to
18 possess three qualities: First, had to be a

19 prospective design, had to avoid verification
20 bias, and the study had to use blind
21 interpretation of the PET with respect to the
22 reference standard. These three characteristics
23 were intended to be used for sensitivity analyses
24 and quantitative data synthesis, and I will get
25 into that more later.

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1 Meta-analysis was performed in this
2 assessment. Why do meta-analysis? First of all,
3 you can overcome small sample sizing in studies by
4 pooling them, you can come up with point estimates
5 for diagnostic performance, and you can
6 systematically assess the influence of important
7 variables that may not influence diagnostic test
8 performance, for example, the testing techniques,
9 patient factors and study quality.

10 There are several techniques in doing
11 meta-analysis of diagnostic tests. You can
12 perform a conventional random effects model, or a
13 fixed effects model meta-analysis. Disadvantages
14 of doing that is that they tend, they do not
15 account for the dependence between sensitivity and
16 specificity, and therefore, tend to underestimate
17 them.

18 Another approach is to use the summary
19 receiver operating characteristic curve or ROC
20 curve. It's important when you are using a
21 summary ROC curve approach to keep in mind whether
22 you're doing it on a test that was interpreted
23 qualitatively versus quantitatively; if it's a
24 qualitative test, then you have to be careful
25 about selecting a point on the summary ROC curve.

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1 You can produce summary ROC curves by either
2 nonweighting or weighting by the inverse of the
3 variance. Weighting has the advantage of giving
4 more attention to larger studies, and again,
5 selecting a representative point on the summary
6 ROC curve has to be done with great caution,
7 especially when you have a qualitatively

8 interpreted test.

9 Here are the search methods that we
10 used. We did our electronic search of two
11 databases, the MEDLINE PubMed, and CANCERLIT
12 databases. Our search strategy began by looking
13 at radionuclide imaging as a mesh term. It was
14 exploded to get all subordinate mesh terms. And
15 we also looked at the word positron and PET as
16 text words, we have the intersection of those two
17 phrases in that search strategy. And then we also
18 kept the intersection with neoplasms.

19 All of these references were loaded
20 onto a ProCite database, and the search for breast
21 cancer. The studies that we looked at were
22 limited to these published in English. The
23 electronic search was conducted from January of
24 '66 through March of 2001. We also looked at
25 additional sources, including reference lists of

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1 key articles, current content, and expert peer
2 reviews.

3 The total retrieval from this search
4 strategy was 163 references.

5 Here are our study selection criteria.
6 First we were looking for a study that was
7 published in a peer reviewed journal as a full
8 article, not a conference abstract. If there were
9 multiple reports from a single institution, we
10 limited the inclusion of studies to the largest
11 series for the purpose of data synthesis. We
12 wanted at least 10 patients with breast cancer,
13 not mixed in with other types of tumors. We
14 wanted tomographic imaging of FDG, not planar.
15 And we had to have a correlation of the PET
16 results with reference standard results for both
17 diseased and non-diseased patients. There were
18 additional indication specific criteria that we
19 applied.

20 When we applied these general criteria,
21 a total of 32 studies were included.

22 All right. The first indication that
23 we reviewed had to do with initial diagnosis of

24 breast cancer, and there are actually to
25 subindications, the first having to do with

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1 obviating biopsy for a suspicious mammogram or a
2 palpable mass, and the second selecting biopsy for
3 a patient with a low suspicious mammogram.

4 For all of the indications that I will
5 be reviewing, I will first point to some clinical
6 issues, then state the problem formulation, and
7 then discuss the evidence review and analysis.

8 All right. I would like to distinguish
9 these first two roles for PET in initial diagnosis
10 of breast cancer. 1-A is a patient with a
11 suspicious mammogram or palpable mass and the idea
12 is that if PET is negative, that patient might be
13 able to avoid undergoing a biopsy. Now, the
14 patients who do have a suspicious mammogram or
15 palpable mass comprise the upper segment of the
16 biopsy population. The lower segment would be
17 patients who were referred for biopsy for an
18 indeterminate mammogram usually.

19 But the key issue is that patients who
20 are referred for biopsy are frequently false
21 positives in the screening process, and they end
22 up having negative biopsies. The question in this
23 role of using PET is whether we can improve the
24 selection for biopsies.

25 The second indication here, 1-B has to

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1 do with patients who have a low suspicion
2 mammogram, and would be referred for shorter
3 interval follow-up. The question here is whether
4 some of these patients might be selected for
5 biopsy, they could have an early biopsy and early
6 diagnosis and may benefit from early treatment.
7 So again, the issue here is whether we can improve
8 the selection of follow-up for biopsy.

9 All right. I am going to the problem
10 formulation for indication 1-A. These are
11 patients who have an abnormal mammogram or a
12 palpable mass and are recommended for biopsy. The

13 comparison here is going to be between using a
14 negative PET result to avoid a biopsy, versus
15 performing biopsy on all patients.

16 Some of the health outcomes that are of
17 concern, if PET is a true negative, the benefit
18 would be to avoid the pain and anxiety of biopsy.
19 If PET is a false negative, the harm could come
20 from having missed or delayed diagnosis and
21 delayed treatment.

22 This is a causal chain and forgive me
23 for this small print, I crammed it together as
24 much as I could and made it as big as I could, but
25 I realize that you probably can't read this. The

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1 key thing though, is to recognize that there are
2 two paths. The first path up here is using PET;
3 the second path is not using PET. So if a patient
4 decides to use PET to guide the decision of
5 whether to perform the biopsy, at this point the
6 PET would be performed, up here the PET result
7 would be positive and the patient would undergo
8 biopsy. In some patients the PET would be a true
9 positive so there would be an actual tumor found.
10 In other patients there would be a false positive
11 and the patient would not, would have a benign
12 mass.

13 If the PET is true positive, the
14 patient would go on to getting treatment, and in
15 the last two columns, I point out what the
16 outcomes are in path one compared to path two, so
17 in path two, these are all patients who undergo
18 biopsy, and in some cases the biopsy is positive
19 and others it's negative, so if it's positive,
20 these patients have the benefits associated with
21 early treatment, and the harms of pain and anxiety
22 of biopsy in addition to any treatment side
23 effects.

24 If the biopsy is negative, the benefit
25 would be reassurance, and the harms would have to

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1 do with pain and anxiety of the biopsy.

2 So, the comparison between using PET if
3 it's positive and doing biopsy in all cases, the
4 benefits of positive PET would be the same as
5 those in the biopsy PET. It's only when there is
6 a negative PET would there be any difference in
7 the types of outcomes that could occur. So if PET
8 is truly negative, the patient could safely avoid
9 the pain and anxiety of biopsy. If the PET is
10 falsely negative, there would be an undetected
11 tumor, the patient would resume the screening
12 schedule, but may suffer from the loss of the
13 advantage of early treatment.

14 All right. The specific question, as
15 Mitch pointed out earlier is the following: Is
16 there adequate evidence that PET can improve
17 health outcomes when used to decide whether to
18 perform a biopsy in patients with an abnormal
19 mammogram or a palpable mass? And within this
20 question we asked two subquestions. We first
21 wanted to know if we could reach conclusions about
22 the diagnostic performance of PET, and then we
23 wanted to see how the diagnostic performance
24 translates into outcomes, and whether those
25 outcomes would be improved by using PET.

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1 So, here's the evidence we were able to
2 find. First, I wanted to just touch on some
3 issues dealing with the biopsy population. First
4 of all, there is an overall prevalence of
5 malignancy of approximately 20 to 30 percent. The
6 upper segment as I described are patients who have
7 an abnormal mammogram, a palpable mass, and
8 relatively large lesions. The lower segment are
9 patients with an indeterminate, that should be
10 mammogram, a nonpalpable mass, or small lesions.
11 And for this lower segment of the population, we
12 don't have any diagnostic performance data for
13 PET. It's only for the upper segment for which we
14 have any PET diagnostic performance data.

15 There were a total of 13 studies with a
16 pool of 606 patients. Unit of analysis in three
17 studies was lesion, for 191 patients. The unit

18 was patient for 10 studies, and 415 patients.
19 There were consistent study selection criteria, as
20 I described in the problem formulation, and the
21 average tumor size across these studies was
22 between 2 and 4 centimeters, so these are fairly
23 large tumors.

24 Here is a summary of study quality
25 characteristics. 9 of the 13 studies were

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1 prospectively designed. 3 out the 13 avoided
2 verification bias. 7 clearly indicated that PET
3 was read blind to the reference standard, and none
4 of the studies indicated whether the reference
5 standard was read blind to the PET.

6 Here is a summary of the diagnostic
7 performance data. In individual studies, the
8 range of sensitivities was between 79 and 100
9 percent. The random effects meta-analysis comes
10 up with a point estimate of 88 percent and a
11 confidence interval here between 83 and 92
12 percent. Specify ranged between 50 and 100
13 percent, with a random effects meta-analysis point
14 estimate of 79 percent and a 95 percent confidence
15 interval between 71 and 85 percent.

16 Here is the graphic of the
17 meta-analysis, and each line here represents an
18 individual study, and the random effects
19 meta-analysis point estimates are down here at the
20 bottom.

21 Here is the summary ROC curve and as I
22 said earlier, you have to be careful in using a
23 random effects meta-analysis because it tends to
24 underestimate the diagnostic performance, because
25 it doesn't account for the dependence between

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1 sensitivity and specificity, and this can be seen
2 in any summary ROC curve to the extent that the X
3 here which represents the random effects
4 meta-analysis point is below the summary ROC
5 curve. And the curve that we used was the one
6 that was weighted by the inverse of study

7 variance.

8 So, the random effects meta-analysis
9 doesn't underestimate the sensitivity and
10 specificity by a great deal, it's pretty close to
11 the curve. But we decided that just to eliminate
12 the underestimation of diagnostic performance with
13 a random effects meta-analysis, we chose the point
14 on the summary ROC curve nearest to the random
15 effects meta-analysis point. And we did that
16 partially because we wanted, you could ideally
17 select any point on the summary ROC curve and that
18 would represent the diagnostic performance of PET.
19 However, we think that the advantage of doing a
20 point near the random effects meta-analysis point
21 is that it represents an average diagnostic
22 performance.

23 And you could say that you would be
24 looking for points on the curve that have higher
25 sensitivity. However, you could only do that if

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1 you could realistically adjust your criteria for a
2 positive test result, and when you're doing a
3 qualitative test, that's very difficult. So we
4 decided to look at this point here on the curve
5 closest to the random effects meta-analysis point
6 as being a good representative choice.

7 We did plan to do sensitivity analysis,
8 but only one study met study selection, or the
9 quality criteria, and so we didn't go through with
10 that.

11 The analysis of outcomes can be done
12 from two different perspectives, and I will be
13 walking you through some examples to try to make
14 this clear. The first perspective is that of the
15 population, so using a given prevalence and
16 estimates of sensitivity and specificity, as well
17 as the causal change that I talked about earlier,
18 we can calculate the probabilities of outcomes
19 before the PET scan results are known.

20 Now, from the perspective of a patient
21 who has a negative PET scan, the perspective is
22 different, but using different given prevalence,

23 in other words pretest probability, and the same
24 information here, we want to calculate the
25 negative predictive value or the post-test

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1 probability, and the associated probabilities of
2 outcomes for a patient with a known negative PET
3 scan.

4 Now, from the population perspective
5 the question that you would ask a patient would be
6 this. Based upon the probabilities to follow,
7 would you be willing to let the results of PET
8 guide your decision to undergo biopsy? That is,
9 if PET is positive, do the biopsy, if it's
10 negative, skip biopsy. The alternative to using
11 PET to guide the decision is for all patients to
12 undergo biopsy.

13 Now we know the probabilities before
14 you undergo the PET scan and that's all based on
15 the diagnostic performance estimates and
16 prevalence. Now, the two examples that I will be
17 using will be first with a prevalence of 50
18 percent and second with a prevalence of 75
19 percent.

20 Now for a, the perspective of a patient
21 who has a negative PET scan, the question is this:
22 Based on the probability of PET missing a cancer,
23 would you still be willing to skip the biopsy if
24 your PET scan is negative. The probabilities of
25 true negative and false negative differ in this

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1 perspective from that of a population, because the
2 denominator is different.

3 Now, although there is, this is
4 described as a known negative PET, we know the
5 probabilities before you undergo the PET scan, and
6 you can imagine making the decision, so we don't
7 actually have to put the patient through the PET
8 scan and come out with a negative result in order
9 to go through this scenario. And again, the two
10 examples I will be using are prevalence of 50
11 percent and 75 percent.

12 All right. This is the first example.
13 Prevalence is 50 percent, here's the two-by-two
14 table, we are assuming there is a total population
15 of a thousand individuals. This column represents
16 patients who have malignant lesions, these
17 patients have benign lesions. This row is
18 patients who test positive on PET and this row is
19 for PET negative patients.

20 So here is the sensitivity and the
21 specificity, 89 percent and 80 percent. This is
22 the point on the summary ROC curve closest to the
23 random effects meta-analysis point. And here are
24 the probabilities of the different events. We
25 have the true positive, false negative, false

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1 positive or true negative. So when the prevalence
2 is 50 percent, the probability of a true positive
3 result is 44.5 percent, the false negative
4 probability is 5.5 percent, the true negative is
5 40 percent, and the false positive is 10 percent.

6 Now, you will see that in this column,
7 I do it from the population perspective and in
8 this column I do it from the PET negative
9 individual perspective. And so, the two outcomes
10 that we're going to be most interested in are the
11 false negative and true negative, and from the
12 population perspective, these are what the
13 probabilities are. However, when you get to the
14 perspective of a patient testing negative on PET,
15 the probabilities for false negatives and true
16 negatives change, and the reason is that you have
17 a different denominator. The denominator from the
18 population perspective is the total of all the
19 cells of the two-by-two table, whereas from the
20 perspective of an individual with a negative PET
21 scan, the denominator is only the row marginal
22 total for the PET negative patients.

23 So, the risk of false negative rises as
24 you go from the population perspective to the
25 individual perspective.

00031

1 On this slide I summarize what is
2 already in tables 3 and 4 of the document, and at
3 a prevalence of 50 percent, these are the
4 probabilities. Now I, the first two columns
5 represent the population perspective and the third
6 is the individual perspective. So the outcomes,
7 if PET is true positive or if the patient is being
8 managed in the path in this which all patients
9 would undergo biopsy, that would be a positive
10 biopsy. The benefit would be whatever outcomes
11 would be associated with the appropriate
12 treatment, and the probability of having this
13 outcome would be 50 percent.

14 If the biopsy was the choice, and the
15 harm of having either a PET false positive or a
16 negative biopsy would be the morbidity associated
17 with biopsy, and that would also be in 50 percent.

18 The two key outcomes that we're
19 interested in are the two in the center here, the
20 harm associated with the false negative PET, which
21 could possibly result in late treatment, or the
22 benefit of a true negative PET, in which the
23 patient could avoid the morbidity of biopsy. So
24 the patient could look at these numbers and decide
25 whether the benefit that you gain in terms of the

00032

1 probability of avoiding the biopsy morbidity is
2 worth the harm that you get from delaying
3 treatments. And so, the risk-benefit trade off
4 would take into account these results, first from
5 the population perspective.

6 Once the patient has a negative PET
7 result, the probabilities change, so the risk of a
8 false negative, having delayed treatment would
9 rise to 12.1 percent, and the benefit would be
10 about 88 percent.

11 Now, this is the second example on
12 which the prevalence is 75 percent, the
13 sensitivity and specificity are the same as in the
14 previous example, 89 percent and 80 percent. The
15 probabilities of a true positive are 66.8 percent,
16 false negative 8.2 percent, true negative 20

17 percent, and false positive 5 percent.

18 From the perspective of a patient who
19 had a negative PET scan, the probabilities differ
20 again, because the denominators differ, so the
21 false negative risk goes from 8.2 percent at the
22 population perspective to 29.2 percent at the
23 individual perspective, and I think most people
24 would agree that the risk-benefit trade-off is not
25 an acceptable one with these kind of numbers.

00033

1 Again, I present the same information
2 here, this can be found in tables 3 and 4 of the
3 document. It's the same as on the previous slide,
4 just presented with descriptions of what the
5 outcomes are. So again, we're comparing the harm
6 of delaying treatment with the benefit of avoiding
7 the morbidity of biopsy, and you have to balance
8 the 20 percent benefit with the 8.2 percent harm
9 from the population perspective, and versus the
10 individual perspective of a 70.8 percent benefit
11 against the 29.2 percent.

12 All right. Our conclusions are that
13 the diagnostic performance data that are available
14 apply only to the upper segment of the biopsy
15 population, not to the lower segment, so there is
16 incomplete data for the full spectrum of patients
17 that we might be interested in.

18 Only one study met all of the criteria
19 for a higher quality study; the sensitivity
20 estimate was 89 percent, specificity was 80
21 percent. For the intermediate to higher
22 prevalence spectrum, the risk-benefit trade-offs
23 do not appear to be acceptable.

24 All right. Turning to the indication
25 1-B, having to do with initial diagnosis of breast

00034

1 cancer, the problem formulation is this. The
2 patients of interest are those who have low
3 suspicious findings on mammography and other
4 routine imaging procedures that are referred for
5 short interval follow-up, from three to six months

6 in frequency. The comparison we're using here is
7 using PET to elect early biopsy or avoid short
8 interval follow-up, versus doing short interval
9 follow-up in all patients.

10 The health outcomes associated with
11 different PET results, if PET is true positive, it
12 could lead to earlier detection and treatment of
13 malignancy. If PET is true negative, patients
14 could forego short interval follow-up and revert
15 to a normal screening schedule, so they would be
16 avoiding some inconvenience. The false negative
17 PET outcome would entail foregoing short interval
18 follow-up and the potential benefit of earlier
19 detection and treatment. And the outcome
20 associated with the false positive PET would be
21 the morbidity associated with biopsy.

22 The specific question that we're asking
23 here is, is there adequate evidence that PET can
24 improve health outcomes by leading to earlier and
25 more accurate diagnosis of breast cancer, compared

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1 to short interval mammographic follow-up, in
2 patients with a low suspicious finding on
3 mammography or other routine imaging procedures.
4 And again, within this question, we're asking
5 whether we can reach conclusions about diagnostic
6 performance of PET and can the use of PET improve
7 the outcomes by selecting follow-up or biopsy.

8 What is the evidence? Well, there are
9 no studies available, so we can quite quickly
10 reach the conclusion that we don't know what the
11 diagnostic performance data or health outcomes
12 are.

13 All right. Turning now to the second
14 indication, this is the initial staging of
15 axillary lymph nodes, again, we going to be
16 looking at clinical issues, the problem
17 formulation and the evidence review.

18 The clinical issues, the patients who
19 are undergoing staging of axillary lymph nodes by
20 PET or some other noninvasive procedure are
21 undergoing that testing in order to determine

22 whether they might need to undergo axillary lymph
23 node dissection. And the roles of axillary lymph
24 node dissection could be either to define
25 prognosis, to guide treatment decisions, and it's

00036

1 also wondered whether the procedure itself is
2 therapeutic. It might contribute to local control
3 of the tumor as well as, there is some question
4 about whether it improves survival, although the
5 data has not demonstrated that yet.

6 But the key thing that we are focusing
7 on here is guiding treatment decisions and in
8 particular, a patient who has a positive lymph
9 node on pathologic analysis, an axillary lymph
10 node dissection, would be a good candidate to
11 undergo adjuvant therapy. Now this is complicated
12 by the fact that some patients who are negative on
13 axillary dissection would also, may choose
14 adjuvant therapy.

15 Here are some of the outcomes that we
16 have been able to identify that are associated
17 with adjuvant therapy in patients who are either
18 lymph node positive or lymph node negative. So,
19 patients will undergo either adjuvant chemotherapy
20 or hormonal therapy. The median overall survival
21 increases by two years, and ten-year overall
22 survival, there is a difference between patients
23 who get adjuvant therapy and those who don't at
24 ten years, of 6.8 percent.

25 In patients who are lymph node

00037

1 negative, the chemotherapy can have a significant
2 advantage for ten-year overall survival but it's a
3 smaller one, it's 3.5 percent. Patient
4 preferences can play a big role in whether a
5 patient chooses adjuvant therapy, and different
6 patients may value the survival benefits of
7 adjuvant therapy in different ways, and other
8 patients may value the adverse effects of adjuvant
9 therapy and so may make different decisions.

10 Sentinel node biopsy is an emerging

11 technique that is used for a similar purpose
12 compared to PET for staging axillary lymph nodes.
13 It is an invasive procedure, however. The
14 technique involves using either a blue dye or a
15 radiotracer injected near the tumor site, and
16 either the dye or the tracer is tracked to
17 determine which is the first lymph node that is
18 visualized or localized. That would be called the
19 sentinel node. And if it's positive, that patient
20 may go on to full axillary lymph node dissection.
21 If it's negative, patients might be able to avoid
22 the full axillary dissection.

23 As the issues in evaluating sentinel
24 node biopsy, we're looking first of all at
25 sensitivity. So a false negative sentinel node

00038

1 would be one in which the node would be negative,
2 but other downstream nodes might be positive, and
3 that would be considered a skipped metastasis.
4 The specificity for sentinel node biopsy is always
5 100 percent. Each positive result from a sentinel
6 node biopsy is pathologic positive, so it's, there
7 is no possibility of a false positive.

8 We did a systematic review of 21
9 studies in over 3,000 patients and the results we
10 got were a weighted average rate of successful
11 localization of 90.1 percent and a random effects
12 meta-analysis point estimate for sensitivity of 89
13 percent, and the confidence interval was between
14 86 and 91 percent.

15 The problem formulation that we used in
16 this indication, the patients that we were
17 concerned with are those patients who have
18 confirmed primary breast cancer, no palpable
19 axillary lymph nodes, and no evidence of distant
20 metastasis. The comparison we're using here is
21 between using PET to decide whether to perform
22 axillary lymph node dissection versus performing
23 axillary lymph node dissection in all patients.

24 The key health outcomes of interest are
25 when PET is a true negative, the patient could

00039

1 avoid the complications of axillary lymph node
2 dissection; when PET is a false negative, that
3 patient, if the result is used to avoid adjuvant
4 chemotherapy or other treatment, that patient
5 would have an undetected positive lymph node and
6 could be considered undertreated.

7 And again, the causal chain is in very
8 tiny print and I will try to walk you through it.
9 Again, we have two paths. The first is using PET
10 to select whether to undergo axillary lymph node
11 dissection, and the path down here is using, is
12 not using PET, so all patients would undergo
13 axillary lymph dissection.

14 And again, the outcomes associated with
15 doing axillary node dissection in all patients are
16 down here, and the outcomes associated with using
17 PET to choose axillary lymph node dissection are
18 up here, and are viewed in comparison with this
19 path. So we are interested in up here the kinds
20 of outcomes that differ in this path from this
21 path, and I'll get into that in a moment.

22 But anyway, if the axillary lymph node
23 dissection reveals positive lymph nodes, the
24 causal chain here assumes that patients would the
25 initiate adjuvant therapy and the outcomes would

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1 be those associated with adjuvant therapy. If
2 there are no positive lymph nodes found, then the
3 patient would not elect adjuvant therapy and would
4 just undergo monitoring for recurrence. And the
5 outcome, the benefit of the negative PET scan --
6 I'm sorry, negative axillary node dissection --
7 would be the prognostic information that it
8 supplies. And if the axillary node section is
9 positive, the harm would be the adverse effects
10 associated with axillary lymph node dissection and
11 with adjuvant therapy. And for those patients who
12 are lymph node negative, the harms would be the
13 adverse effects of axillary node dissection.

14 So, if the patient decides to use PET
15 to guide the choice in whether to have axillary

16 node dissection, if it's positive they would
17 undergo node dissection, either the PET was truly
18 positive or false positive. If it's truly
19 positive, they would be getting adjuvant therapy
20 and the benefits would be the same as here on this
21 path. If the PET is falsely positive, the patient
22 would have the adverse effects of axillary
23 dissection. If PET is negative and skips axillary
24 dissection and it's truly negative, they would
25 benefit by avoiding the adverse effects of

00041

1 axillary node dissection. If PET is falsely
2 negative, then they wouldn't be getting adjuvant
3 therapy and they would be undertreated.

4 The specific questions that we asked
5 are, is there adequate evidence that PET can
6 improve health outcomes when used to decide
7 whether to perform axillary lymph node dissection.
8 And again, we wanted to know whether we could get
9 conclusions about the diagnostic performance of
10 PET and whether use of PET to decide whether to
11 perform axillary node dissection could improve
12 outcomes.

13 And a second question is whether there
14 is adequate evidence on the previous question,
15 should we do be doing a more detailed analysis of
16 sentinel node biopsy versus PET, as alternatives
17 to actual lymph node dissection.

18 Here's the evidence that we were able
19 to find. First, I want to go over some issues
20 dealing with population. You can break down
21 patients who undergo PET into those who have
22 palpable axillary lymph nodes versus nonpalpable
23 axillary lymph nodes, and the disease spectrum in
24 those groups, if they're palpable, these are
25 patients who have larger metastatic foci in lymph

00042

1 nodes, and patients with nonpalpable nodes would
2 have smaller foci.

3 There are potential differences in the
4 diagnostic performance of PET for these two

5 segments letters of the population, and axillary
6 lymph node dissection would probably be likely for
7 patients who have palpable axillary lymph nodes
8 regardless of imaging. So, we are really
9 interested in the patients who have nonpalpable
10 axillary lymph nodes, because those are the
11 patients for whom use of PET really could make a
12 difference in determining whether they have
13 axillary lymph node dissection, and it's
14 fundamental to assess the diagnostic performance
15 of PET for the patients who have nonpalpable
16 axillary lymph nodes.

17 All right. We came up with a total of
18 four studies and 269 patients who had nonpalpable
19 axillary lymph nodes and there was specific data
20 on the diagnostic performance of PET for those
21 patient. In the appendix of the document we
22 actually list a larger group of studies in which
23 the evidence is presented irrespective of whether
24 the patients had palpable or nonpalpable lymph
25 nodes.

00043

1 Here are the study quality
2 characteristics. Four of the four studies were
3 prospective designs. One of them avoided
4 verification bias. Three out of four read PET
5 blind to the reference standard, and none of the
6 four read the reference standard blind to PET.

7 Here is the summary of the diagnostic
8 performance data. In the four studies,
9 sensitivity ranged between 40 percent and 93
10 percent. The random effects meta-analysis comes
11 up with a point estimate of 80 percent, and a 95
12 percent confidence interval of 46 to 95 percent.
13 That's really quite large.

14 The specificity ranged between 87
15 percent and 100 percent. The random effects
16 meta-analysis point estimate was 89 percent, with
17 a more narrow confidence interval between 83 and
18 94 percent.

19 Here is the graphic representation of
20 the random effects meta-analysis, with the point

21 estimates of sensitivity and specificities at the
22 bottom of the graph.

23 Here is the summary ROC curve. Now I
24 should throw in a note of caution that doing a
25 meta-analysis on such a small body of evidence is

00044

1 an exercise that you might question and with good
2 reason. I think we went through this exercise
3 just for illustrative purposes. I think the key
4 point was that there was a very large confidence
5 interval around the sensitivity and ultimately, we
6 would conclude that there is not sufficient
7 evidence to estimate diagnostic performance for
8 such a small group of studies.

9 But, if you go through the exercise,
10 this is what the summary ROC curve looks like.
11 When the curve is weighted by the inverse study
12 variance, it's the one on the inside here. The X
13 represents the random effects meta-analysis curve.
14 If you choose the point nearest on the summary ROC
15 curve, the sensitivity and specificity estimates
16 are here, so the sensitivity would be 81 percent,
17 specificity would be 95 percent.

18 A sensitivity analysis was not possible
19 with respect to study quality.

20 All right. Again, we're looking at the
21 outcomes from two perspectives, first the
22 population perspective, and the question we would
23 ask the patient would be, based on the following
24 probabilities, would you be willing to let the
25 results of PET guide your decision to undergo

00045

1 axillary lymph node dissection? If PET is
2 positive, do the axillary lymph node dissection;
3 if it's negative, skip the dissection. The
4 alternative to PET guiding the decision is for all
5 patients to undergo axillary lymph node
6 dissection. We know the probabilities before the
7 patient undergoes the PET scan, and the two
8 examples that we're going to be using are a
9 prevalence of 30 percent and a prevalence of 50

10 percent.

11 From the perspective of a patient who
12 has a negative PET scan, the question is, based on
13 the probability of PET missing a positive axillary
14 lymph node, would you still be willing to skip
15 axillary lymph node dissection if you had a
16 negative PET scan? The probabilities of true
17 negative and false negative differ from the
18 population perspective because the denominators
19 differ, and we know the probabilities of the PET
20 scan before we actually undergo the procedure.
21 And again, the two examples are prevalence of 30
22 percent and 50 percent.

23 The two-by-two table is similar to the
24 first ones I presented on detection of breast
25 cancer, with the exception that the columns

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1 represent whether axillary lymph node dissection
2 as the reference standard, comparing positive
3 lymph nodes versus negative lymph nodes and for
4 this case, these are again using 100 or 1,000
5 patients as the example, at 30 percent prevalence,
6 these are what the cell counts would be. The
7 sensitivity, again, would be 81 percent and
8 specificity would be 95 percent. The probability
9 of a true positive result would be 24.2 percent,
10 false negative result would be 5.7 percent, true
11 negative result would be 66.5 percent, and a false
12 positive would be 3.5 percent.

13 Now, as you go from the population
14 perspective to the perspective of a patient with a
15 negative PET scan, the probabilities of false
16 negatives and true negatives change because the
17 denominators change. So, at the population
18 perspective, the denominator is 1,000; at the PET
19 negative perspective, this is the denominator.
20 And so, the false negative risk goes from 5.7
21 percent to 7.9 percent.

22 And here, I present the evidence in the
23 same form that's shown in tables 9 and 10 of these
24 documents. At a prevalence of 30 percent, these
25 are what the probabilities are. Here are the

00047

1 outcomes. If PET is true positive or if the
2 patient chooses to go straight to axillary lymph
3 node dissection and that's positive, the outcomes
4 would be associated with choosing adjuvant
5 therapy, and since the prevalence is 30 percent,
6 the probability would be 30 percent of that
7 outcome. For false positives on PET or having a
8 negative axillary lymph node dissection, the
9 outcomes would have to do with the morbidity of
10 axillary node dissection and the probability would
11 be 70 percent.

12 The key outcomes to look at are in the
13 center here. If PET is falsely negative, the
14 outcome would be the loss of the benefit of
15 adjuvant therapy so it would be undertreatment.
16 If PET is truly negative, the patient would
17 safely be able to avoid axillary lymph node
18 dissection and its morbidity.

19 So, we're trying to decide whether the
20 benefit outweighs the harm. The risk of
21 undertreatment is 5.7 percent from the population
22 perspective, compared to a benefit of 66.5 percent
23 of avoiding the morbidity of axillary lymph node
24 dissection, but when you go to the individual
25 perspective, the risk of fall negative rises to

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1 7.9 percent, and in this case and in the next
2 case, we conclude that that trade-off is not going
3 to be judged as acceptable to patients.

4 Here is the second example where the
5 prevalence is 50 percent, again, sensitivity is 81
6 percent, specificity is 95 percent. These are the
7 calculations for the probabilities of the
8 different outcomes from the population perspective
9 and the perspective of an individual with a
10 negative PET scan.

11 And here again, we present the
12 information as it is in tables 9 and 10, and the
13 key thing to look at is whether the trade-off
14 between the benefit of avoiding axillary lymph

15 node dissection morbidity and undertreating is an
16 acceptable one. And a risk at the population
17 perspective of 9.5 percent is pretty high and
18 would probably be unacceptable to patients. But
19 when you go to the perspective of an individual
20 with a negative PET scan, the false negative risk
21 is 16.7 percent, which is quite high.

22 All right. The conclusions that we
23 reached here, first of all, the diagnostic
24 performance data applicable to the nonpalpable
25 population is sparse. There were four studies and

00049

1 269 patients. Sensitivity was 81 percent,
2 specificity was 95 percent. Even if you could
3 have greater confidence in the diagnostic
4 performance data, in the intermediate prevalence
5 spectrum the risk-benefit trade-offs do not appear
6 to be acceptable.

7 All right. Let's move on to the third
8 indication, and this is detection of locoregional
9 recurrent or distant metastasis recurrence. I
10 will look at the background issues, the problem
11 formulation and the evidence review. The clinical
12 issues here have to do with whether the patient is
13 undergoing local versus systematic therapy, PET
14 might influence the choice of that. There might
15 be more accurate information from PET which could
16 lead to early detection of recurrent metastasis.
17 There might be improved timing or improved choice
18 of treatment.

19 The kinds of studies that we're looking
20 at that we want to see are comparative studies, so
21 these are studies in which PET and some other kind
22 of imaging test is performed on the same group of
23 patients, and both of those tests are compared
24 against a reference standard. We want to have
25 information on the discordance and concordance

00050

1 between PET and alternative tests. We want to
2 know the frequency with which each test is
3 correct, when discordant, and the frequency with

4 which one test or the correctly upstages or
5 downstages the disease when it's added to other
6 tests. The key thing here is that it is crucial
7 to have comparative studies.

8 The reference standard in studies in
9 which you're looking for metastasis or recurrence
10 is not as clear-cut as it is when you're doing an
11 initial workup. So when you're doing an initial
12 staging of lymph nodes or your initial detection
13 of the primary tumor, you almost always can get a
14 histologic reference standard. However, when
15 you're doing imaging for recurrence or distant
16 metastasis, it's usually not feasible to biopsy
17 widely, so in many cases, you would have instead
18 of a pathologic reference, you would have some
19 kind of follow-up study, and the key thing here is
20 to have an adequate duration of follow-up.

21 The bottom line is that there should be
22 a more flexible approach to what you would accept
23 as a valid reference standard for studies in which
24 you are looking at recurrence or metastasis.

25 Here is the problem formulation. The

00051

1 patients are patients who either have
2 locoregional, might have locoregional recurrence,
3 and these might be symptoms referable to the
4 brachial plexus, or patients who are suspected to
5 have distant metastasis, and this could be either
6 in the setting of initial staging or after
7 treatment. The comparison is between PET and
8 routine tests, including physical examination,
9 chest x-rays, CT, MRI, radionuclide bone scanning,
10 and we would be making comparisons by anatomic
11 site.

12 There are two comparisons that could be
13 performed. First, PET as an adjunct to other
14 tests so you're adding PET to other tests, or PET
15 done as a replacement for other tests. The health
16 outcomes that we're interested in, if PET is
17 correct, the patient could receive initial
18 follow-up treatment appropriate for that stage,
19 they might receive earlier initiation of treatment

20 and avoid the morbidity of unneeded treatment. If
21 PET is incorrect, patients may undergo unneeded
22 biopsy and potential harmful and unnecessary
23 treatment and may forego the potential benefits of
24 timely initiation of treatment.

25 Here's the specific question. Is there

00052

1 adequate evidence that PET improves health
2 outcomes as either an adjunct or a replacement to
3 the standard tests in detecting either
4 locoregional occurrence or distant metastasis
5 recurrence. We want to know the conclusions about
6 the diagnostic performance and also whether use of
7 PET in altering patient management improves health
8 outcomes.

9 Here's the evidence. With respect to
10 locoregional occurrence we have two studies, and
11 the evidence is really quite meager. There is ten
12 patients from Hathaway; these are patients who
13 were referred because of signs or symptoms
14 occurring in the axilla or nearby. The
15 sensitivity for PET was 100 percent, for MRI it
16 was 56 percent, but with a study this small you
17 can't put a lot of confidence in these numbers.

18 The Bender study included 75 patients
19 and they selected patients based on having
20 suspected recurrence or systemic disease in
21 patients who are equivocal on other imaging tests.
22 Now while this was a larger study, I think the key
23 thing here is that there was a major concern about
24 the reference standard that they used. The
25 authors claimed that they did a histologic

00053

1 reference standard in I think 90 percent of
2 patients, but they presented data for not only
3 locoregional sites but a number of other sites,
4 and it's really quite unlikely that they did
5 histologic sampling for large numbers of patients
6 who had no recurrence or metastasis.

7 So, I don't think you can put any faith
8 in these estimates of sensitivity and specificity.

9 However, at local site and at lymph nodes, PET had
10 lower sensitivity at the local sites compared to
11 CT or MR, and comparable specificity. When you
12 looked at lymph nodes, PET was more sensitive than
13 CT or MR, with comparable specificity.

14 But overall, this study has had a major
15 problem with what the residence standard was and
16 it calls into question some of these findings.

17 Looking at distance sites, there were
18 five studies with a total of 196 patients. We did
19 a site specific analysis and the most evidence
20 that we had was on bone. Here are the study
21 quality characteristics. First, three out of the
22 five were prospective. None of them avoided
23 verification bias. Three read PET blind to the
24 reference standard, and none read the reference
25 standard blind to PET.

00054

1 With respect to detecting bony
2 metastasis, the Lonneux study included 11
3 patients, really small sample. There were no
4 false negatives and one false positive. The
5 Bender study, the same one I just discussed, the
6 major problem with the reference standard in this
7 case, so I am not even going to discuss the
8 diagnostic performance right now.

9 Probably the best study is the
10 Schirrmeister study, 34 patients. PET had a
11 sensitivity of 100 percent, compared to bone scan
12 83 percent, and it was also more specific, 94
13 percent for PET and bone scan had a 69 percent
14 specificity.

15 The Cook study included 23 patients and
16 only reported data on the mean number of lesions
17 detected. PET detected more lesions per patient
18 than bone scan.

19 The Mortimer study looked at whether
20 PET could detect bone metastasis earlier than
21 other imaging techniques, and it did so in two
22 patients.

23 So overall, this body of evidence is
24 insufficient to reach conclusions about diagnostic

25 performance.

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1 There were three studies that gave us
2 data on liver metastases. The Lonneux study
3 discussed six cases, there were five true
4 positives and one false positive. The Bender
5 study, again, had the reference standard problem.
6 There were only two liver metastases in the whole
7 study. And in the Mortimer study, there was one
8 liver metastasis, so that evidence is inadequate.

9 On lung metastases, a similar
10 situation. The Lonneux study reported four true
11 positives and one false positive, and in the
12 Bender study, there were six lung metastases.

13 So the conclusions overall for
14 indication number three are that the data are
15 sparse, five studies all together, 196 patients,
16 there are no data available on results that are
17 either discordant or concordant, and no data on
18 the frequency of which test is correct, when the
19 results are discordant, and no data on the
20 frequency of correct upstaging or downstaging.

21 I would throw in a caveat that we did
22 get a very recent study published by Huebner this
23 month, I didn't get it until last Friday, and I
24 have some information about it but I don't think
25 it adds anything. It does actually give a little

00056

1 information about discordance and concordance but
2 as I said, it doesn't change the conclusions.

3 The final indication that we addressed
4 is PET for evaluating response to treatment. The
5 problem formulation is this. Patients are those
6 undergoing multicourse treatments. The comparison
7 is between PET and routine tests, which can vary
8 by treatment type but can include physical
9 examination, mammography, x-ray, CT, MRI, and bone
10 scan.

11 These are health outcomes. If PET is
12 correct, you might be able to initiate new
13 treatment, continue effective treatment,

14 discontinue ineffective treatment, and identify
15 disease free patients for continued monitoring.
16 PET might improve the timing of treatment
17 decisions by either allowing earlier
18 discontinuation of ineffective treatment or
19 earlier initiation of a new treatment, and if PET
20 is incorrect, the consequences include continued
21 harmful side effects that might affect the
22 treatment, or foregoing the benefits of additional
23 treatment.

24 This is the question we addressed. Is
25 there adequate evidence that PET can improve

00057

1 health outcomes by providing either a more
2 accurate or an earlier determination of tumor
3 reasons to treatment compared with the use of
4 conventional response criteria, which may rely
5 upon clinical exam or other imaging tests. We
6 wanted to know about diagnostic performance and
7 outcomes.

8 There were four studies all together,
9 for a total of 103 patient, and they looked at
10 different treatment regimens. Mortimer used
11 hormonal therapy, that was tamoxifen. Schelling
12 and Smith both used chemotherapy but different
13 measurements. And the Wahl study was a
14 combination therapy, chemo and hormonal therapy.

15 Here is some study quality
16 characteristics. All of them were prospective
17 designs. None of them avoided verification bias.
18 One out of four read PET blind to the reference
19 standard, and none of them read the reference
20 standard blind to PET.

21 All right. This is a busy slide, but
22 I'll try to walk you through it. The Mortimer
23 study included 40 patients who had hormonal
24 therapy, tamoxifen. The PET result they were
25 looking at was a specific change in PET at seven

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1 to ten days after treatment, and they got a
2 sensitivity and specificity of 95 and 89 percent.

3 The Schelling study selected 22
4 patients who got epirubicin and cyclophosphamide
5 or epirubicin and paclitaxel. They looked at the
6 PET results correlated with the conventional
7 response criteria either at the end of the first
8 course for 16 patients or at the end of the second
9 course for 22 patients, and the results differed
10 depending on when you did the PET scan, and also
11 for how many patients were included. So it raises
12 the issue of just how much faith you can put into
13 a specificity of 100 percent when not all patients
14 were included.

15 The Smith study included 30 patients
16 who had chemotherapy; this was cyclophosphamide,
17 vincristine, doxorubicin, and I can't remember
18 what the P stands for. Or they had docetaxel.
19 The results of the PET scan were correlated with
20 the results of pathologic findings at the time of
21 surgery, so these are patients who were undergoing
22 actually a neoadjuvant chemotherapy and the
23 criteria for response differed in these two cases.
24 In the first case we were looking at patients who
25 either had a pathologic partial response or a

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1 pathologic complete response, and in the second
2 case only patients that had a pathologic complete
3 response. And the PET result they were looking
4 for was at least a 10 percent decrease in the
5 quantitative PET index in the first case or at
6 least a 20 percent decrease in the quantitative
7 PET index in the second case. So, depending on
8 what your definition of the reference standard
9 response is and the definition of the PET response
10 is, you get different estimates of diagnostic
11 performance.

12 The final study was by Wahl, 11
13 patients. These were patients who had
14 cyclophosphamide, doxorubicin, methotrexate,
15 fluorouracil, tamoxifen, and Premarin. This is a
16 nonstandard treatment regimen. The PET was looked
17 at after the first course of treatment, and it was
18 100 percent sensitivity and specificity.

19 Overall, this is a small body of
20 studies, each of them had small numbers of
21 patients, and it's a fairly heterogenous group of
22 studies, different treatment regimens, and they
23 evaluated the evidence in very different ways. So
24 the conclusions are that the studies are
25 heterogeneous, the data is sparse and

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1 insufficient, and the potential for undertreatment
2 is substantial. So wherever there is a false
3 negative PET, these are patients who could
4 possibly be withdrawn from effective treatment.

5 The overall conclusions for the
6 technology assessment are as follows.

7 For indication number one, we have
8 diagnostic performance data applicable to the
9 upper segment of the biopsy population but not to
10 the lower segment, so we have incomplete data on a
11 full spectrum of patients. One study met study
12 quality criteria; sensitivity was 89 percent,
13 specificity was 80 percent. For the spectrum of
14 patients who had intermediate to higher
15 prevalence, the risk-benefit trade-offs do not
16 appear to be acceptable.

17 In indication number two, diagnostic
18 performance data that is applicable to nonpalpable
19 axillary lymph node population is sparse. Poor
20 studies, 269 patients. Sensitivity estimate is 81
21 percent with a wide 95 percent confidence
22 interval, specificity is 95 percent. Even if we
23 had greater confidence in the diagnostic
24 performance data, in this intermediate spectrum of
25 prevalence for positive lymph nodes, the

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1 risk-benefit trade-offs do not appear to be
2 acceptable.

3 For indication number three, the data
4 are sparse and insufficient, five studies, 196
5 patients. No data until just recently about
6 concordance or discordance or the frequency with
7 which PET is correct, when it's discordant with

8 other types, and the frequency with which PET can
9 correctly up or downstage patients.

10 Patient indication number four is
11 represented by heterogeneous studies, they are few
12 in number and have a small pool of patient sample.
13 And again, the potential for undertreatment is
14 substantial.

15 Thank you for your attention. At this
16 point, I'm done.

17 DR. PAPTATHEOFANIS: Thank you, David,
18 that was a great presentation. For the panel,
19 this is our opportunity to ask questions of David.
20 Will you be here all day or what is your plan?

21 MR. SAMSON: I'm here all day.

22 DR. PAPTATHEOFANIS: So if you don't get
23 your chance now, we will bring him up again later.

24 DR. KRUBSACK: I have a couple
25 questions. I'm trying to put the assessment in

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1 context, and let me ask a couple questions in that
2 regard. What is the harm of a negative biopsy on
3 the ability of future mammograms to detect and
4 exclude disease, that is to say how much disease
5 will be missed in the future or how many more
6 unnecessary biopsies are going to result from scar
7 tissue resulting from previous biopsy?

8 MR. SAMSON: I don't have a good answer
9 to that. I think that would be extremely
10 difficult to quantify. I know that that has been
11 raised as an issue, that performing a biopsy
12 changes the architecture of the tissue and can
13 make it difficult to find new disease, but to
14 quantify the risk associated with that would be
15 extremely difficult.

16 DR. KRUBSACK: Second question. This
17 is in regard to PET false positives. Could the
18 PET false positive actually be a true positive?
19 That is to say, what studies exist on biopsy to
20 show that a negative biopsy might actually be a
21 false negative biopsy, or said in a different way,
22 what studies demonstrate that breast biopsy is 100
23 percent reliable, or said in a different way, what

24 studies do we have that a biopsy always acquires
25 the tissue in question?

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1 MR. SAMSON: I don't think that there
2 is any perfect reference standard when you're
3 evaluating diagnostic tests. I know that there
4 are problems with the sensitivity of the reference
5 standard itself. But when you're comparing PET
6 with other tests, you have to choose a single
7 reference standard to judge all tests by. And
8 in the case of indication number one where we're
9 looking at using PET versus performing biopsy,
10 what would the alternative be to doing biopsy?
11 Would it be mastectomy? I don't think we have a
12 good answer to that question.

13 DR. KRUBSACK: Yeah, but my question
14 really is, how gold is the gold standard?

15 MR. SAMSON: It's as gold as it can be.
16 I don't think there is any alternative to biopsy
17 other than mastectomy, and that's not realistic.

18 DR. KRUBSACK: Okay. The last question
19 is in regard to the technology of the PET. You
20 know, PET is an emerging technology and the
21 expectation might be that the studies that we're
22 looking at could vary in the state of technology
23 that is used. And this would significantly impact
24 the sensitivity and specificity. So when you did
25 the assessment, did you make any effort to

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1 evaluate the studies on this basis, and then weigh
2 these results appropriately?

3 MR. SAMSON: The one variable that we
4 did look at was whether the studies used an
5 attenuation correction. We didn't look at
6 anything more specifically than that. We didn't
7 do a formal sensitivity analysis by attenuation
8 correction, but the -- and I don't have the number
9 at the top of my head on how many of the studies
10 did attenuation correction, but it was the vast
11 majority, so it's unlikely that it would have been
12 informative to do a sensitivity analysis by

13 whether attenuation correction was done. I think
14 when we eye balled it, the results didn't really
15 show any pattern of better or worse results.

16 DR. PAPTAEHOFANIS: Michael?

17 DR. MANYAK: I actually had the same
18 thought about the gold standard issue that was
19 brought up by my colleague over here, and I would
20 think that would also carry out certain lymph node
21 dissections where you are using sentinel node
22 biopsy. In other areas of cancer, we know that
23 there are skipped lesions, and I don't know the
24 incidence with breast cancer but that is something
25 inherent to this kind of comparison, there is a

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1 problem with that as a gold standard, so again, we
2 may not have the data. But to hold, to use that
3 as the absolute comparator is something I don't
4 know how to get a handle around. You have already
5 answered the question, so I am just raising this
6 again.

7 I had one other question also, and that
8 is maybe for medical oncology colleagues on the
9 panel. What is truly the effectiveness of
10 adjuvant therapy for breast cancer for positive
11 axillary lymph nodes? And the reason I ask it is
12 because, what is the consequence of the false
13 negative test in reality for the patient? And I
14 need some guidance on that because I am not a
15 medical oncologist, breast cancer is not my
16 particular field. So maybe someone could shed
17 some light on that.

18 DR. ABRAMS: I will take that one. I
19 think the statistics quoted that are largely based
20 on the meta-analysis that came out of the groups
21 that Oxford has performed, a meta-analysis on all
22 the adjuvant trials done worldwide in breast
23 cancer, would show that for node-positive disease
24 at about 10 years, and the results now go out to
25 even 15 years, there is about an 8 percent or so

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1 improvement survival, and depending on how you

2 want to, if you want to take the medians of the
3 curves of the survival curves, you can show that
4 to be on average maybe a two-year difference in
5 median survival in patients who take adjuvant
6 therapy with positive nodes versus those who do
7 not receive it. So I think that's where those
8 numbers came from, and I think that's probably the
9 best data that exists right now on that question.

10 DR. MANYAK: And the mortality rate
11 from the chemotherapy regimens this day is?

12 DR. ABRAMS: It's under 1 percent.
13 There is some mortality, especially -- it goes up
14 a little bit with adriamycin containing regimens
15 because there is some slight degree of heart
16 failure and there are some low instances of
17 leukemias induced by chemotherapy. Those would be
18 the major treatment induced causes of late term
19 mortality.

20 There can be infections short term and
21 also rare, way under 1 percent, so there is some
22 trade-off, but it's, you know, versus the 8
23 percent gain and under 1 percent mortality, still
24 comes out on the benefit side, and actually, that
25 8 percent, you can calculate it off the negative

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1 effects, so it was taking that into account.

2 DR. MANYAK: Thank you.

3 DR. PAPTAEOFANIS: Barbara?

4 DR. MCNEIL: Can I ask Jeff a question,
5 going back to the false negative issue regarding
6 axillary nodes and the gold standard. It would
7 strike me that the false negative issue for the
8 reference standard or the lack of 100 sensitivity
9 in the reference standard really doesn't apply
10 very much in that particular situation, because as
11 I would understand it, if the patient were having
12 axillary node dissection or a set of sampling,
13 there would be several samples, so the chance that
14 all of them would be falsely negative, you just
15 keep multiplying out and the probability gets to
16 be vanishingly small. So I think when we think
17 about tarnished gold standards, which we perhaps

18 want to do, it probably does not apply to the
19 axillary node area because we are just multiplying
20 out, we're increasing the chance every time we do
21 another section within a node, or we section more
22 nodes, that we're going to get a hit. Is that
23 true?

24 DR. ABRAMS: I think it's true what you
25 say that when you have 20 nodes to look at and the

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1 pathologist takes one section of each, they
2 increase their chances of finding it. But what
3 the sentinel node procedure has taught us is that
4 if you study one node very closely and do 20
5 slides through that node and then use special
6 techniques, you can sometimes find things that you
7 didn't find in the 20. So it cuts both ways
8 notice sense that you know, how -- you have to
9 realize there is a sampling error in pathology and
10 even with small biopsy samples, they take a few
11 slides and they feel statistically they have a
12 pretty good chance of finding something if it's
13 there, but you'd have to take many many more
14 slices if you wanted to get that risk down, if you
15 wanted to make that gold standard as pure as it
16 could be, and that is weighed against the ability
17 to get all the work done that we have to do.

18 So, there have been studies that have
19 looked at doing 20 slices in every node, and they
20 do find a little bit more, so there is a false
21 negative rating in pathology.

22 DR. MCNEIL: Could I just follow up on
23 the gold standard because in some ways I'd like to
24 get it off the table. It seems to me we have to
25 live with what is our tradition of medicine and we

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1 have to go by a gold standard, and maybe it needs
2 a little polish, but it's probably as good as we
3 can do.

4 Are there any examples, I guess Jeff,
5 you're the oncologist to answer this, in medicine
6 where patients would be treated definitively for

7 cancer on the basis of a positive say screening
8 test, which is what we're talking about here, and
9 a negative biopsy? Does that ever happen?

10 DR. ABRAMS: I hate to say never, but I
11 can't think, especially when you use the word
12 screening, as opposed to the more metastatic
13 disease and all that, in screening I would have to
14 say no, I think people there, the standard is to
15 have a positive biopsy at this point.

16 DR. MCNEIL: So would it be reasonable
17 then to get the gold standard issue off the table
18 for discussion of these issues?

19 DR. PAPTATHEOFANIS: I think we're done
20 with the gold standard. Go ahead, Jeff.

21 DR. LERNER: Just one thought on that.
22 Anything we want to say about needle biopsies?

23 DR. PAPTATHEOFANIS: You mean likelihood
24 of sampling error in different types of biopsies?

25 DR. LERNER: Exactly.

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1 MR. SAMSON: In the studies that I
2 reviewed, the needle biopsy was not performed as a
3 reference standard in these studies.

4 DR. PAPTATHEOFANIS: Sean?

5 DR. TUNIS: Just a few questions about
6 the tech assessment. One is, you mentioned at the
7 beginning that you had excluded abstracts from
8 review, which I know is a common thing to do. But
9 can you say anything about the number of recent
10 abstracts and the size of those studies and
11 whether there is sort of a body of data about to
12 emerge that's in abstract form now, or give us any
13 feel for that body of literature?

14 MR. SAMSON: I did look through that
15 body of literature, and I would say there is about
16 eight or nine abstracts that haven't made it into
17 print yet, and they cover a variety of uses. One
18 is even on screening, which is slightly different
19 from the indications on detection of breast cancer
20 that we have looked at here in this assessment,
21 but they don't add anything substantial to the
22 assessment, they wouldn't change the conclusions.

23 And the primary reason for excluding them is that
24 we just don't have enough information from them to
25 be able to evaluate their methods and the quality

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1 of the study. But in terms of quantity of
2 evidence, it's not a large body.

3 DR. TUNIS: Another question I had is,
4 it seemed that the two key quality features of
5 studies that were, essentially no studies that met
6 these, were the verification bias issue and the
7 blinding of, I forget which one it was.

8 MR. SAMSON: Of the reference standard
9 to the PET result.

10 DR. TUNIS: Right. I'm just wondering,
11 just for the nonmethodologists and the
12 pseudomethodologists here, if you could just
13 explain, you know, what is verification bias, how
14 important is it, and the other as well?

15 MR. SAMSON: Well, in terms of weighing
16 how important verification bias, that's a
17 difficult thing to do. Methodologists are trying
18 to come up with rating scales, but it's difficult
19 to weight one form of bias against another, but it
20 is agreed that it is an important source of bias.
21 It happens when patients who undergo the PET scan
22 have those results, those results influence the
23 decision whether to undergo the reference
24 standard. Now ideally you want all patients who
25 get the index test to undergo the reference

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1 standard, so you don't want the results of the
2 test to determine whether patients get the gold
3 standard tests. It can bias the diagnostic
4 performance data.

5 And the other question about whether
6 the reference standard was blinded with respect to
7 the PET imaging, we just couldn't find any studies
8 in which there was a clear statement in the
9 methods of the paper that that was done, and I
10 can't explain why. I have seen it other
11 literatures and diagnostic tests, but it just

12 didn't occur in this one.

13 DR. TUNIS: So the expected impact of
14 that would be so the readers would, you're saying,
15 may have known what the PET result was when they
16 were reading the conventional imaging?

17 MR. SAMSON: We don't know, they could
18 have, but we don't know.

19 DR. TUNIS: You just don't know.

20 MR. SAMSON: Yeah. The important point
21 I want to make is that when I give those counts on
22 the study quality characteristics, when I say zero
23 studies had the reference standard interpreted
24 blindly to the respective PET, the rest of them
25 actually were just uncertain, we didn't have

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1 enough information to make a determination, but we
2 couldn't say that any of them definitely used a
3 blinded interpretation of the reference standard.

4 DR. GUYTON: David, the reference
5 standard that you're talking about here is the
6 pathology result?

7 MR. SAMSON: Well, it varied from
8 indication to indication. For the first two
9 indications, it was pathology, right.

10 DR. GUYTON: So, I don't see how
11 knowing what the PET result, how that would affect
12 the reading of the histology.

13 MR. SAMSON: You could make that
14 argument, but it has also been argued in the
15 literature that blinding of both reference
16 standard and the test itself can have an impact on
17 the diagnostic performance, and it has been
18 studied to see if there is an impact and an impact
19 has been found.

20 DR. MCNEIL: There is at least one
21 article to look at the importance of verification
22 bias, and it was by Colin Bage a number of years
23 ago from Memorial Sloan-Kettering, and that looked
24 at, I have forgotten, CT, and help me, and liver
25 scans and liver metastasis, and the difference --

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1 it was an old study but it was actually quite a
2 well-done study, and the difference in sensitivity
3 among those who actually had the biopsy versus
4 those whom they modeled would have had a result
5 had they had the biopsy but didn't, which is a
6 little bit tricky to do, but nonetheless, they did
7 the best they could. It was quite substantial, I
8 think it was about 20 percentage points in
9 sensitivity, so that was a big hit on the
10 verification policy. It increased it, the bias
11 increased it.

12 DR. PAPTATHEOFANIS: I had a couple
13 questions for you, David. I notice that in your
14 selection of evidence, you focused on papers that
15 dealt exclusively with breast cancer. As this is
16 an emerging technology, a lot of the literature
17 includes compilations of different kinds of cancer
18 in the same manuscript, where say a paper has 75
19 cases on breast cancer and maybe five on lung, I'm
20 just curious, is there a significant number of
21 papers that were excluded because of the purity of
22 that criteria?

23 MR. SAMSON: I didn't keep a close
24 count on that, but just my memory is no, there
25 wasn't a lot of evidence that was excluded based

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1 on that. If we had included it, you would be
2 mixing diagnostic performance data for PET in
3 other malignancies and there could very well be
4 different levels of diagnostic performance across
5 different malignancies.

6 DR. PAPTATHEOFANIS: Sure. My other
7 question is, I guess also coming from a
8 pseudomethodologist perspective. The confidence
9 profile method for doing meta-analysis, David Eddy
10 is a champion of that, and especially its use in
11 diagnostics. Did you consider that? I know you
12 used the random effects because of the Annals and
13 the Cochrane approach, but did you consider using
14 that?

15 MR. SAMSON: We didn't. We haven't
16 accumulated much experience in using the

17 confidence profile method for diagnostic tests,
18 and we decided to use an approach that has I think
19 a better track record, at least it has been
20 published on the summary ROC curve method, I think
21 there is more literature on that and more people
22 are familiar with it.

23 DR. PAPATHEOFANIS: Great. Any more
24 questions? Michael.

25 MR. KLEIN: Yes. Did you consider in

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1 your recommendations or in your findings the
2 comparison of PET to that of traditional film or
3 analog based mammography where detection rates
4 have been confirmed by a number of studies and
5 average anywhere from 77 percent to 82 percent,
6 that the false negative rate is in the high 15 to
7 20 percent rate and you have false positive rates
8 also in the range of anywhere from 7 to 10
9 percent.

10 MR. SAMSON: Are you asking me this
11 with regard to the screening use of PET?

12 MR. KLEIN: Correct.

13 MR. SAMSON: That was an indication
14 that we were evaluating for this technology
15 assessment. And basically, there are no data for
16 using PET in the screening population. We just,
17 that kind of information is not available so we
18 didn't consider it.

19 MR. KLEIN: And then the other question
20 I had, was the two to four centimeter range size
21 selected, which would be indicative of a mid to
22 early late stage cancer, was there a particular
23 reason for that population chosen?

24 MR. SAMSON: That's just how the
25 investigators selected their patients. The only

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1 guess I could make is that you know, whenever a
2 diagnostic technology is being introduced, the
3 investigators tend to test it out first on
4 patients who have more easily detected disease. I
5 can't think of any other reason why there is not

6 more data on patients who may have a lower
7 prevalence of disease, maybe indeterminate
8 mammograms and smaller tumors. I think it would
9 be terrific if we could get that kind of data, but
10 it's not available yet.

11 DR. PAPATHEOFANIS: Great. Any more
12 questions? Well, we're three minutes behind
13 schedule. Let me remind you that David will be
14 around as he said all day, so we can return to
15 him. I think you've done a fine job in bringing
16 this data together for us and it has been very
17 useful for us to have your document as we will go
18 through the day. So let's take a break and return
19 in 15 minutes.

20 (Recess.)

21 DR. PAPATHEOFANIS: We would like to
22 get started again.

23 MS. ANDERSON: We are now going to move
24 into the time for scheduled public comments.
25 Public attendees who have contacted the executive

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1 secretary, that would be me, prior to the meeting
2 will address the panel and present information
3 relevant to the agenda. Speakers are asked to
4 state whether or not they have any financial
5 involvement with manufacturers of any products
6 being discussed or with their competitors.

7 We are going to begin with Dr. Sam
8 Gambhir, to be followed by Dr. F. David Rollo, Mr.
9 Bob Britain, and Dr. Steven Larson to finish. Dr.
10 Gambhir.

11 DR. GAMBHIR: Great. I'm actually over
12 here, gentlemen, ladies. Since you're going to be
13 looking at the screen, I figured I might as well
14 stand over here, and she will operate the slides.

15 So in the 20 minutes that I have been
16 allocated, I am going to use some strategies to
17 try to convince you that what we're looking at is
18 actually a different scenario than what's been
19 presented during the last hour, hour and a half.
20 I base this on going through the report that has
21 been done and you heard presented, I base it on my

22 experience in actually reading PET scans over the
23 last nine to ten years, and based on talking to
24 the members of the oncology PET community. And I
25 also add to this that because I build decision

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1 models myself, look at health care outcomes myself
2 as part of a decision modeling laboratory, that I
3 think put together a clinical picture with the
4 health models in an appropriate way. Next slide.

5 So I will do this by first arguing that
6 when we look at the breast FDG we cannot look at
7 just FDG applications in the breast. I have
8 argued this six months previously to the executive
9 panel that we need to look at not just breast
10 literature because in fact my belief is that, as
11 other believe, that with PET, we are actually
12 monitoring things that are -- can you guys hear
13 me?

14 I will just speak up. I am going to
15 argue for that briefly. Then I will take you
16 through some literature reviews including some
17 abstracts and tell you the importance of that.
18 And I am going to argue for three areas for the
19 use of FDG-PET. And the common theme in these
20 three areas is that we need to look at the data we
21 have available now, as limited as one might
22 believe that data is, and look to see which women
23 are the most underserved that can currently be
24 helped given the understanding that we have of the
25 literature. I will do this by simply looking at

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1 women with dense breasts, and then looking at
2 women with recurrence, and finally going on to
3 monitoring treatment and looking at FDG-PET in
4 monitoring treatment, and finally I will conclude.
5 Next slide.

6 Glucose metabolism and FDG are based on
7 many many years, many decades of underlying
8 biochemistry, well documented in the basic science
9 literature. This has been stressed over and over
10 again, but we need to remember that glucose

11 metabolism is critical to proper cell function,
12 it's critical to cerebral function because of ATP
13 derivation in the neurons, it's critical in
14 ischemic tissue because it's protected, and in
15 cancer specifically, it's increased 19 to 25 fold.
16 I think when we look back 20 to 30 years from now,
17 we will not look at cancers based on their site of
18 origin, we will look at cancers based on their
19 molecular errors, based on which alpha genes are
20 amplified, which receptors are overexpressed or
21 underexpressed.

22 And really that alludes to the fact
23 that the literature you look at for FDG needs to
24 look at all cancers, not just breast cancer. What
25 causes a false positive in breast cancer in many

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1 ways is similar to the locations in which that
2 lesion is found, and that can be similar to lung
3 cancer, similar to a head and neck cancer that's
4 metastasized. It's not just the origin of the
5 tissue, it's the common need for glucose. Next
6 slide.

7 This of course goes back to the
8 biochemistry of these cells needing to produce ATP
9 through their high proliferate rates. In fact
10 using anaerobic glycolysis, less ATP per glucose
11 is produced so more glucose is needed. Up
12 regulation of glucose transporters and hexocynase
13 then drives the various pathways for both energy
14 derivation and DNA and RNA synthesis. These up
15 regulations are common to breast cancer cells as
16 well as lung cancer cells, as well as a whole host
17 of other cancers, and breast cancer cells for the
18 most part are on the high end of the spectrum,
19 they are not on the low end of the spectrum in
20 terms of up regulation of fundamental molecular
21 pathways. They tend to take up a lot of glucose
22 and therefore, a lot of FDG. Next slide.

23 Now we have heard extensively the
24 literature review, which I've also reviewed
25 independently, and I have no disagreements with

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1 it. The studies are limited, there are needs for
2 improving those studies, there's reasons to
3 improve them, I think that will happen in due
4 time. Next slide.

5 But what I have done is just illustrate
6 a few, and when you cut through all those studies
7 that were presented, whether they be research
8 articles or more recently abstracts, what we're
9 dealing with is, yes, a handful of articles. But
10 they are being published not just in imaging
11 journals but in cancer journals like the Journal
12 of National Cancer Institute, Journal of Clinical
13 Oncology, surgical journals as well. They are not
14 a series of limited articles in limited journals.
15 And yes, each of these do have limitations, they
16 could have larger numbers, but this is again that
17 catch 22 that without reimbursement it's very
18 difficult to do the larger kind of studies that
19 need to be done because these are of course not
20 being backed by any sort of clinical trials from
21 drug companies or manufacturing companies. Next
22 slide.

23 These studies do date back all the way
24 to even 1989. They have slowly built up to the
25 most current year where I think we will see a

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1 whole host of other studies. I reviewed myself
2 five papers that are currently in press that are
3 not available to anyone, three of which will
4 appear in the Journal of Clinical Oncology. All
5 of them continue to point to building evidence
6 based on the kinds of preliminary data that these
7 earlier studies generated. Next slide.

8 When you break down based on each of
9 the categories, diagnosis, staging, recurrence, as
10 well as monitoring treatment, and you look at
11 articles and abstracts as well as articles only,
12 for the most part, including the abstracts
13 strengthens the end, it increases the total number
14 of patient studies, and it tends to actually
15 decrease slightly the sensitivity and specificity.

16 That is, the abstracts I think are showing us that
17 the actual accuracies are dropping slightly
18 compared to what we saw in the research articles
19 alone, but in fact gives us more weight that these
20 actual sensitivities and specificities are
21 reasonable.

22 For example, in the area of diagnosis,
23 what we're looking at are sensitivities of 90
24 percent and specificities of 92 percent, with an
25 overall accuracy of 88 percent, when you look at

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1 lesions. And when you come to the research
2 articles, those are the only ones that also looked
3 at lesions so it's the same numbers, 90, 92 and
4 88. But when you look at patient studies, if you
5 just look at research articles, we've gone from
6 about a hundred to 200, double the number of
7 patients. The accuracy when you look at research
8 articles alone are 93 percent, 93 percent, overall
9 accuracy of 94, where here we're looking at 91,
10 93, 95. Not much of a change, even though we've
11 doubled the number of patient.

12 Now these admittedly are based on these
13 weighted averages, these are not ROC analyses, but
14 as I will argue, I don't think the real issue is
15 what is the exact sensitivity or specificity.
16 It's a range of sensitivity and specificity that
17 continues to be reinforced based on the outcoming
18 data. Next slide.

19 In staging, what we're seeing again
20 when we include just articles are sensitivity of
21 92, specificity of 90. When you include articles
22 and abstracts, again, a significant jump, about
23 500 more patients. What you see are accuracies of
24 91, 88, and overall accuracy of 90. So the values
25 are not changing that much, although we're gaining

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1 more confidence that these results are real based
2 on the large number of patients. Next slide.

3 When you look at diagnosis and staging
4 combined, these are just a very limited subset of

5 data, and again, there is no significant addition
6 through the abstracts. Next slide.

7 When you look at recurrence, again,
8 doubling the number of studies from about 200 to
9 400, you see sensitivities of 90, 90, 93, and 80,
10 85, 82, so slight decreases in the sensitivity and
11 specificity and accuracy based on adding the
12 additional number of studies. Next slide.

13 In monitoring response, again, what we
14 see happening is going from 150 to about 200
15 studies. Sensitivity of 81, specificity of 97,
16 accuracy of 92, and now we're going to 81, 96, 92,
17 so again, a very similar pattern. Next slide.

18 So what these data are telling me
19 really is that if you just look at research
20 articles alone, after you dissect apart all the
21 different areas of applications, in over about a
22 thousand patients right now, across just the
23 research articles, what we're looking at are
24 ranges of sensitivity of 75 to 91, and specificity
25 of 74 to 93. When you add in the abstracts, these

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1 same kinds of ranges still persist; as a matter of
2 fact, the ranges don't change, the abstracts all
3 fall in between these ranges, it's just that the
4 number of articles and abstracts now increase, and
5 the number of patients comes up into the 2,000
6 range. I don't think the real issue, even if we
7 were to revisit this problem two or three years
8 from now, will be what is the exact sensitivity
9 and specificity of PET in breast cancer detection,
10 diagnosis, management, recurrence. We can
11 continue to gather the studies and my best
12 guesstimate at the current time is they will
13 continue to fall in these ranges.

14 I think the bigger problem is, what is
15 the clinical applications in which women that are
16 currently underserved would benefit from a
17 sensitivity and specificity in the current range
18 as compared to what other studies offer us, and
19 that's how I have modeled the next set of
20 arguments. It's not going to be about trying to

21 find out for sure what the exact sensitivity and
22 specificity are. What we're really going to have
23 to ask is what studies are patients going to
24 benefit from the most and in what clinical
25 management outlooks. Next slide.

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1 So, that's what we want to focus on,
2 what's the clinical setting based on what we know
3 about accuracy today so we can ration the
4 technology for a good use. Next slide.

5 I think one such application that we
6 have not addressed properly in the literature but
7 there is inference based evidence for to apply PET
8 to is that of women with dense breasts. Next
9 slide.

10 This is an example of a female in her
11 young 40s who actually has a high risk of breast
12 cancer based on family history, who kept getting
13 mammography even after the age of 35, kept having
14 negative mammograms, had dense breasts, Wolf scale
15 DY based on mammographic density, and then finally
16 because she had access to it, decided to have a
17 PET scan based on being able to pay for it
18 herself. And there's clearly as it turns out, to
19 be a one centimeter focus. This is ductal,
20 infiltrating ductal carcinoma. This is an example
21 of the kind of signal you can get from a dense
22 breast. This signal is compromised in low energy
23 x-rays of mammography. PET has no real concern
24 that this is coming from a dense breast. The
25 physics are such that that signal is properly

00088

1 relayed from a dense breast.

2 In fact, you have to focus not just on
3 that, but actually in other views where her arms
4 are up, lack of axillary findings and lack of
5 involvement in her entire body. What would happen
6 to her had she not have had this PET scan? Well,
7 no one can say for sure, but my guess is this
8 lesion would have continued to have gotten bigger,
9 bigger than the one centimeter it is, eventually

10 it would have been palpated, would have been
11 found, and she would have had a chance for staged
12 progression. Next slide.

13 In fact, four years ago, I started
14 looking at, with Matt Allen in my laboratory,
15 decision models for just this area of application,
16 not specifically for PET, let's try and
17 understand, what can we do for women with dense
18 breasts that have a mammogram, are actually
19 falsely negative, and then just come back and have
20 a screening mammogram a year later and a year
21 later and always miss, what can we do for these
22 women now given that they are not being well
23 served by the existing modalities. Next slide.

24 Well, one possibility is in fact
25 concern for these women that have a negative

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1 mammogram, a PET study. We don't have data
2 specifically looking at the use of PET in this
3 exact population, but we know based on the physics
4 of the technology, based on applying it to women
5 with dense and nondense breasts together, that PET
6 has a sensitivity in the range that I'll show you
7 an a specificity in the range that I'll show you.
8 And these women have no other way of knowing
9 really whether they have a focus. Next slide.

10 Does it matter that you catch the dense
11 breast lesion early? Yes. I think at this date
12 in research and treatment, that there is evidence
13 to believe that for a six-month delay or more,
14 women would have a .047 chance of distant disease
15 on initial presentation. That's with a six-month
16 delay in diagnosis, but when there is no delay,
17 that is when they're caught right away, and of
18 course we catch more at the local stage, .875,
19 less at the regional, and none at the distant. So
20 this six-month delay is costing a progression of
21 disease in these women that have in this case
22 dense breasts. These women then will benefit
23 potentially if we can insert a test to catch them
24 prior to stage progression. Next slide.

25 In fact, mammography does terribly in

00090

1 this area. Just like that woman who I showed you,
2 the example where the sensitivity was unknown but
3 the mammogram missed the lesion for three years,
4 66 percent is the estimated sensitivity for
5 mammography in dense breasts based on the
6 literature. We have done sensitivity analysis to
7 cover the entire range. PET, even if you go to
8 the lower end of the range, you would be looking
9 at 75 to 80, maybe 70 percent in this range. For
10 these models I've actually plugged in 70, but I'm
11 showing you that realistically I believe it is
12 higher, that it's around 80 percent sensitivity.

13 The specificities of mammography and
14 PET are comparable in this application. The
15 biopsy approaches are not accurate, at least for a
16 needle biopsy. Incisional biopsy essentially is
17 100 percent. We've modeled all these. The
18 details are in that article in Breast Cancer
19 Research and Treatment by Allen, et al. Next
20 slide.

21 What we've shown is that you if you
22 screen, for example, 3 million women with DY
23 breast density with mammography and a second test,
24 and in this case I have inserted an FDG-PET, that
25 will lead to 1,638 fewer false negatives than

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1 using mammography alone. That will translate to a
2 prevention of 267 women progressing from local to
3 regional, and 78 women from regional to distant
4 disease. These numbers don't sound big when you
5 compare it to the number of women being put into
6 the algorithm, but remember, the incidence of
7 breast cancer is pretty low.

8 If you want to be even more selective
9 about who you choose, rather than just DY breast
10 density on the Wolf scale, of course you can
11 reduce the number of women coming in. But these
12 women are underserved, they are going to have
13 their lesions missed on mammography, they are
14 going to progress in stage, and these studies as

15 the best I can do based on the available
16 literature, reasoning from what we've got, show
17 that we're going to actually help to prevent
18 progression of the disease, so I would like for
19 you to consider that as one potential area of
20 application, with an actual health outcome
21 difference, not just a simple, you know, here's
22 how many scans PET avoided or here's how many
23 costs it saved, it's actually a health outcome
24 difference. Since we're looking at health
25 outcome, let's go after women that are

00092

1 underserved. Next slide.

2 Then how about assessment of extent of
3 disease after recurrence? Next slide.

4 Here is an example of a woman who had
5 breast cancer actually in the right breast, which
6 is on our left, had lumpectomy, had adjuvant
7 chemotherapy, three or four years later presents
8 back with rising tumor markers, in this case a
9 mucin marker, and is now subjected to a whole body
10 PET scan. Again, the power of PET here as an
11 initial tool is that the entire body is surveyed,
12 we can immediately get a sense for where the
13 disease may be localized. In this case, it's
14 actual in lymph nodes, in the mammary lymph node
15 and sternal bony involvement. More importantly,
16 there is not any involvement in the axilla, the
17 breast mass, as well as distant metastases in the
18 abdomen or pelvis. This directly influences
19 management because now she can undergo
20 locoregional treatment as opposed to more systemic
21 treatment, although some would argue that her bony
22 metastasis of the sternum would dictate more
23 aggressive treatment.

24 Does it make a difference in terms of
25 health outcome? Not clear, and I can't argue

00093

1 these issues in terms of health outcome, but I can
2 argue them in terms of at day-to-day practice.
3 Physicians will routinely after, whether a tumor

4 marker triggers recurrence, a new palpable mass, a
5 new palpable node, start by doing a series of CT
6 studies, start by doing a series of bone scans,
7 try to see where the tumor has recurred, has it
8 recurred regionally, has it recurred regionally
9 plus the axilla or distant? And the key is, PET
10 is giving you all that information in one scan,
11 yes, with not a perfect specificity or perfect
12 sensitivity, but with, as you heard, close to a
13 specificity and sensitivity with what we see in
14 the other modalities.

15 And for this you can look beyond the
16 breast literature. What causes the false
17 positives and false negatives in the abdomen and
18 pelvis for breast cancer are the same as what is
19 the case in lung cancer, it's the same underlying
20 biochemistry for these lesions, it's not new just
21 because it originated in breasts. Next slide.

22 Here's another example where pleural
23 metastases now have occurred, dictating a much
24 poorer prognosis. This is someone who actually
25 had left-sided breast cancer, on our right, and

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1 again, three or four years later started to
2 present with in this case rising tumor markers,
3 CEA actually, and was found to have excessive
4 pleural involvement. Next slide.

5 So, in 35 research articles, the mean
6 sensitivity and specificity are around 90 percent,
7 90 percent sensitivity, 90 percent specificity,
8 and including the abstracts, there's about a 40
9 percent change in management occurring on a
10 day-to-day clinical practice. Use of FDG-PET in
11 this setting would help to establish the
12 aggressiveness and the nature of treatment. Yes,
13 I don't know if it will make a difference in
14 health outcome for these women, but it will in
15 fact establish the nature of the chemotherapy or
16 local aggressiveness if you wanted a local
17 surgery, based on the FDG-PET study.

18 So again, these are women that would be
19 better managed if the extent of disease throughout

20 the body could be better identified and more
21 appropriate management undertaken. Next slide.
22 Monitoring response to therapy. Next
23 slide.

24 Here's an example of the kind of
25 typical studies we see. I think one injustice in

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1 looking at these articles and the numbers is that
2 you don't get to see the visual results. This is
3 a woman that has in fact recurred, there is -- I'm
4 sorry, was initially diagnosed with Stage Iv with
5 extensive nodal involvement and metastases. You
6 can see FDG uptake in lymph nodes and in bone
7 throughout the thorax. Within two cycles of chemo
8 for her you can see resolution of those same foci,
9 well in advance of the CT which still shows no
10 potential size reduction. These women can be
11 better managed because in fact now in her case, we
12 know the chemo is working.

13 Similarly, there are example where the
14 chemo is not working and we can change the
15 therapeutic options for that patient. Next slide.

16 So yes, we only have a few research
17 articles, we have five research articles. The
18 mean sensitivity and specificity are 90 and 74
19 patient, and it's only 174 patients so far. But
20 again, I think as more data will be generated,
21 these sensitivities and specificities will
22 continue to fall in these ranges and really the
23 case will be what is the management change based
24 on these studies that are occurring. And again,
25 the data continues to show in clinical practice

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1 that FDG-PET in this setting would help to
2 establish response or lack thereof for a given
3 treatment regimen, allowing you to change the
4 chemotherapeutic regimen and this should lead to
5 better management, I don't know if it will lead to
6 better health outcomes, but on a day-to-day
7 practice that will lead to better management and
8 hopefully earlier response can be gauged and

9 hopefully better outcomes will result. Next
10 slide.

11 Some conclusions. FDG-PET has a
12 biochemical basis that will continue to reinforce
13 the accuracy of this test in various clinical
14 settings. Please do not look at just the breast
15 cancer literature alone, that's not the right way
16 to think about malignancies. The mean sensitivity
17 and specificity values for FDG-PET in various
18 applications for breast cancer are not likely to
19 change much with the additional studies. Yes,
20 they may fluctuate around these different means
21 and variances, but they are not going to change
22 the overall value significantly.

23 It's underserved women, screening women
24 with dense breasts, restaging women with
25 recurrence so we get a whole body survey,

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1 monitoring response to therapy so we can change
2 chemotherapy are all applications that can be
3 currently justified if you look at management
4 changes, some minor decision modeling with the
5 evidence we have so far. Next slide.

6 We need to focus FDG-PET on women that
7 are most underserved. This will allow and justify
8 the rationing of the technology. We don't want to
9 use it unnecessarily across every indication but
10 the indications I'm showing you I truly believe
11 have practical implications, have enough data to
12 give us some jump in trying to study these women
13 now, and we shouldn't wait to help women in the
14 future when we have ongoing validation, rapidly
15 emerging abstracts that enforce the data, when we
16 can help these women now. Thank you.

17 DR. PAPANICOLAOU: Great, thank you,
18 Dr. Gambhir. I wanted to take just a couple
19 minutes to see if there are any questions for
20 Dr. Gambhir by panel members before we go on to
21 the next speaker. No? Okay. Let's go on to the
22 next speaker. Dr. Rollo.

23 DR. ROLLO: Good morning, I am
24 Dr. David Rollo. I am currently the chief medical

25 officer of ADAC Labs, a company that was recently

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1 acquired by Philips Medical Systems. ADAC
2 Laboratories is a manufacturer of PET imaging
3 systems. I joined ADAC in October of 1999. In
4 this position I am responsible for the clinical
5 research programs, luminary and professional
6 relations in the management of the medical
7 advisory board. I am also the medical director
8 for all regulatory compliance matters and serve on
9 the strategic planning committee of the
10 corporation.

11 Previously I was chief medical officer
12 of Humana when Humana was a hospital company and
13 also at that time was also the owner of its own
14 medical insurance plan. At Humana I held the
15 position of senior vice president of medical
16 affairs as well as the founding medical director
17 of the Humana Health Plans.

18 In addition, I am on the board of
19 directors of the diagnostic imaging and therapy
20 systems of the National Electrical Manufacturing
21 Association, known as NEMA. I am here this
22 morning representing the views of NEMA.

23 NEMA is the nation's largest trade
24 association representing the United States'
25 electrical industry. NEMA's diagnostic imaging

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1 and therapeutic systems division represents more
2 than 95 percent of manufacturers in a \$5 billion
3 market for high tech x-ray imaging, computer
4 tomography, diagnostic ultrasound, radiation
5 therapy, magnetic resonance imaging, and nuclear
6 imaging equipment. In addition, the division
7 represents the manufacturers of picture archiving
8 and communications systems.

9 I am accompanied this morning by
10 Mr. Robert Britain. Mr. Britain is vice
11 president, medical products, of NEMA. Prior to
12 joining NEMA in 1985, Mr. Britain spent 23 years
13 in the United States Public Health Service Food

14 and Drug Administration, during which he held
15 positions as Director, Office of Compliance,
16 Bureau of Radiological Health, Deputy Director of
17 Bureau of Medical Devices, and Director, Office of
18 Device Evaluation in the Bureau of Medical Devices
19 and the Center for Devices and Radiologic Health.
20 Mr. Britain is here to assist in the event that
21 any policy issues relating to the medical imaging
22 industry be raised.

23 On behalf of NEMA and its member
24 companies, we appreciate the opportunity to
25 address the Medicare Coverage Advisory Committee

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1 for Diagnostic Imaging Panel on this important
2 topic of Medicare coverage of breast cancer.
3 There are a number of issues, concerns and
4 considerations that we would like to urge the
5 panel to bear in mind as you deliberate on this
6 coverage issue.

7 First, we'd like to urge the panel to
8 consider a wide body of evidence, move forward to
9 consider PET coverage for breast cancer. No one
10 on this panel needs to be told of the devastating
11 effect that breast cancer is having on women and
12 their families across this country. The stakes
13 are huge. According to the American Cancer
14 Society, every woman is at risk for breast cancer,
15 and as a woman ages the risk of breast cancer
16 increases. This year 182,800 women in the United
17 States will be diagnosed with breast cancer and
18 over 40,000 of these individuals will die.
19 Excluding skin cancer, breast cancer is the most
20 common form of cancer in women in the United
21 States and is the third leading cause of cancer
22 related deaths. We believe the breadth and scope
23 of this deadly disease requires a flexible and
24 forward looking approach to providing not only new
25 tools in the diagnosis and treatment of the

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1 disease, but also to encourage an environment that
2 is conducive to the development of new

3 technologies to address and eradicate this
4 disease.

5 The key to successful breast cancer
6 treatment is early detection, finding, accurately
7 staging and treating the cancer before it has had
8 a chance to spread. The five-year survival rate
9 for localized tumors, that is tumors that have not
10 spread out of the breast tissue, is nearly 97
11 percent. For those that have spread to adjacent
12 lymph nodes, it is around 75 percent, and for
13 cancers that have spread to other parts of the
14 body, it's only 20 percent.

15 Clearly technology advances will be
16 able to better whether suspicious structures are
17 in fact malignant and whether or not any of the
18 malignant cells have metastasized to adjacent or
19 distant parts of the body. Clearly one of the
20 real remaining challenges of diagnosis and
21 treatment for good breast cancer is good staging.
22 As everyone here knows, PET is a noninvasive
23 diagnostic procedure that assesses the level of
24 metabolic active and perfusion in various organ
25 systems of the human body. In PET, the positron

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1 camera is used to produce cross-sectional
2 tomographic images which are obtained by imaging a
3 positron emitting radioactive tracer such as FDG
4 or fluorodeoxyglucose. This is usually
5 administered intravenously to the patient.

6 This technology has proven valuable in
7 providing metabolic information on tumor activity
8 and other indications. Currently, HCFA is
9 covering PET for diagnosis, initial staging and
10 restaging of non-small cell lung cancer. For
11 colorectal cancer, it has been a standard to
12 include diagnosis, staging and restaging. It's
13 also the initial staging and restaging of both
14 Hodgkin's and non-Hodgkin's diseases, the
15 diagnosis, initial staging and restaging of
16 melanoma, the diagnosis, initial staging and
17 restaging of esophageal cancer, and the head and
18 neck cancers.

19 And importantly, as we just pointed
20 out, in all cases we are looking at increased
21 metabolic activity having nothing to do with the
22 source of the cancer, but simply the process of
23 what happens when cancer cells spread to other
24 parts of the body.

25 Congress and administrations past and

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1 present have recognized the importance of moving
2 forward on the diagnosis and treatment of breast
3 cancer. Federal spending for breast cancer
4 research at the Department of Defense, the
5 National Cancer Institute and other federal
6 agencies has grown over the years, and it has
7 become quite significant. This reflects the
8 intense interest in the mind of the public in
9 bringing the resources of the federal government
10 to bear on saving the lives of the women who are
11 diagnosed with this deadly disease.

12 We believe that this context should
13 drive this panel, and subsequently the full MCAC
14 Executive Committee, to take into consideration
15 the full array of clinical information about PET's
16 effectiveness, such as experience of practicing
17 physicians, medical specialty societies and
18 patients. We do not believe that the analysis of
19 evidence about a technology's effectiveness,
20 especially in dealing with a deadly disease such
21 as breast cancer, should be confined to peer
22 reviewed articles, which are the sole source of
23 information for the Blue Cross/Blue Shield
24 Technology Evaluation Center report. We believe
25 it is appropriate for HCFA and for you in your

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1 advice to this Agency to take into account broader
2 public policy considerations and coverage
3 decisions.

4 For this reason, we believe it is both
5 warranted and appropriate for this panel to take
6 into consideration in addition to peer reviewed
7 studies, the expert judgment of the leading

8 developers and innovators of PET technology, input
9 from the appropriate medical societies, from
10 patients, and the fact that the United States
11 Government has made the improved diagnosis and
12 treatment of breast cancer a national priority.
13 For this reason, we also believe that the fact
14 that PET has been determined by HCFA to be worthy
15 of coverage for six other cancer indications to be
16 suggestive of its potential effectiveness in other
17 indications should be recognized for breast as
18 well.

19 Second, we are concerned that the panel
20 consider the fact that in many cases, medical
21 practice and technology evolve more rapidly than
22 the publication of studies which document their
23 benefit to patients, as we just noted. Technology
24 assessments relying on peer reviewed published
25 literature which meets preestablished rigorous

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1 inclusion type criteria such as the TEC assessment
2 do not adequately and fully reflect the current
3 practice of medicine, or available technology
4 advances for patients in existence today.
5 Timeliness in coverage decision making is
6 essential to providing access to patients to the
7 latest innovations of medical technology.

8 Third, we believe HCFA and the MCAC
9 should explore ways to insure that Medicare
10 beneficiaries have access to emerging medical
11 technologies, not just existing an mature
12 technologies, while at the same time providing for
13 development of information to support decision
14 making in the long term. Clinical experience and
15 actual patient studies should be considered, along
16 with patient registries, real-time data
17 collection, or collaborative agreements with other
18 bodies as possible alternatives.

19 For these reasons and for this
20 indication, we believe that the panel should have
21 and should exercise reasonable flexibility, again,
22 the word flexibility, in its coverage
23 recommendation. This flexibility should extend

24 not only from the nature of the evidence
25 considered for the effective of PET for breast

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1 indications, but also to a forward looking
2 coverage policy for a national priority disease
3 that lays the foundation for subsequent studies
4 and data collection that would support longer term
5 coverage decisions.

6 Fourth, we believe there are important
7 indications that PET has a unique capability in
8 terms of its value in staging breast cancer and
9 detection of metastatic disease. In comparison
10 with other diagnostic modalities, PET possesses a
11 greater degree of sensitivity and specificity that
12 enables it to detect metastasis far earlier in the
13 disease process that permits appropriate and
14 timely treatment of metastatic as well as
15 localized disease.

16 The clinical information obtained from
17 PET imaging can be used to avoid or sharply reduce
18 the cost and risks associated with surgery on
19 patients with inoperable cancer, which is also a
20 consideration for the other indications that have
21 already been approved.

22 My personal experience is at Cedar
23 Sinai Medical Center in Los Angeles, where we are
24 conducting a clinical trial on breast cancer. As
25 an example, I recently participated in a study on

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1 a 35 year old woman with evidence from a mammogram
2 and biopsy that she had a solitary cancer in her
3 left breast. She requested a PET scan, with the
4 understanding that she would have to pay for this
5 at her own expense, because she wanted to be sure
6 of the diagnosis, that is, she had no evidence of
7 disease other than the solitary mass that had been
8 identified. The PET study showed four lesions in
9 her breast rather than one, as had been indicated
10 on the palpable mass, the mammogram and on the
11 biopsy, as well as lymph node involvement that was
12 not evident on the examination by her referring

13 physician. The whole body study showed no
14 evidence of additional metastasis.

15 Her treatment was changed from a
16 lumpectomy, which had been the original decision
17 by the surgeon and her referring physician, to a
18 radical mastectomy and lymph node dissection. The
19 staging clearly saved this woman's life and the
20 agony of disease when it was detected months later
21 from the residual if it had not in fact been
22 removed. The cost implications would have been
23 roughly \$10,000 for the lumpectomy treatment,
24 followed by a 60 to \$80,000 dollar chase of the
25 cancer that was left in her body, with a life

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1 expectancy over two years of no more than 10 to 20
2 percent. The staging using PET resulted in a 15
3 to \$20,000 treatment for the radical mastectomy,
4 lymph node dissection and associated chemotherapy.
5 The treatment provided this 35 year old woman with
6 an 85 percent survival rate at five years.

7 More generally as this experience
8 confirms, we believe that metastatic staging using
9 PET has the potential to detect distant metastasis
10 in the liver, the skeleton and distant nodes.
11 Importantly, the presence of distant metastasis
12 radically changes the treatment from aggressive to
13 palliative. Likewise, a patient's prognosis
14 changes from hopeful to very poor. The PET survey
15 potentially can replace the need to perform a
16 conventional metastatic survey, including CT,
17 ultrasound, and conventional bone scan. This
18 approach could be especially valuable for patients
19 with Stage III breast cancer at the time of
20 initial diagnosis or in patients with suspected
21 recurrence.

22 NEMA is aware that the use of PET for
23 primary diagnosis of all breast lesions and
24 staging for nodal involvement, while reported in
25 the literature, is not reported for a

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1 statistically significant patient population.

2 However, we do understand that the reports that
3 are in the literature, whether they be abstracts
4 or full-blown articles, are extremely positive on
5 the clinical value and the promise.

6 Sensitivities of 90 percent and
7 specificities of 95 percent are reported by the
8 Academy of Molecular Imaging as the values that
9 could have a negative predictive value of 97
10 percent, and spare 33 patients the morbidity
11 associated with the axillary lymph node dissection
12 at a cost of missing one patient with lymph node
13 involvement.

14 Such high sensitivities and
15 specificities have been reported with attenuation
16 corrected studies, which were not reported this
17 more or at least were not segmented out from the
18 literature. The lit number, however, indicate
19 clearly numbers in the 75 to 90 percent range for
20 both sensitivity and specificity for mainly
21 nonattenuation corrected images.

22 Finally, continued availability of
23 technological advances for patients depends on the
24 ability of medical device companies to devote
25 research funding for their development. An

00110

1 environment that is conducive to the steady flow
2 of new medical technologies to address the health
3 needs of the American public should be a concern
4 of the federal government. Coverage and
5 reimbursement decisions made by HCFA have a
6 critical and direct impact on the ability of
7 companies to dedicate funding for research and
8 development, and adverse decisions could have a
9 negative impact on the development of new
10 technologies.

11 For the past two years, the trade
12 association I am here representing today, NEMA,
13 has partnered with the National Cancer Institute
14 to hold an annual symposium in Washington D.C.
15 designated to facilitate communications between
16 industry, academia and the federal government in
17 order to stimulate further research and

18 breakthroughs in medical imaging technology.
19 There is little doubt through these symposia that
20 the real excitement and hope for breakthroughs in
21 the imaging field are on the area of molecular,
22 gene, and other biomolecular imaging modalities,
23 of which PET is considered the vanguard.

24 The hope is for technologies that not
25 only improve diagnosis and sharpen our range of

00111

1 therapies, but ultimately for technologies that
2 will enable us to image therapeutic interventions
3 in real time at the molecular and gene level in
4 order to evaluate the effectiveness of a given
5 treatment regimen.

6 If this sounds exciting, it is, but the
7 development of these technologies is not a
8 foregone conclusion. One of the things that
9 industry has learned from these symposia is that
10 we need to do a better job of education of our
11 friends in academia as well as government in how
12 companies make their investment decisions.
13 Research and development funding in most companies
14 must vie against many competing interests inside
15 the company, marketing, operations, expansion,
16 capital equipment, acquisitions, current product
17 enhancement. In most medical technology oriented
18 companies there are many research ideas, far more
19 than can be funded by the dollars that are
20 available.

21 Vice presidents and directors of
22 research and development at medical technologies
23 across this country are forced to make difficult
24 decisions on what projects they will fund each
25 year. Many factors go into the decision between

00112

1 the winners and losers in this process. Some of
2 these factors include clinical need, the
3 reimbursement climate, the risk of the project,
4 the overall cost of the project, time to market
5 for any new products, as well as potential size of
6 the market.

7 The strength of your intellectual
8 capital is another major factor in determining
9 where the funding will in fact be administered.
10 Just because the technology is exciting or
11 potentially revolutionary does not mean that most
12 medical technologies are going to invest the time
13 and the money to develop it, especially if the
14 market is small or difficult to enter; companies
15 will either keep their investments modest or make
16 no investment at all. In the field of medical
17 technology, one of the key considerations in
18 determining the size and difficulty in entering a
19 market are medical insurance coverage and
20 reimbursement decisions. If it is expected or
21 proved difficult to gain coverage for new
22 technology, or if reimbursement levels are such
23 that there are few incentives for providers to
24 purchase the equipment, there will be no strong
25 pressure for companies to place their R&D dollars

00113

1 in this technology, no matter how exciting the
2 promise of the new technology.

3 With PET set on what appears to be an
4 indication by indication coverage path, there is
5 no doubt that this is a difficult market to enter
6 and the prospects for recouping R&D dollars may be
7 long and arduous. In this context, while we
8 recognize that this panel's responsibility is to
9 make recommendations with regard to coverage for a
10 given technology, for a technology so widely
11 thought to be promising and especially for an
12 indication whose diagnosis and treatment are a
13 national priority, we believe it is appropriate
14 and justified for you to exercise flexibility in
15 considering this decision coverage, and to factor
16 into your considerations the potential impact a
17 negative recommendation could have on future
18 company based R&D in this promising technology
19 field.

20 We appreciate the opportunity to raise
21 these issues before you today and would be pleased
22 to answer any questions you might have. Thank

23 you.

24 DR. PAPATHEOFANIS: Thank you,
25 Dr. Rollo. Any questions from the committee at

00114

1 this point?

2 DR. TUNIS: Maybe just one question. I
3 guess, just so I understand a major aspect of your
4 discussion is obviously the priority and
5 importance of breast cancer as a disease entity in
6 terms of morbidity and mortality, et cetera, which
7 I think no one disagrees with. And your asking
8 for a flexible approach presumably reflects what's
9 in the TEC assessment and what Dr. Gambhir
10 mentioned, which is that the quality of the
11 evidence is sort of acknowledged for most of the
12 proposed clinical applications to be weak, I
13 presume that's what a flexible approach leans
14 toward.

15 I guess the question I would pose to
16 you is, why wouldn't that approach lead to this
17 panel recommending coverage for primary screening
18 for breast cancer using PET as opposed to
19 conventional mammography? In other words, what is
20 this panel supposed to look at to answer that
21 question in the negative and these other questions
22 in the affirmative, or how would you parse that so
23 that the panel could sort of think through where
24 they might draw the line in terms of applications
25 of PET?

00115

1 DR. ROLLO: Okay. The answer to that,
2 in terms of flexibility, we're looking at breast
3 imaging in much the same way that HCFA approved
4 the indications for other cancers. It literally
5 was on a trial basis, the promise that in fact the
6 staging of patients with other cancers could in
7 fact lead to more appropriate treatment and
8 management, and by that we mean the elimination of
9 surgery that may not in fact be beneficial to the
10 patient, the elimination of many heroic procedures
11 that physicians could administer to patients as

12 opposed to palliative treatment, knowing full well
13 that the extent of the disease based on statistics
14 would indicate that that patient had less than
15 some particular time to live.

16 And rather than having them go through
17 that agony of that type of treatment -- let me
18 give you an example of what I'm saying even more
19 specifically. When I was at Humana, one of the
20 things I developed is 90 dedicated breast clinics.
21 These were clinics that were dedicated to women,
22 they were separate units within hospitals,
23 separate from the diagnostic radiation department.
24 The focus was on education, it was on self
25 examination and it was on the evaluation and

00116

1 encouraging patients to have screening
2 examinations. What we found is that we found a
3 lot of cancer that probably would not have been
4 detected otherwise in part because we also
5 encouraged payers, for the individual employees
6 who had a plan, to offer free screening to their
7 employees.

8 What we found, though, is that when
9 they made the diagnosis, invariably the physicians
10 were looking at lumpectomies as the alternative.
11 If it was a solitary mass, they would do a
12 lumpectomy. We had literally hundreds of cases
13 where the lumpectomy in fact failed to reveal the
14 fact that the patient had either axillary nodal
15 involvement or additional lesions within the
16 breast, and we ended up with huge expenses. The
17 numbers I gave earlier are Humana numbers on what
18 it cost us to chase the disease.

19 By doing the staging and doing the
20 procedure that we're talking about with PET, using
21 a flexible approach, it doesn't say we're going to
22 do everything, all the four indications that were
23 suggested before, but looking at this as a better
24 way of making a diagnosis. And part of it would
25 be, the mammography still ought to be the

00117

1 screening procedure. The biopsy still should be
2 the standard in defining whether or not that
3 lesion happens to be a cancer. But the next step
4 would be to use the PET imaging to determine
5 whether or not there are additional lesions within
6 that breast, nodal involvement, and also
7 metastatic disease throughout the body.

8 So it would be kind of a staged
9 approach with many of the types of data that
10 Dr. Gambhir had suggested, and we know that the
11 literature is beginning to prove that this in fact
12 would be an approach that would be worthwhile. So
13 we're looking at flexibility, not giving it all,
14 but really looking at it as something that would
15 be developing.

16 DR. MCNEIL: David, just following up a
17 little bit on Sean's question. I'm a little
18 confused about where we draw lines in terms of
19 what's within our decision portfolio today. And
20 as a follow-up question, I would like to ask you
21 the following: Would you make the same argument
22 that you're making now about, we're talking about
23 screening for example, let's just take Sean's
24 question about screening women, or even looking at
25 axillary nodes for women, just taking the distant

00118

1 disease out of it for the moment. Would you make
2 your same argument about MRI and would you think
3 therefore, that this analysis should focus on a
4 comparison between PET and MRI, for either the
5 main indication number one, or the subset of that
6 that Sam mentioned in terms of dense breasts?
7 What I'm trying to do is really parse what we're
8 deciding because this is really an overwhelming
9 field, and it's a little bit hard for me to figure
10 out where we make decisions and with what database
11 we use them. I'm a little concerned about using
12 just anecdotes, so can you help me through that
13 process?

14 DR. ROLLO: I think as we all know,
15 there is a dramatic information in terms of the
16 information gained from MRI as opposed to PET

17 imaging for looking at early detection of
18 metastatic disease.

19 DR. MCNEIL: No, I'm talking about not
20 metastatic, local.

21 DR. ROLLO: Okay. To me, I thought the
22 question had to do with, would we make the same
23 argument for MRI as a screening.

24 DR. MCNEIL: For screening, right, for
25 indication number one.

00119

1 DR. ROLLO: And I'm not thinking of
2 this as screening as much as I am for staging of
3 the cancer. Once the cancer diagnosis has been
4 made, just as we did in lung cancer and other
5 indications that have already been approved
6 initially, it was not for diagnosis, it was not
7 for screening, but rather for evaluation of the
8 presence of distant disease for purposes of
9 determining the most appropriate treatment and
10 management for that particular patient.

11 So I'm not thinking of this in the
12 sense that people were suggesting that if we have
13 a palpable mass we immediately go to PET as a
14 screening procedure to look at or eliminate the
15 need for biopsy. I'm looking at it strictly as a
16 staging, just as we did in the original
17 indications for PET imaging, once we've got the
18 diagnosis to determine the extent of the disease.

19 DR. MCNEIL: So you would not support
20 its use in indication number one, is that what I
21 infer?

22 DR. ROLLO: That's correct, right.

23 DR. PAPTAEOFANIS: Thank you,
24 Dr. Rollo. Also, thank you to Mr. Britain for
25 attending this meeting.

00120

1 I would like to call Dr. Larson up for
2 his comments at this point. Welcome.

3 DR. LARSON: Thank you. I am
4 Dr. Steven Larson. I am the chief of nuclear
5 medicine at Memorial Sloan-Kettering Cancer

6 Center, and I have worked with PET now for over 20
7 years. My laboratory was one of the first to
8 recognize that the altered metabolism of
9 malignancy could be used as a basis for PET in the
10 late '70s, and I started with PET development in
11 the early '80s at the University of Washington,
12 became the head of nuclear medicine at NIH in
13 1983, and then subsequently in 1988 -- in 1983 we
14 developed a major PET program of which cancer was
15 a fledgling development, but began to develop
16 them, and then in 1988 went to the Memorial
17 Sloan-Kettering Cancer Center, and since that time
18 we have been actively developing PET in
19 collaboration with our clinical colleagues.

20 Now, today I am representing as a
21 member, the American Society of Clinical Oncology
22 at the request of Dr. Larry Norton, who is the
23 current president. And so, I would like to read
24 you a statement from the American Society of
25 Clinical Oncology.

00121

1 The American Society of Clinical
2 Oncology -- this is regarding FDG Positron
3 Emission Tomography imaging for breast cancer
4 diagnosis and staging. The American Society of
5 Clinical Oncology (ASCO) is pleased to have the
6 opportunity to comment on FDG Positron Emission
7 Tomography imaging for breast cancer diagnosis and
8 staging. ASCO represents more than 16,000
9 physicians and health care professionals from 95
10 countries involved in cancer research and
11 treatment.

12 Based on a review of the literature and
13 other available evidence, we believe that the data
14 support the following indications for PET-FDG
15 scanning in breast cancer: PET-FDG should be used
16 for imaging of suspected recurrent breast cancer,
17 staging of locally advanced disease prior to
18 therapy, and for monitoring treatment response in
19 advanced breast cancer.

20 We would like to present additional
21 data for the committee's consideration which is

22 based on a retrospective review of 133 -- and I'm
23 sorry, there is a typo in this, it should be 133
24 patients with breast cancer who were referred for
25 PET scanning at Memorial Sloan-Kettering Cancer

00122

1 Center in New York. We believe the data will
2 support the indications for the use of PET-FDG
3 scanning in breast cancer for recurrent cancer,
4 locally advanced primary tumors.

5 DR. GUYTON: Dr. Larson, are we
6 supposed to have this.

7 DR. LARSON: Yes, I believe you do have
8 that in the packet.

9 DR. GUYTON: All right, I have that,
10 but I don't have what you're reading, which is
11 different.

12 DR. LARSON: I'm sorry, I thought we
13 did provide it to the panel. I apologize. We can
14 get copies of this for you. This is the letter
15 which is on the ASCO letterhead for the committee.

16 So to continue, the data presented will
17 support the indications for the use of PET-FDG
18 scanning in breast cancer for recurrent cancer,
19 locally advanced primary tumors, and for
20 monitoring the treatment response in advanced
21 breast cancer.

22 Thank you for the opportunity to submit
23 ASCO's views on PET scanning for breast cancer
24 diagnosis and staging. And I'm speaking on behalf
25 of oncologists and their patients, and

00123

1 particularly on behalf of Dr. Larry Norton, and we
2 urged the HCFA administration to consider covering
3 this important procedure for those indications.

4 Now, if the chair, with the indulgence
5 of the chair, I'd like to just talk a little about
6 one of the abstracts that will be presented at the
7 Society of Nuclear Medicine this year, which deals
8 with FDG-PET scanning and the experience at
9 Memorial. I think this goes to the point of
10 providing many forms of evidence to the panel that

11 include the available evidence that we have.

12 What we did, I think specifically, if
13 you look in the statement that's prepared for the
14 Society of Nuclear Medicine, you see that it is
15 one of the abstracts that's listed in the oncology
16 tract, number 1236, talking about the impact of
17 FDG-PET scanning on the management of 133 breast
18 cancer patients. I think that this goes to the
19 issues in our questions, especially question
20 number four and five, which the committee has
21 posed, namely looking at the more advanced
22 disease.

23 Now what essentially this is, and I
24 refer now to this little packet of handout
25 materials that Dr. Guyton referred to, basically a

00124

1 review of the experience that we had at Memorial
2 Sloan-Kettering Cancer Center, so this is the
3 actual experience that we had in patients who were
4 referred for PET-FDG scanning over the interval
5 from May 1996 to July 2000. These are consecutive
6 patients, they are the experience that we have,
7 and so we looked at this to see if our experience
8 with PET-FDG in our own patients referred by
9 physicians for developing answers to clinical
10 management issues, where that was consistent with
11 published literature.

12 So then in 133 patients, and again, if
13 you turn to purpose of this study, it was to
14 determine whether PET scans affected disease
15 outcome of breast cancer patients. And I will,
16 it's a rather broad definition of that term.
17 Again, in terms of materials and methods and study
18 design, it's a retrospective study, so it has all
19 those limitations. It is, however, as we have
20 said, a consecutive review of all the patients
21 during that interval who were referred by our
22 clinicians for PET scans.

23 It was done with the most advanced
24 available equipment that we have at this point,
25 although equipment is evolving rapidly, as

00125

1 Dr. Rollo mentioned. We did this with a GE
2 advanced dedicated whole body PET scanner.

3 One of the difficulties I think in this
4 whole field is defining a gold standard, because
5 obviously, one biopsy which may take a milligram
6 of tissue in a person who is 70 kilograms, in some
7 cases more than 70 kilograms, such as me for
8 example, is really, there's all kinds of problems
9 inherent in that. So one type of gold standard is
10 just to use all the available evidence and to
11 follow the patient for six months, and that's what
12 we did.

13 So the confirmation of cancer was based
14 on biopsy, correlative imaging which showed
15 progression or stability, follow-up of clinical
16 data and so forth. And then the clinical data was
17 assessed at the end of a six-month period by
18 informed clinicians to determine whether the
19 patient's condition had improved or worsened under
20 the treatment, and also what the impact of PET was
21 on choosing that treatment.

22 The characteristics of the study
23 patient are listed in the next slide. You can see
24 that the majority were infiltrating ductal
25 carcinomas originally and they were of a variety

00126

1 of stages, but the largest group was advanced
2 patients. So these are advanced patients.

3 In terms of the characteristics of the
4 study, the indications for the PET scan were
5 conventional studies were equivocal, a frequent
6 problem in advanced patients, especially after a
7 lot of treatment has altered the appearance of
8 more conventional techniques and when normal
9 tissue has also responded to those techniques,
10 such as radiation and surgery.

11 33 were referred for staging and
12 restaging. This clinical suspicion of recurrence
13 may have been an enlarging mass but which could
14 not readily be resolved by conventional
15 techniques, and elevated serum tumor markers, 15.

16 Now once again, we have at Memorial adopted the
17 rather liberal policy to imaging patients with
18 tumors and have considered whether -- have not
19 used reimbursement as a criterion for whether we
20 will do the patients, feeling that otherwise if we
21 do that, we will impose a two-tiered system of
22 health care on our patients.

23 I'm going to skip the first page of
24 results, I think it's self evident what it is,
25 negative and positive PETs by stage, and I want to

00127

1 go to the influence of PET on patient management.
2 Basically, the point of this chart is that PET was
3 used in the decision process to guide therapy in
4 three-fourths of the patients. PET was ignored in
5 22.6 percent of the patients, and PET confirmed
6 other studies in 3 percent.

7 I think this also reflects the type of
8 patients that were referred. Over this period,
9 you have to understand that at least 2,000 lung
10 cancer patients, probably a similar number of
11 colorectal patients were studied, so that the
12 breast cancer patients that we see here are
13 relatively small in number and these were the
14 problem patients for whom conventional techniques
15 were not able to resolve a particular management
16 question.

17 Now, also, the next chart shows that
18 the, whether the PET was negative or positive, did
19 significantly influence, as you would expect, the
20 actual choice of treatment or just watchful
21 waiting.

22 The mode of therapy after the PET scan
23 is in the next chart and I just want to spend a
24 couple minutes with this. And again,
25 Mr. Chairman, I did, there are some changes I

00128

1 would like to give you in terms of for accuracy's
2 sake, because I notice in the first written
3 statement, there is a summary which, for which
4 some of the numbers were somehow miscopied, so I

5 will provide these numbers to you.

6 Basically, what this shows is the
7 six-month condition, which again was our gold
8 standard of whether the patient was stable or
9 worsening, versus a negative PET and a positive
10 PET. And it's possible using this information as
11 the gold standard to actually compute an accuracy
12 rate in terms of how the information influenced
13 appropriately or not appropriately the choice of
14 management. Now, we do this by considering that
15 the negative PET with a stable treatment was
16 essentially a false negative, because in that case
17 there was evidence at the time of the therapy that
18 there was disease and so the PET was disregarded,
19 if you will.

20 So we're using essentially the
21 clinician's judgment, putting all together the
22 information, as the kind of gold standard for this
23 particular study. So, there were 19 patients in
24 that category with the negative PET, stable
25 treatment, and we call that false negative. The

00129

1 negative PET with worsening in treatment, we call
2 that a false negative, there was only one there.
3 The negative PET who was treated conservatively
4 but was worsening, that was a false negative,
5 there were 10 there. The negative PET with a
6 conservative but stable, a true negative, there
7 was 28 there. A positive PET with stable
8 treatment, a true positive, there were 38 there.
9 A positive PET with worsening treatment, a true
10 positive, there were 26 there. Positive treatment
11 with conservative management who was worsening,
12 was considered a true positive, and there were 4
13 there. The positive PET with conservative who was
14 stable was considered a false positive, there were
15 7 there.

16 So this is quite a conservative way to
17 look at the accuracy of PET. But the bottom line
18 essentially from our study was that if we use the
19 six-month follow-up as an indication of gold
20 standard, the accuracy of PET for a guide to

21 management was 78 percent. Now I think that this
22 should be compared with the fact that the
23 conventional techniques were largely equivocal,
24 that we know we will miss with PET significant
25 microscopic disease. But I submit to you that in

00130

1 this group of patient, with all its limitations of
2 a retrospective study, that data does support the
3 view that PET can be useful in the management of
4 patients.

5 So, on behalf of ASCO, I would like to
6 thank you for your attention.

7 DR. PAPTAEOFANIS: Thank you,
8 Dr. Larson. Any questions from the panel?

9 DR. BURKEN: I have a question. When
10 you go back to the page here on characteristics of
11 study patients, there were four indications listed
12 for the PET scan, the conventional studies were
13 equivocal, 63 patients for staging restaging, 33
14 patients, and so forth. I'm wondering, the data
15 that's in your table and the following results
16 tables are aggregate data cutting across all the
17 indications; is that correct?

18 DR. LARSON: Right.

19 DR. BURKEN: Okay. So my question is,
20 you know, are we doing ourselves a disservice by
21 having these four indications lumped together in
22 the results table?

23 DR. LARSON: I think it does lump
24 together significantly diverse groups of clinical
25 management questions. But we did it as a summary

00131

1 style and to give a flavor for the types of
2 indications that were used on the request for
3 patient imaging studies that came from the
4 clinicians, so that we would do these studies.

5 DR. BURKEN: Thank you.

6 DR. PAPTAEOFANIS: Barbara.

7 DR. MCNEIL: Steve, I'm having a little
8 bit of a hard time following this table, so where
9 you said the accuracy was 78 percent, whatever the

10 table number is, can you just tell me what the
11 associated sensitivities and specificities were
12 for this table titled mode of therapy after the
13 PET scan, do you have that handy?

14 DR. LARSON: The sensitivity that was
15 calculated was 84 percent.

16 DR. MCNEIL: And the specificity?

17 DR. LARSON: The specificity, I'm
18 sorry, Barbara, I don't have that number
19 immediately available, but we can go over this
20 later, and again, I will provide the correct
21 numbers in the face page, because I noticed that
22 there were some errors in the tables.

23 DR. PAPATHEOFANIS: Great. Thank you,
24 Dr. Larson. I was looking at the agenda. We were
25 scheduled for lunch from 11:30 to 12:30, we are

00132

1 now 15 minutes behind. I know the open public
2 comment section is coming up at 12:30 and there
3 are folks who have traveled from a great distance
4 and I don't want to exclude any of that period of
5 public comment, and so in that spirit, let's plan
6 on a 45-minute lunch and let's meet and resume
7 this session at 12:30.

8 (Luncheon recess from 11:46 to 12:40.)

9 DR. PAPATHEOFANIS: Welcome back.

10 Let's regroup and get started. We do have a
11 limited period of time together and I don't want
12 to waste any of that.

13 Just a couple of comments about what is
14 coming this afternoon for the panel. If you look
15 at your technology assessment report book, you
16 will see a series of five questions for the MCAC
17 DI panel on FDG-PET in breast cancer, and after
18 you've heard some additional evidence and after we
19 have all had a chance to discuss the evidence,
20 we're going to ask you to vote here on those five
21 items, which will capture the four applications
22 that were outlined in the technology assessment,
23 specifically the applications being diagnosis,
24 staging of axillary lymph nodes, recurrent and
25 distant metastasis, and response to treatment.

00133

1 There will be several opportunities for
2 the panel members clearly to discuss here their
3 vital concerns. Also, with most of the speakers
4 that you have already heard still here in the
5 audience, there will be an opportunity for us to
6 ask questions of those presenters who've already
7 stood up and spoken. So, just to review, our goal
8 and our charge is to provide HCFA with a set of
9 recommendations or advice, as the title of this
10 committee includes the word advisory, and we will
11 frame that advice according to those five
12 questions.

13 We are not going to make a coverage
14 decision, we're not going to put policy into
15 motion, that is the role of HCFA. We are a group
16 of experts in our specific areas of expertise or
17 specialty, and we are basically going to look at
18 the available evidence, review it, discuss it, and
19 then offer a specific recommendation.

20 So, at this time, we're going to move
21 on to the open public comments section.

22 MS. ANDERSON: At this time we're going
23 to open the mikes to the open public comments. I
24 do remind any speakers who do assemble at the
25 microphones to please state your name and your

00134

1 financial involvement with manufacturers of any
2 products being discussed or with their
3 competitors. You will have approximately three
4 minutes in which to deliver information to the
5 panel. We can begin.

6 DR. CONTI: I'm going to read a
7 statement that you all have in front of you, or
8 should have in front of you, from the Society of
9 Nuclear Medicine, American College of Radiology.

10 Good afternoon, Mr. Chairman, members
11 of the advisory committee, and ladies and
12 gentlemen of the community. My name is Peter
13 Conti, I am an associate professor of radiology
14 and clinical pharmacy and biomedical engineering

15 at the University of Southern California. I am
16 currently the director of the PET center and of
17 radiology research at USC and have had over 20
18 years of experience in PET studies on cancer
19 patients, spanning three institutions including
20 Memorial Sloan-Kettering Cancer Center, the Johns
21 Hopkins Medical Institutions, and for the last
22 decade at USC. I come before the members of this
23 committee representing the Society of Nuclear
24 Medicine and the American College of Radiology,
25 organizations of which I have been a member for

00135

1 many years.

2 The Society of Nuclear Medicine
3 represents over 12,000 professionals dedicated to
4 providing high quality diagnostic and therapeutic
5 services. Likewise, the American College of
6 Radiology represents over 30,000 practicing
7 radiologists and nuclear medicine physicians with
8 the same goal. For over a decade, breast cancer
9 patients throughout the world have had access,
10 albeit limited, to whole body positron emission
11 tomography. Some of these patients have had the
12 benefit of having their imaging studies covered
13 under private sector health plans while others
14 have had to pay out of pocket for such studies.

15 Thousands of breast cancer patients
16 have been evaluated with PET, but thousands more
17 have been denied coverage. That has been
18 incorporated into the diagnostic practice in the
19 cancer patient population, including those
20 patients with breast cancer, in many facilities in
21 the U.S. and abroad. Patients are referred by
22 medical oncologists and surgeons for indications
23 such as primary lesion detection, axillary
24 staging, metastatic work-up, restaging and
25 assessment of therapeutic response.

00136

1 As of May 2001, there were over 2,500
2 breast cancer patients reported in the literature
3 who had received PET scans for diagnosis, staging,

4 treatment and planning, restaging, identification
5 of recurrent disease, or assessment of therapeutic
6 response. As the scientific program chairman of
7 the upcoming Society of Nuclear Medicine's annual
8 meeting, I can report to you that the data to be
9 presented at that meeting increases the number of
10 cases published in the literature to a total of 15
11 percent.

12 New studies to be presented in Toronto
13 next week focus on staging and treatment planning,
14 assessment and prognosis, measurement of treatment
15 response, determination of tumor recurrence with
16 restaging of disease, and those abstracts are
17 attached to this document. These studies
18 corroborate much of what has already been shown in
19 the literature regarding the utility of PET
20 scanning in this patient population.

21 The SNM and ACR recognize that much
22 literature supporting the role of PET scanning in
23 the breast cancer population may be technically
24 limited as already discussed. However, no
25 literature is without flaws or limitations. It

00137

1 would be inappropriate if not impossible to study
2 every possible aspect or permutation of a disease
3 or patient population prior to approving use of a
4 new drug or medical technology for use in clinical
5 practice. Neither patients nor their attending
6 physicians would tolerate such a process.

7 On the other hand, patients and their
8 physicians should expect a reasonable scrutiny and
9 review of such advances prior to their acceptance
10 into clinical practice. The challenges for
11 regulators and providers is to identify
12 appropriate indications and the threshold required
13 for their acceptance.

14 PET is a safe procedure. The radio
15 tracer FDG has been approved by the FDA as safe
16 and effective for use in imaging cancer, including
17 patients with breast cancer. It is shown to be
18 highly sensitive, specific and accurate in the
19 detection of many types of cancer as summarized

20 today. Of the breast indications noted above,
21 however, the published peer reviewed data to
22 support the use of PET in evaluating for residual
23 and/or metastatic disease recurrence have emerged
24 as the strongest clinically to date, despite what
25 you heard in the first presentation this morning.

00138

1 We call your attention to three key
2 full article publications from the literature.

3 A study by Bender of 75 patients
4 looking at recurrence showed a sensitivity of 97
5 percent, specificity of 91 percent, and an overall
6 accuracy of 93 percent. Notably, the positive
7 predictive value of PET was 88 percent. And as an
8 aside, I would say that I'm not sure I read the
9 same article as was described this morning.

10 Another study by Moon et al. in 57
11 patients showed positive and negative predictive
12 values of 82 and 92 percent in identifying
13 recurrent or metastatic disease.

14 A third study by Huebner in 57
15 patients, showed a sensitivity of 85 percent,
16 specificity of 73 percent, in the detection of
17 recurrent or metastatic disease with PET compared
18 to CT, where the numbers were 71 and 54 percent
19 respectively, and mammography where the numbers
20 were 2 percent and 100 percent.

21 Therefore, the recommendation of the
22 Society of Nuclear Medicine and the American
23 College of radiology to this advisory committee is
24 to approve the use of PET at the discretion of the
25 referring physician in the diagnosis of known or

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1 suspected recurrent or metastatic disease for the
2 purpose of restaging patients with breast cancer.
3 In this regard, we encourage the advisory
4 committee to recommend that CMS consider the use
5 of PET in patients who present with advanced
6 breast cancer, when initial staging studies are
7 required as part of the patient work-up.

8 The SNM and the ACR are grateful for

9 your careful attention to the needs of this
10 underserved patient population and encourage you
11 to adopt their recommendations so that more
12 patients can benefit from this technology. Thank
13 you.

14 I would also add as a personal note,
15 the issue on the gold standard. This has been
16 discussed at length earlier this morning, but I
17 want to remind the advisory committee that the use
18 or clinical follow-up is pervasive in the imaging
19 literature as a method for assessing whether or
20 not there is presence or absence of metastatic
21 disease, and this has been extensively used in the
22 PET literature as well, and should be considered
23 as part of this evaluation. Thank you.

24 DR. PAPATHEOFANIS: Thank you,
25 Dr. Conti.

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1 DR. WAHL: Hi, I'm Richard Wahl, I'm a
2 professor of radiology at Johns Hopkins and
3 director of nuclear medicine, vice chairman of
4 radiology there. I am conflicted in that I
5 received honoraria from Siemens, ADAC and GE at
6 different times in the past relating to lectures
7 on PET, and through the acquisition of PET Net
8 Pharmaceuticals where I was a consultant, I have
9 ended with some kind of class Q, some kind of
10 shares of CTI, and also I'm a medical advisor to
11 Mobile PET Services.

12 However, I have had an interest in PET
13 for some time. In 1989 I think I was involved in
14 the first studies imaging breast cancer with PET,
15 showing feasibility of imaging primary,
16 locoregionally, metastatic and systemic
17 metastases, albeit in fairly large tumors at that
18 time, and saw at that time that particularly in
19 soft tissue disease, PET appeared to be uniquely
20 capable of defining lesions.

21 I'm also principal investigator of a
22 study I wanted to mention to you, one that
23 Dr. McNeil actually helped design, sponsored by
24 the NCI, in which we're evaluating PET

25 prospectively for the staging of breast cancer to

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1 the axilla. I just wanted you to know, this study
2 is not yet completed, however, we have completed
3 accrual of patients and we accrued 360 patients
4 who have gone on to validation of PET scan results
5 by axillary dissection, we're in the data analysis
6 phase and hope to have this complete within the
7 next few months. So we hope that this will be the
8 largest prospective study of PET in breast cancer
9 staging, specifically for axillary disease.

10 We also are examining the prognostic
11 value of PET in this group of patients by
12 following them. Because of the gold standard
13 issue and the variability of sampling of axilla, I
14 think the tendency now is to sample more
15 extensively small axillary nodes repeatedly and do
16 staining which may upstage patients from a stage
17 they were previously, so we think the prognostic
18 part of this study is also very important.
19 Anyway, I just wanted you to know that it is
20 coming, but I don't have results.

21 I wanted to comment that based on my
22 experience at the University of Michigan and now
23 at Hopkins, I believe PET does have a definite
24 role in breast cancer and indeed, I participated
25 in a panel last Monday in Vancouver, British

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1 Columbia where the British Columbia Cancer Care
2 Agency was trying to decide how do they use the
3 limited resources in British Columbia and the
4 limited access to PET in imaging breast cancer.
5 Clearly they are resource constrained and are
6 trying to rationally apply imaging methods. And I
7 was asked to summarize the expert panel meeting
8 with a lecture entitled, in what situations should
9 we no longer be practicing oncology without PET.

10 And in the situation of breast cancer,
11 this conservative assessment was that in
12 particular, recurrent breast cancer assessment,
13 particularly for soft tissue metastases, was a

14 unique situation that should be supported by the
15 British Columbian government, specifically the
16 situation of brachial plexus recurrence versus
17 radiation necrosis, which is a very difficult
18 diagnosis to make, and also the chemotherapy
19 response assessment in patients with large primary
20 breast cancers and in follow-up known breast
21 cancer were viewed as indications where the
22 literature was sufficient to support the
23 implementation of PET. Other areas were felt in
24 need of further study.

25 I did want to comment particularly

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1 about Mr. Samson's comments. He did discuss the
2 study that I did in 1993, reported in 1993, about
3 PET in following treatment response. He indicated
4 that there was a question as to whether the
5 patients, whether the persons reading the
6 mammograms were blinded. Indeed, they were. The
7 PET scans and mammograms were not used for
8 management of the patients and patients were
9 managed by conventional methods because PET was a
10 new technology at this time.

11 So in summary, I believe there is
12 abundant evidence in soft tissue disease to
13 support the use of PET. And for recurrence, I
14 think one of the problems we face is that some of
15 these conditions are very infrequent, the brachial
16 plexus issue as an example, in about eight years
17 at Michigan, we only had 15 cases, PET
18 consistently performed more accurately than MR.
19 We have a paper in press in the JCO showing this,
20 and to get to a hundred patients is going to take
21 many more years. At the time I left Michigan, it
22 was impossible to get a referring oncologist to
23 order anything but a PET scan in this clinical
24 situation, so I would encourage you to look very
25 carefully, and support the ACR SNM position, and

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1 possibly also very strongly consider the
2 chemotherapy response data, which in over a

3 hundred patients is very strong. Thank you very
4 much.

5 DR. PAPTATHEOFANIS: Thank you,
6 Dr. Wahl.

7 MS. PIERCE: Good afternoon, and thank
8 you for the opportunity to address the committee.
9 My name is Kim Pierce. I'm a breast cancer
10 survivor and a member of the National Breast
11 Cancer Coalition, the Coleman Foundation, and I am
12 here in representation of the thousands of women
13 who are diagnosed with this devastating disease
14 annually. We received over a thousand signatures
15 in two hours at the Race for the Cure for the
16 Coleman Foundation.

17 Like many other women, I had the normal
18 concerns about breast cancer, so I got my annual
19 mammograms and physical examinations and I
20 performed self exams in between, and like lots of
21 other women, when I discovered a lump in my
22 breast, I had the standard tests performed all
23 over again, as well as ultrasound, but when the
24 results came back negative and my doctor told me
25 that we would just wait and watch, I felt

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1 relieved. After two years of negative mammograms
2 and ultrasounds, I became increasingly concerned
3 about the lump because it was continuing to grow.
4 That's when I heard about PET imaging.
5 Fortunately, I worked in a medical center that
6 had, and I had access to PET.

7 When the PET scan showed that the other
8 tests had been wrong and I did have a malignant
9 tumor in my left breast, I was immediately
10 scheduled for biopsy which confirmed the
11 malignancy was infiltrating lobular cancer.
12 Infiltrating lobular cancer is not routinely
13 picked up by mammography, but because PET revealed
14 my tumor when nothing else did, I was able to get
15 the treatment I needed in time.

16 Unfortunately, until HCFA approves PET
17 for special cases like mine, where mammography and
18 other tests are not effective, more women will

19 find out that they have breast cancer too late to
20 be cured. Most women have never heard about PET,
21 because it's not available to them for diagnosis
22 or staging of breast cancer, even though it is one
23 of the most accurate tests available to women with
24 dense fibrous breasts, women who have had medical
25 or cosmetic surgeries, or even biopsies performed,

00146

1 or women like me with a form of breast cancer that
2 mammography cannot detect.

3 There are many other women, with the
4 numbers increasing each year who have had their
5 breasts scarred by various procedures. This
6 causes problems for mammography and palpation.
7 While all of these factors alter the accuracy of
8 mammography, CT and physical exam, they do not
9 interfere with PET. Its high energy radiation
10 easily passes through these tissues so that PET
11 can differentiate benign processes from malignant
12 ones. I believe that PET is extremely valuable in
13 diagnosing women in those subpopulations for whom
14 other screening technologies are less effective.

15 PET can also appropriately stage breast
16 cancer patients by showing axillary and mammary
17 nodal involvement and/or distant metastasis in
18 other organ systems such as bone, liver, lung and
19 brain, all in a single examination. This can
20 change the treatment of breast cancer and spell
21 hope to more women with their terrible disease.

22 I have met hundreds of women who were
23 inaccurately staged at diagnosis and therefore,
24 did not get appropriate treatment. These women
25 subsequently died of breast cancer. I sincerely

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1 hope that MCAC and HCFA will understand the
2 benefit of PET for women like me, so that
3 potentially life saving and cost effective medical
4 technologies are made available to the female
5 Medicare beneficiaries who need them. Thank you.

6 DR. PAPATHEOFANIS: Thank you, Miss
7 Pierce.

8 DR. WEINBERG: Hi. My name is Irv
9 Weinberg. I'm a radiologist and physicist. I was
10 trained in oncology imaging at Johns Hopkins
11 Hospital, built the first dedicated device for
12 breast PET at the NIH, subsequently took the
13 entrepreneurial route in developing dedicated
14 instrumentation for PET breast, and I am now
15 president of PEM Technologies.

16 I would like to highlight the possible
17 effect of your decisions and your language on
18 emerging technologies. We are focusing on methods
19 of diagnosing extent of breast disease. The
20 technology itself has been published in the
21 European Journal of Nuclear Medicine, Journal of
22 Nuclear Medicine, Medical Physics, it is very
23 clear from the point of view of physics as to
24 possible advantages of this emerging technology.

25 If there is any cancer that requires

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1 physiologic and biochemical imaging, it's breast.
2 This is an endocrine disease, it is exacerbated by
3 reproductive histories that affect endocrine
4 status of the patient. It is treated and
5 prevented by hormonal therapy, it is clearly an
6 endocrine disease and requires biochemical
7 imaging.

8 I would just appreciate your
9 sensitivity to the future or emerging technologies
10 that may represent the application of physiologic
11 and biochemical imaging to breast disease. Thank
12 you very much.

13 DR. PAPTATHEOFANIS: Thank you,
14 Dr. Weinberg.

15 DR. ALAVI (phonetic): I am Bahs Alavi
16 (phonetic), I am professor of radiology and chief
17 of nuclear medicine at the University of
18 Pennsylvania, and I work with ADAC as a consultant
19 to them, and my group also deals with them for
20 instrumentation.

21 The idea of FDG came about in 1973 at
22 Penn, and in 1976 we administered the first dose
23 of FDG to human beings. So 25 years later, we're

24 still arguing about the role of FDG, while MR was
25 around for no more than two or three years and was

00149

1 approved for funding. So it's nice to see that
2 there is a discussion about applications of FDG
3 which of course for someone like me who has been
4 with it since the beginning, I am actually happy
5 to see the data that FDG has come along so far.

6 I do of course a lot of patients every
7 day, 10 to 12, and a variety of disorders, and I
8 truly believe that the role of FDG in cancer has
9 been revolutionary. In particular, I would like
10 to just mention a study that I was funded by the
11 Army to do in metastatic breast cancer who were
12 candidates for bone marrow transplant. There was
13 the (inaudible) study to see whether we can
14 predict who is going to respond and who will not,
15 since only 20 percent of the patients will be
16 cured by bone marrow transplantation.

17 A side finding of the study was to
18 compare FDG with other imaging modalities, which
19 included everything that we do for cancer, namely
20 chest x-ray, bone scan, CT scan, as part of the
21 study. We enrolled 39 patients and most patients
22 had more than one study, so we had to analyze our
23 data, and our results indicate that one FDG
24 stand-alone could be equal to all the diagnostic
25 studies except that bone scans appeared to be a

00150

1 little more sensitive than FDG.

2 (Inaudible) flaw of the bone scan,
3 because we usually see longstanding effect from
4 cancer in the bone, it lasts for a long time, and
5 that really gives us an indication that disease is
6 active, that FDG shows some of those patients not
7 having active disease.

8 So I believe that this is going to be
9 an effective technique, especially with metastatic
10 cancer, doing one single study allows you to look
11 at the entire body in three dimensional space,
12 versus doing a CT scan for the liver or bone scan

13 for the bone, so if the other diseases are an
14 indication, which I think they are, FDG is going
15 to be the study of choice for metastatic disease.
16 Thank you.

17 DR. PAPATHEOFANIS: Thank you,
18 Dr. Alavi. Anyone else?

19 Anyone on the panel that would like to
20 recall any of the speakers for any questions at
21 this point? Okay.

22 Anyone else then who spoke before the
23 open public session that may also want to address
24 the panel at this point? Okay. If not, we're
25 going to move on to an open panel deliberation,

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1 and I think the best way to start is to quite
2 literally go down the list of five questions that
3 HCFA wants us to address, and so why don't we
4 spend our discussion along those lines and let's
5 start off with the first question, is there
6 adequate evidence that PET can improve health
7 outcome when used to decide whether to perform a
8 biopsy in patients with an abnormal mammogram or
9 palpable mass? Jeff.

10 DR. LERNER: Frank, I have a question
11 actually before we go directly into going through
12 the questions. One of the things that I guess
13 surprises me a little is in the prepared
14 presentations and in the open public comments,
15 there wasn't to my mind a great deal of critique
16 of the TEC assessment, and at the same time what I
17 think a lot of the public comments had in common
18 was that they were more looking at in a sense
19 Medicare policy, you know, how we make decisions,
20 as opposed to looking at what I interpret to be
21 the direct charge of this committee which is to go
22 through those questions. And you know, I'm not
23 quite sure what to do about that, but I think it's
24 important to raise that issue because I don't want
25 to seem unresponsive to what the audience has

00152

1 raised, because if we just go through these

2 questions, at least to my mind so far, I feel
3 these are fairly clear-cut.

4 So I would like to at least ask the
5 question, whether people have questions about the
6 fundamental assumptions going on, and there's some
7 follow-up to that, but why don't I leave that for
8 the moment.

9 DR. PAPTATHEOFANIS: Sure. Sean, can
10 you speak on the process by which this technology
11 assessment came to be, and sort of the internal
12 events? Maybe that will get us started.

13 DR. TUNIS: Sure. Actually the
14 technology assessment, this particular technology
15 assessment was already in process before the HCFA
16 had decided to refer this issue to the MCAC. It
17 was being done and Carole or Debbie can correct me
18 if I'm wrong, was being done for the purposes of
19 the Blue Cross/Blue Shield Association medical
20 advisory panel to make their own recommendations
21 about coverage in Blue Cross/Blue Shield. That
22 was the reason this TEC assessment had been
23 started.

24 As part of our review of the coverage
25 request from July of 2000 for broad coverage of

00153

1 PET and when we concluded that review in December
2 of 2000, had ended up extending coverage for four
3 additional cancers, I believe it was, to a total
4 of six, and at that point had been decided that
5 several issues would be referred to the coverage
6 advisory committee, this issue being one, and then
7 we worked with the AHRQ to piggyback on to the
8 work already being done by Blue Cross/Blue Shield
9 to have this TEC assessment ready in time for this
10 meeting, so that was this process.

11 I don't know Frank, or Jeff, if you
12 wanted me to comment more broadly on sort of the
13 role of the MCAC in this process in terms of the
14 focus on the evidence versus the sort of policy
15 and the thresholds for decision making.

16 DR. LERNER: Maybe I can help a little
17 bit by just making one more statement. I almost

18 found the public comments, that they would have
19 been more useful in the entire coverage process if
20 they had gone in prior to the formulation of
21 questions, and maybe they did, maybe other things
22 went in there, but by the time we reach this
23 stage, as I understand the charge of the panel, is
24 to answer these questions, and we certainly are
25 prepared to do that. But I think, what I'm

00154

1 wondering is whether the audience and the people
2 who commented will feel that that is responsive
3 that they've been heard, because they raised all
4 kinds of issues. I have my own list and I'm sure
5 other people do.

6 DR. TUNIS: Well, maybe a question to
7 ask that would be a clarifying question, again,
8 anyone from the public who has spoken can address
9 this, is, I had gotten the sense that at least
10 several of the speakers were not contesting the
11 fundamental conclusions of the technology
12 assessment, which for the five questions asked
13 here were negative conclusions in terms of
14 adequacy of evidence. So maybe, I'm not proposing
15 that that's a correct restatement of what folks
16 have concluded, but maybe if there are folks who
17 have spoken who believe that any of the
18 conclusions in the TEC assessment are in fact
19 incorrect, then maybe we can get that conversation
20 moving further by addressing that explicitly.

21 DR. PAPTATHEOFANIS: Sam, before you go
22 on, is this what you're getting at, Jeff?

23 DR. LERNER: Yes, it is.

24 DR. PAPTATHEOFANIS: Okay. Go ahead,
25 Dr. Gambhir.

00155

1 DR. GAMBHIR: You know, first of all, I
2 think the TEC report is done in a very
3 professional manner, very rigorous in its design
4 and its actual reporting of results. I think the
5 problems I have with it as well as other people
6 are when you're looking at a new technology such a

7 as PET in the role of breast cancer, the first
8 question is should the inclusion criteria for
9 studies be what this particular report chose as
10 the inclusion criteria?

11 For example, as a lot of people have
12 argued throughout the day, there are other
13 articles that don't meet the inclusion criteria
14 but exist in the literature. For rapidly emerging
15 technologies, just like we've argued in the past,
16 there continue to be abstracts that emerge which
17 will eventually see publication but have not seen
18 publication yet. To us, those need to be weighed
19 into any emerging technology report, because it
20 strengthens the confidence for the N in the case,
21 number of patients or number of studies performed.
22 So the one area when I read the report and was
23 actually asked to critique it, the one thing I
24 thought would be useful is to actually include
25 abstract.

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1 That's why in my presentation what I
2 tried to show you is that when you start to
3 include abstracts, and of course you can't use the
4 inclusion criteria then, because one of the
5 inclusion criteria is it be a research article,
6 but when you start to use abstracts, the
7 sensitivities and specificities all remain in
8 these same ranges, but the confidence goes up,
9 because now the number of patients, as you saw in
10 most applications, is almost doubled. And that
11 doesn't even include abstracts that have just
12 started to come out or are due out next week.

13 So I think one problem we have with the
14 report is how to be fair to all the literature and
15 how to be fair to abstracts specifically.

16 The second problem for the report is
17 that although we agree that the conclusion if you
18 only include those articles show there is limited
19 evidence, if you start to include the other papers
20 and abstracts I'm talking about, we think there is
21 strengthened evidence for these other
22 applications. If we focus on recurrence and we

23 focus on staging after recurrence, or monitoring
24 for therapy, the numbers almost double from the
25 numbers presented previously. To me, that adds

00157

1 confidence in those accuracy values. And as I
2 stated, I don't think the issue is what is the
3 sensitivity and specificity of PET for this
4 particular application.

5 You can revisit these over and over and
6 over, and just like we did with lung cancer, you
7 will see them converge into a range with
8 increasing N, and they stay in that range. The
9 bigger issue is, given those accuracies and the
10 clinical management algorithm, how many good
11 benefit outcomes will you have for your patients
12 and how many harmful benefits. And that's why
13 what I tried to show was that if you look at
14 certain underserved women that are not served well
15 in the current management algorithms, we think
16 PET's useful.

17 So I think those are the issues, but I
18 don't have a problem of saying if those are the
19 inclusion criteria, although we might disagree
20 with the gold standard issue and by the way, did
21 the other result know about the PET results, did
22 the other biopsy know about PET, that's sort of a
23 misunderstanding of what happens clinically, that
24 pathology reports don't need to understand that.

25 DR. MANYAK: You know, I have been

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1 struck today with something that I was unaware of
2 reviewing this literature regarding breast cancer.
3 Since I don't deal with breast cancer very much,
4 being a urologist, we avoid it, but we have
5 certainly some parallels in our field as well with
6 the diagnostic dilemmas that are faced here. And
7 the thing that struck me here today is that there
8 is a subset of patients where the question hasn't
9 been asked, and it's not because of the fault of
10 the construct of the technology assessment group,
11 but it's a question that I'm not sure, I don't

12 know if the other panel members were aware of it,
13 certainly one I wasn't aware of, and that is that
14 there may be a subset of patients where this does
15 show a greater benefit than what's existing out
16 there, such as your dense breast tissue patients.

17 Now that raises questions in my mind,
18 what defines a dense breast, and avoiding any
19 jokes or anything else, seriously, is there some
20 measurement of that and first of all, is that
21 universally accepted and is it universally applied
22 in clinical settings, and if it is, what's to
23 prevent the use of PET scans to escape outside a
24 dense breast tissue patient.

25 I mean, these are all issues that come

00159

1 into play, but if you pick out a subset where
2 there may really be an advantage to PET, and it
3 may be with that subset, I have heard several
4 people mention that today, but that data we
5 couldn't glean from the literature, and I don't
6 know if it exists in the literature. Those of you
7 that really looked at this very carefully may be
8 able to answer that.

9 DR. GAMBHIR: Yeah, let me clarify
10 that. So first of all, of all the applications
11 we've heard, there is the screening category and
12 then of course the management after diagnosis. In
13 the screening category, first of all, dense
14 breasts is an artifact of mammography, that is, if
15 you had a world where for some reason mammography
16 never existed and PET existed before mammography
17 did, we wouldn't be talking about from a PET
18 perspective dense breasted women and non-dense
19 breasted women, because as I said, PET radiation
20 doesn't care about density of breast tissue.

21 There is a formal way to grade breast
22 density. It is published in the literature and is
23 called the Wolf grade. There are four grades of
24 breast density, with DY, the category I chose in
25 that decision model, being the densest of the

00160

1 dense breast categories. Grade DY women, of which
2 there are estimated to be about 3 million on the
3 high end, and on the low end 500,000 women, are
4 the kinds of women that as I argued, are
5 underserved by mammography. It's now no longer a
6 question of oh, how many biopsies did you avoid or
7 is there a harm from not catching something.

8 Those women are being harmed now
9 because in fact, they are screen, nothing is
10 detecting anything, they go back, have their next
11 screen, their next screen. In the decision models
12 I would love to be able to show you that oh,
13 there's a trial comparing only dense breasted
14 women, mammography versus PET. It was asked to me
15 outside, why hasn't such a trial been done? Part
16 of the reason is because it's such a low incident
17 of breast cancer in the screening population, to
18 do such a trial takes a long time to pick up dense
19 breasted positive findings. So it would take
20 years, literally five to seven years to get even
21 enough N in those women.

22 But the second thing is, remember in my
23 reasoning, the dense breast stuff is an artifact
24 of mammography. From the PET world, there is no
25 difference in response for the signal from dense

00161

1 breasts versus normal breasts. We have just the
2 same chance of detecting a lesion within a dense
3 breast or normal breast. Where is that evidence?
4 That evidence is in all the literature we do, the
5 normal and dense breast women are both screened,
6 all the data you see presented, it's not like
7 we're subdividing it into dense breasted versus
8 non-dense breasted women.

9 So I think that's one area where
10 although no clinical trial exists, it's proven
11 head to head that if you take a look at a decision
12 model, use good judgment based on what data is
13 available, there's likely to be a useful benefit
14 for that subgroup of women.

15 DR. LERNER: Can I ask you a question
16 on that, Sam? When we talk about the Medicare

17 population, we should be clear about who that is,
18 but if you said it is people over 65, how frequent
19 is the dense breast issue in that age group?

20 DR. GAMBHIR: Certainly it's much
21 higher in the younger age group than it is in the
22 older, but I think we shouldn't think about it in
23 terms of well, will this affect the over 65
24 population from a reimbursement point of view,
25 because what's done here is of course watched by

00162

1 all kinds of providers. So I think the issue is,
2 dense breast women of any age are being
3 underserved, and if you say which dense, where are
4 more dense breasted women, younger or older, it's
5 more younger women that have dense breasts.

6 DR. LERNER: But you see, it does go to
7 the charge of the committee, and for purposes of
8 this being a Medicare committee as opposed to you
9 know, a committee for the whole population of the
10 United States.

11 DR. GAMBHIR: Right, but I'm saying
12 what is done here is watched by more than just --

13 DR. LERNER: Yes, I agree.

14 DR. GAMBHIR: So if we say what women
15 are being underserved, it's women of all ages with
16 dense breasts. The fact that there's less women
17 that are older with dense breasts is a relevant
18 issue to some of the direct reimbursement from
19 Medicare, but it's not the only issue when we look
20 at which women are underserved in the entire
21 population, which includes all dense breasted
22 women.

23 DR. PAPTHEROFANIS: Sure. Donna?

24 MS. NOVAK: It sounds like we're really
25 talking to question five here, is that correct,

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1 that question one and two assume that there has
2 already been a mammography, and question five is
3 saying, is PET an alternative to, a better
4 diagnostic, am I interpreting that question
5 correctly?

6 DR. Papatheofanis: No. This is a
7 whole separate issue really, and I think we have
8 gone probably a little farther than we want on the
9 dense breast issue at this point.

10 MS. Novak: Okay. Well, I guess my
11 question is, if we are first to assume that there
12 has been a mammography.

13 DR. Papatheofanis: Yes.

14 MS. Novak: Five does not, if I read it
15 correctly, and I guess where my question was going
16 is, one of the things that surprised me is that we
17 didn't see any evidence at least that stuck out to
18 me as to, you know, if PET is really a better
19 diagnostic tool than mammography, which we kind of
20 always assumed that it has been. Is that true?

21 DR. Papatheofanis: Is that what you
22 want to speak to, Barbara?

23 DR. McNeil: Well, yeah. I have just a
24 procedural question and maybe it's to Sean or to
25 Frank. I'm getting a little confused about what

00164

1 our charge is and what we're supposed to do,
2 because I want to make sure we do the right thing
3 here and we use the right information to make it.
4 So I read our little bible here about
5 recommendations for evaluating effectiveness this
6 morning again, and this tells us that we're
7 supposed to give you Sean, and HCFA, advice about
8 the evidence.

9 So my problem is, there is now an
10 indication that's on the table for which we have
11 no evidence, and I am not sure that given this
12 statement, that I personally feel comfortable
13 about making a judgment in the absence of somebody
14 giving me some data other than comments. And part
15 of the reason I got more worried about this than
16 what I was this morning, because I could see that
17 was coming up on the agenda, is the fact that I
18 guess Steve or somebody raised the issue about the
19 potential for biopsies, false positive biopsies,
20 unnecessary biopsies impacting subsequent
21 mammograms, somebody over there.

22 So that made me think, well, we can't
23 assume that every positive PET study is a true
24 positive, I don't think, because we know we have
25 some specificities that are not 100 percent in all

00165

1 of these indications. So if that's the case, then
2 we know, or it would be reasonable to assume that
3 there would be some false positives in dense
4 breasts, just following the same line of
5 reasoning.

6 And then taking up on the question that
7 I never would have thought to ask this morning, in
8 a million years I wouldn't have thought to ask
9 this about biopsies, then I'm now wondering about
10 the impact of those on this whole discussion that
11 Sam is raising. So this whole, what I am trying
12 to say is, I'm feeling very uncomfortable
13 personally about getting into any of the data on
14 this subject, because we have no data, and I would
15 almost propose that this is a question that we
16 can't answer today.

17 DR. GAMBHIR: Let me just answer that
18 by saying first of all, there is data. I think
19 we're getting confused about the data that's out
20 there. There is data on FDG-PET in detection of
21 the primary breast tumor, both in screening
22 studies as well as in palpable masses, as well as
23 nonpalpable and palpable. So it's not fair to
24 say --

25 DR. MCNEIL: But it is not here, Sam.

00166

1 It hasn't been presented to us.

2 DR. GAMBHIR: Actually, no. Even in
3 the blue TEC report, when you look under the
4 diagnosis category when they're talking about
5 looking at the primary and lymph node staging, the
6 primary detection data is the data we're talking
7 about. That data is there.

8 DR. FLAMM: Except, I think there is a
9 clinical difference when a physician refers for a
10 focal abnormality and a focal evaluation, and

11 someone coming in off the street for a screening
12 study.

13 DR. GAMBHIR: There is, but the
14 abstract data and other data which, you know, may
15 not be fully in the blue TEC report, but the other
16 articles I showed do in fact show even those
17 populations, that is, people walking off the
18 street, the screening groups, so I don't -- I
19 wouldn't say that this is out of the blue that you
20 know, there is no data on this, or we just said
21 let's pick on dense breasted women. The reasoning
22 is, to try to find an underserved group that would
23 benefit, say what is the existing data that's
24 applicable to that group, and what I'm trying to
25 argue is that from the PET perspective, all these

00167

1 women that have been scanned where we were looking
2 at the primary lesion, it doesn't matter whether
3 they were dense breasted or not, so that data
4 applies to that decision model, and that is the
5 key issue that links that data to the model that's
6 in breast cancer research and treatment that was
7 originally designed to answer this question, what
8 is the role of a second study inserted in when a
9 first study like mammography does so poorly.

10 Now I realize from your perspective
11 it's frustrating to say, but that isn't one
12 category that was addressed specifically in the
13 report, but I think it's a category we need to
14 visit, because it's one of the most important
15 categories from a perspective of women that are
16 currently underserved.

17 DR. TUNIS: Let me just address and try
18 to at least clarify from my view procedurally what
19 we should try to do taking this into account, and
20 you know, I think this is going to stay a little
21 bit confused, in part because there is an
22 important new issue that's been added to the table
23 and we have to figure out what to do about it, and
24 that's the dense breast issue. The charge to this
25 committee is in fact to review the evidence and

00168

1 essentially the framework that we are ultimately
2 going to go through is to answer these five
3 questions, around which you have the five
4 questions to the panel. So we will do that and we
5 will take a vote on those five questions.

6 The issue of you're supposed to
7 consider the evidence, as Janet said at the
8 beginning, we think about the evidence broadly, so
9 the evidence is what you got in advance and then
10 whatever else people bring into the room to your
11 attention, including what Dr. Gambhir has raised
12 and what other folks have raised. It's new
13 evidence but it's still part of the evidence. It
14 may not be published evidence but it's still a
15 form of evidence and you still have to deal with
16 it at some level, so we will deal with that issue.
17 We won't take a formal vote on the issue of dense
18 breasts, because it's not one of the questions
19 that we were sort of in advance charged to answer,
20 but we will continue to discuss it.

21 So, I don't know if that clarifies
22 things but at least, we will go through an orderly
23 vote on the issues on the table before us and I
24 think we will be staying within the boundaries and
25 the guidance of the panel in terms of considering

00169

1 the evidence.

2 DR. PAPTATHEOFANIS: Before we go on,
3 there are a couple of things on the table right
4 now. The first question you had, Jeff, and the
5 dense breast issue has sort of now become the
6 focus, your first question was a critique of the
7 technology assessment. Is there anyone that wants
8 to provide some discussion on that, and afterwards
9 what we will do is return to the issue of the
10 dense breast and as you just heard from Sean, we
11 will not be voting on this, because it is not an
12 issue that we've had a chance to really spend some
13 time and have been provided any sort of background
14 material on.

15 What I would want to do is open the

16 floor so that each of us can provide any comments
17 regarding their personal position or opinions or
18 thoughts on this dense breast issue, which may be
19 revisited at a future MCAC panel meeting, but I
20 want to just finish with the issue of critiquing
21 the technology assessment, and Dr. Zarin?

22 DR. ZARIN: I just thought I would
23 explain where the five questions came from,
24 because what we're talking about now is really a
25 sixth question or a subpart of one of the other

00170

1 questions, depending on how you look at it. The
2 questions came from ongoing discussion between
3 HCFA staff and the people who had applied for
4 coverage, as well as other interested parties,
5 between us, the Agency for Health Care Research
6 and Quality, Blue Cross/Blue Shield TEC and HCFA
7 staff, and they were really designed to reflect
8 what we were hearing were the proposed indications
9 for PET scanning. So they weren't sort of
10 arbitrary and they weren't simply what Blue
11 Cross/Blue Shield decided to look at, but were
12 based on what we were hearing were the proposed
13 indications.

14 And the specific questions came from
15 applying the MCAC Executive Committee's criteria,
16 the bible as Dr. McNeil referred to it, as to
17 these indications. So that's where the questions
18 came from. I think the issue of dense breast is
19 raising the issue, as Sean said, of how to deal
20 with sort of a new indication that comes up at the
21 time of the discussion, and there wasn't a
22 systemic assessment of that indication, but that's
23 because it hadn't been raised ahead of time.

24 DR. PAPANICHOPOULOS: So from your
25 perspective as the chair of the technology

00171

1 assessment group for AHRQ, is this a typical
2 product that you can expect from the EPCs and is
3 it in keeping with those standards?

4 DR. ZARIN: Well, the question is, how

5 do you determine this sort of a policy question of
6 what to do about coverage for PET for breast
7 cancer, and that has to be kind of turned into a
8 set of research questions, if you will, and that
9 process is a very key process, and this was done
10 collaboratively between HCFA staff who were in
11 contact with the different stakeholders, as well
12 as those of us who were reviewing the actual data.
13 And we did it as best we could to try to come up
14 with the indications that seemed to be being
15 proposed and which seemed the most promising, sort
16 of the best case argument for the use of PET in
17 breast cancer.

18 I think what we're hearing today is
19 given the findings there, as people's thinking has
20 evolved perhaps, maybe one of those questions has
21 been refined further, and maybe that's
22 unavoidable. I'm not sure if that could have been
23 known several months ago.

24 DR. PAPANICOLAOU: Great, thank you.
25 Anyone else that would like to comment on or

00172

1 critique the assessment? Dr. Phelps.

2 DR. PHELPS: I have a question about
3 procedures actually, because I think the dense
4 breast issue is a paradox, because to mammography
5 and palpation there are dense breasts but to PET
6 there are not, it's the diagnosis of breast
7 cancer. So you know, I think with that paradox,
8 the committee has to determine, has to rule about
9 whether dense breasts fit into PET's criteria of
10 diagnosing breast cancer or their radiographic
11 palpation criteria that makes them a
12 subpopulation, so I would ask you to do that.

13 DR. PAPANICOLAOU: Great. Dr. Conti.

14 DR. CONTI: With all due respect to the
15 comment that was made earlier, I'm a stakeholder
16 as in the Society of Nuclear Medicine, as is the
17 American College of Radiology. We were not
18 consulted on the nature of these questions, so I
19 beg to differ with that comment. I'm also not
20 aware of any other stakeholders in the audience

21 from other professional societies here that were
22 consulted on the structure of these questions, so
23 I would like some clarification on that.

24 Now specifically with regard to these
25 questions, I would also like clarification on what

00173

1 health outcomes means, because I think if you as
2 the majority of people in this room how you would
3 want to evaluate diagnostic imaging technologies,
4 health outcomes would probably fall to the bottom
5 of the list rather than the top. We're looking
6 for management changes, we're looking for
7 decisions that are made in respect to the
8 introduction of the procedure.

9 Health outcomes are in large measure in
10 breast cancer patients determined by the treatment
11 choices that are made, and those made by the
12 surgeon or the medical oncologist, so we also need
13 to be clear what those measurements are. And I
14 don't believe that the questions reflect the
15 reality of diagnostic imaging measurements, and I
16 don't think they reflect the technology assessment
17 that was done, because that wasn't addressed at
18 all as far as I can see.

19 And third, I would also point out that
20 in my statement, we specifically presented
21 arguments that go contrary to the results of the
22 technology assessment with regard to recurrent
23 disease and metastatic breast cancer, and it is
24 documented for you.

25 DR. PAPTAEFANIS: Thank you.

00174

1 Dr. Wahl?

2 DR. WAHL: I did not have an
3 opportunity to review the Blue Cross TEC report
4 much before this meeting. I did get a look at it.
5 But I had an opportunity to review personally the
6 breast cancer PET literature in writing a review
7 article for the Seminars in Radiology, and this
8 will be coming out shortly, so I did take a very
9 careful look at the literature, including

10 abstracts, and I do believe one of the limitations
11 of the TEC report is not looking at abstracts.

12 Further, specifically regarding
13 questions four and five, my read of the literature
14 and my conclusions in my review was that clearly,
15 PET is in virtually every study in which it has
16 been examined for looking at distant metastatic
17 disease, it performs as well or better than
18 conventional methods, and as a single test could
19 replace several other tests. So the question was,
20 could it replace standard imaging tests? It's
21 hard for me to say if the accuracy is as good or
22 better, that it couldn't.

23 Similarly, the fifth point, and I just
24 wanted to comment that of course the difficulty in
25 doing studies in metastatic disease is that you

00175

1 certainly can't biopsy every normal tissue, so
2 it's very hard other than follow-up, to determine
3 what is true in these studies. So the situation
4 in determining assessment of accuracy of
5 metastatic disease is really hard. So my
6 conclusion in my review is that the fourth point,
7 I would certainly differ in the conclusion, and I
8 just wonder if the entry criteria in the TEC
9 assessment are completely appropriate.

10 The other question, number five, I know
11 that one of my studies was quoted, the one from
12 1993, which was the first to prospectively look at
13 PET in assessing the response to chemotherapy. It
14 was described as having two PET scans in each
15 patient and in fact it had five PET scans in each
16 patient, sequentially done at base line, day 8,
17 day 21, 42 and 63, looking at the time course of
18 change in PET compared to independently and
19 blindly read mammograms. And what that study
20 clearly showed, it was in the JCO in 1993,
21 statistically significant was that PET showed a
22 much more rapid change in response to effective
23 therapy than did mammograms. Mammogram didn't
24 change in this period of time, so conventional
25 diagnostic methods didn't change, and the PET scan

00176

1 changed very rapidly and did significantly by
2 eight days after treatment, with further
3 reductions in metabolism with additional
4 treatment.

5 So that, that wasn't discussed but that
6 was one of the questions, it does provide an
7 earlier response assessment than conventional
8 response criteria, and that was specifically in a
9 paper that I don't believe was accurately quoted
10 in the review. Again, I didn't read the entire
11 review, but at least in the summary presented
12 today, and I think that's consistent with other
13 studies.

14 The other concern I had about the
15 review is as regards the fifth point was that
16 there was an emphasis on denying patients therapy
17 in case PET was falsely showing a lack of
18 response. Indeed, PET showing response much
19 earlier than mammogram or measurements of tumor
20 size, I think that's improbable that it would
21 happen, that it is a more sensitive measure of
22 response.

23 The other concern not addressed was
24 what if you treat a patient too much with
25 aggressive treatment, some of those drug regimens

00177

1 contain six drugs, who aren't responding? I think
2 it's a tremendous disservice to a patient. And
3 not including that argument and not assessing the
4 relative weight to that potential damage I think
5 would be a limitation in the analysis. I wanted
6 to mention that I did have those disagreements
7 based on my review of the literature, and I would
8 be happy to provide you with a copy or preprint of
9 that Seminars article if you need it, that was
10 recently completed. Thank you.

11 DR. PAPTAEFANIS: What I don't want
12 to do is have another session of open public
13 comment. I would really like to hear the thoughts
14 of committee members. Jeff, have you heard enough

15 as far as critique of the technology assessment at
16 this point?

17 DR. LERNER: Yeah, I think so.

18 DR. BURKEN: I need to make a comment
19 in response to Dr. Conti in terms of the
20 formulation and design of the questions. The
21 questions were really designed as a combination of
22 CMS as we call ourselves now, the Center for
23 Medicare and Medicaid Services, and I'll try to
24 stick to that if I can, between CMS and AHRQ,
25 okay.

00178

1 We have become increasingly through web
2 site postings, but not everything we do is totally
3 transparent, and Dr. Tunis may want to kind of
4 respond in which directions we may be going or not
5 going in terms of transparency. But as I said, it
6 was not a fully transparent process, nor intended
7 to be, for formulating the questions.

8 DR. PAPTAEOFANIS: Thank you,
9 Dr. Burken.

10 Well, with the critique of the TEC
11 assessment off the table at this point, and we can
12 return to it if there is a need or if there is
13 time, I would like to refocus on the other issue
14 that snuck in on the table so to speak, and that's
15 the issue of dense breasts, and I'd like to hear
16 from the panel members. And again, I welcome you
17 to ask for audience input, but I think we're
18 beginning to get a flavor of what that input will
19 be, and I'd rather have you share some of your
20 thoughts as this is an opportunity for you to do
21 so. Mike.

22 MR. KLEIN: Okay. One of my
23 observations is that the issue we have been
24 debating or at least has been on the floor here,
25 is so much of how one defines what is the disease

00179

1 that we're dealing with. And some of the comments
2 that have been made have been along the lines of
3 looking at the, the need to look at breast

4 anomalies, in this case cancerous lesions,
5 biologically or has been described as an endocrine
6 problem. And as such, the imaging technology that
7 exist today don't effectively, it would appear
8 from discussions, don't appear to address the
9 biological aspect of it as such.

10 Functional imaging or biologic or
11 metabolic imaging is the issue, so I contend that
12 the dense breast issue is a part of that. If you
13 reduce it to just a dense breast issue, you will
14 introduce the issue of ultrasound, which is
15 certainly a viable way in conjunction with
16 mammography of looking at and diagnosing dense
17 breast tissues. Certainly in Asian countries
18 where there is a very high incidence of dense
19 breast tissues at all ages, ultrasound is not only
20 used as an adjunct to mammography for dense breast
21 tissues but is in fact in many areas used as the
22 preferred method of imaging.

23 So I think it's part of this issue of
24 looking at it as more of a biological disorder
25 than one that needs to be treated as such, and I

00180

1 would be interested to make some additional
2 comments later when we talk about how PET can be
3 used in the staging of the disease, treating it,
4 and certainly for recurring and for other risk
5 factors. But I'm not sure that the dense breast
6 issue in and of itself is the central point that
7 was being made by the speakers. I think it was
8 the issue of this is more of a systemic or
9 biological problem. If someone wants to comment
10 or correct me on that, please do so.

11 DR. PHELPS: I think if you just stop
12 for a minute and look at the very signal, you
13 know, where is the signal coming from in x-ray
14 techniques, and even through palpation addresses
15 it, and even ultrasound, those are all issues
16 related to the density, so the very signal that
17 you're collecting to make a diagnosis is coming
18 from density. And when you turn to PET, it's not
19 the fact that the imaging can penetrate that

20 tissue easily, which it can't, but the signal is
21 not coming from density, it's coming from the
22 glucose metabolism so it has nothing to do with
23 density. Density happens just to be in the
24 clinical work-up by both palpation and the x-ray
25 techniques, it happens to subpopulate them, but

00181

1 they don't subpopulate in PET, because they are
2 metabolically differentiated.

3 You know, that's why I was responding
4 to Barbara's comment that it depends on how you
5 want to take, the direction you want to take. If
6 you say yes, I accept that argument, then they are
7 not a subpopulation to us and the diagnostic
8 criteria apply. If you subpopulate them by the
9 density, then they are subpopulated that way and
10 you might exclude them from the questions.

11 And I think you has asked the question
12 actually in the beginning about you were concerned
13 that some of the people were raising questions
14 that were not in the questions here. Now I
15 respectfully would say that this is a process in
16 evolution so you know, there are mistakes that
17 will be made and it's improving, and we also have
18 to do a better job of engaging you, so next time
19 we will do better on your side and our side about
20 the questions, but there will be some mistakes.

21 DR. PAPTATHEOFANIS: Dr. Weinberg?

22 DR. WEINBERG: Yes, if I may, just with
23 regard to this dense breast issue and how it
24 relates to biologic imaging. I think if you look
25 at symptomammography, which I have some

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1 publications which I participated in some
2 publications on, the question there is problem
3 solving, and can functional imaging assist in
4 problem solving in difficult mammograms. And
5 dense breast is really one subset of difficult
6 mammograms. It may be a patient who has had a
7 biopsy in the past, it may be an elderly patient
8 who is on hormone replacement therapy who all of a

9 sudden has a density that wasn't seen on the
10 previous examination.

11 So I think the question of not only
12 whether to perform a biopsy but more importantly
13 for us is where to perform a biopsy on a patient
14 with difficult mammograms is a very critical issue
15 to every mammographer.

16 DR. PAPTATHEOFANIS: Thank you. David.

17 MR. SAMSON: I would like to pose a
18 question to the committee having to do with the
19 breast density issue. In the technology
20 assessment report, we tried to distinguish between
21 two segments of the biopsy population, the upper
22 segment that has clearly abnormal mammograms and
23 palpable masses, and the lower segment that might
24 have an indeterminate mammogram. And I wonder if
25 there is a relationship between the lower segment

00183

1 and patients with dense breasts, whether there are
2 patients who have a dense breast and have an
3 existing tumor that is fairly large in size, would
4 that be picked up in spite of the density of the
5 breast?

6 And is there a lot of overlap between
7 the, I guess the smaller tumors, the nonpalpable
8 ones, indeterminate mammograms and the patients
9 who have dense breasts? Are, the ones with dense
10 breasts tend to be smaller tumors. Is that the
11 same issue?

12 DR. PAPTATHEOFANIS: What do you think?

13 MR. SAMSON: I don't know, that's why
14 I'm posing it to the committee. And if so, if it
15 is the same issue, if the dense breasts are hiding
16 small tumors, then we need to know the diagnostic
17 performance of PET for small tumors, and we don't
18 know that. That's my point.

19 DR. FLAMM: I think there are some
20 logical similarities. You have to think about
21 patients presenting for mammography as being a
22 whole spectrum of different types of patients, and
23 we have diagnostic performance data in a very
24 specific segment of that population. And I have

25 concerns about generalizing these diagnostic

00184

1 performance characteristics across the whole range
2 of patients who present themselves for a PET scan.
3 And I think we need to be very clear about what we
4 know and what we don't know about the diagnostic
5 performance. We can't just say these are
6 diagnostic patients so therefore, we can take
7 these estimates, because I think the types of
8 lesions you would want to pick up in a patient
9 presenting with dense breast de novo for her
10 screening study would be different than someone
11 who is coming in with a palpable mass for the PET
12 study, to diagnose it as benign or malignant.

13 DR. GAMBHIR: Let me just respond to
14 that. There is some --

15 DR. PAPATHEOFANIS: Sam, you have two
16 seconds.

17 DR. GAMBHIR: In fact, when you have
18 larger lesions, those can also be missed in dense
19 breasted women. For example, it's not just the
20 issue of lesion size and sensitivity in both
21 mammography and PET relate to versus the density
22 of the breast versus nondense. So the literature
23 shows that in dense breasted women, even lesions
24 that are larger in size -- the example I showed
25 you was a one centimeter lesion that was missed by

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1 mammography entirely, actually on three subsequent
2 uses. So it's not simply that oh, PET is catching
3 those larger lesions and is going to miss all the
4 small ones and that's really what mammography is
5 missing on dense breasts. It's not that clearcut.
6 Now there is an issue of exactly what is the
7 sensitivity and specificity of mammography, PET,
8 ultrasound, as a function of lesion size, and
9 that's not well known ever from mammography,
10 especially for the smaller size lesions.

11 So I think the best we can do and this
12 is why it keeps coming back to the best you can do
13 at the current time, you can take the estimates

14 that you have and that's the purpose of
15 sensitivity analysis, right, we can say what is
16 the best estimate, what if it got slightly worse,
17 what if it got worse than that, how would that
18 change the management or outcome of patients?

19 I encourage all of you to read that
20 Breast Cancer Research and Treatment paper by
21 Allen, et al., because that's exactly what it
22 does. It doesn't say here are the values and we
23 know them. It says what happens when we vary
24 these values, what is still the benefit or outcome
25 for these patients? And that's all we can do at

00186

1 the current time, because to do these trials head
2 to head to answer these questions will be another
3 five, seven, eight years of data collection,
4 especially in a cancer in a screening population
5 where there's low incidence, and during that time
6 you do, I think, a disservice to the women that
7 currently have a need for the test.

8 DR. PAPATHEOFANIS: Thank you.

9 Dr. Abrams, as the only oncologist sitting on this
10 panel, can you share your thoughts on this
11 subissue of dense breast?

12 DR. ABRAMS: I'm not sure an oncologist
13 is the one to answer a screening question. I
14 think the screening issue is complicated because
15 it's not one of the pieces of information that we
16 really reviewed. I think when I read the report
17 and it was pointed out to me that they
18 specifically didn't have data on these
19 indeterminate cases where the -- so that's why
20 they went with the larger palpable, larger tumors.
21 And you know, when I first looked at that, I said
22 well, if PET can't prove its role there, then it
23 may not be able to prove its role in the others.

24 But thinking about that more, that may
25 not necessarily follow. I think we still need the

00187

1 data in these indeterminate cases, which maybe
2 they're indeterminate because mammography does

3 depend on density, and that may be an area where
4 PET would have a true advantage as we've heard,
5 because it gives it signal another way. But I
6 don't, no data was presented on that so it's hard
7 to have an opinion today other than what was
8 talked about by the public comments.

9 So, I think the other thing is, we made
10 mammography prove itself in screening by doing
11 mammogram studies that took many many years to
12 prove that they actually hopefully would save
13 lives and bring some benefit, because there are
14 some costs to biopsies, and anxiety, and all the
15 issues that people who lived through the
16 mammography debates know about. So I suspect,
17 just speaking to the screening issue, other
18 techniques that want to enter this arena as
19 screening tools will have to go through that kind
20 of testing also, and that at least wasn't
21 presented so far.

22 DR. PAPTAEOFANIS: Dr. Guyton.

23 DR. GUYTON: I think another thing to
24 is that there are biopsies and there are biopsies.
25 There are needle biopsies, there are core biopsies

00188

1 and there are excisional biopsies. And to
2 consider using the PET scan on a palpable mass is
3 for a surgeon an anathema. When you can stick a
4 needle into the thing, stick a core needle into
5 the thing and find out what it is, you don't have
6 to depend on its glucose metabolism. So that some
7 of those issues come into evaluating these
8 questions.

9 I think the other thing that can come
10 out of the discussion today is to try to determine
11 what data is needed by HCFA in order to make some
12 of these determinations and that they can then
13 determine what they need, how they might be able
14 to go about it, as they have done with the
15 national emphysema treatment trial, and arrange
16 for those studies to be done. Study PET versus
17 biopsy for nonpalpable mammographic abnormalities.
18 Study PET versus mammography and ultrasound in DY

19 dense breasts or as identified in problem
20 mammograms. Study PET versus present methods of
21 determining locoregional disease after a positive
22 biopsy, as Dr. Rollo suggested. Study PET on some
23 schedule versus short interval mammography on
24 follow-up with low or medium suspicious findings
25 on mammography. Compare PET to sentinel node

00189

1 biopsy and axillary lymph node dissection in
2 determining locoregional staging.

3 Those are ways of going about getting
4 the information that is needed to answer these
5 first three questions.

6 DR. PAPATHEOFANIS: I think that's well
7 said. Dr. Flamm.

8 DR. FLAMM: Just to add one more piece
9 to the discussion about other imaging choices and
10 dense breast, I think there are a couple of other
11 technologies that are being applied to looking at
12 dense breast. You mentioned ultrasound, and MRI
13 as well, both which function on the basis of
14 different physical mechanisms for obtaining their
15 signal than radiographic x-ray density, ultrasound
16 characteristics, and MR is proton signal density.
17 So I think that both of those technologies would
18 need to be kind of at least put into the
19 discussion in thinking about meeting this unmet
20 need, where mammography is very limited in the
21 dense breast patient.

22 DR. PAPATHEOFANIS: Anyone else on the
23 panel that might want to add or subtract
24 something?

25 MR. KLEIN: Just in terms of some data

00190

1 on this, there is a lot of data about what is
2 missed and the percentage of misses in traditional
3 mammography. And you know, I worked at Variant a
4 number of years and we spent a lot of time taking
5 a look at what cancers are missed. And in the
6 breast cancer area it's very clear in all the data
7 that anywhere between 70 to 82 percent, so that 80

8 percent of the cancers that are there are caught
9 during traditional mammographic review, which is
10 another way of saying that 20 percent are missed
11 and are missed for a variety of reasons, either
12 due to radiologic oversight, you know, very busy
13 departments, they are on the images but they are
14 just not picked up.

15 But in those cases, where 20 percent
16 are missed, a third of those cases are in dense
17 breast tissues, so we're looking at 7 percent, or
18 seven out of a hundred times when it's missed, or
19 seven out of a hundred mammograms will be missed,
20 and they will be missed because of dense breast
21 tissue. Now whether or not this is the best
22 modality or not to detect that is a subject for
23 discussion, because I think there were a lot of
24 other points made also about ways in which cancers
25 are missed either because there has been biopsy or

00191

1 because there's been breast augmentation or
2 because there may be other risk factors, genetic
3 risk factors, family history, whatever, that would
4 be important.

5 But I think the dense breast issue is
6 one area. But the reality is that seven out of a
7 hundred will be missed, 20 out of a hundred will
8 be missed and seven out of those will be because
9 of dense breast issues, and some may even argue
10 that that's a conservative number.

11 DR. GAMBHIR: I think that's right, but
12 if you then go to Wolf grade DY -- that's actually
13 across all Wolf grades, but if you now focus on
14 the model where we are talking about the worst
15 ones, or the highest density, it will be actually
16 almost double that number, because those are the
17 ones that mammography does even worse on, so yeah,
18 I think there are real misses in these women that
19 have to be addressed through PET and/or additional
20 technologies.

21 DR. TUNIS: Sam, do you know much, or
22 Dr. Flamm, about the performance of ultrasound or
23 these other modalities that were mentioned in

24 terms of the these DY 4 breast densities?

25 DR. GAMBHIR: Again, the problem I

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1 think lies in that with the other technology as
2 well, there's not good published data on a head to
3 head comparison. There are studies underway now
4 at several institutions that are looking at dense
5 breast women with high risks, that is a family
6 history in addition to dense breasts, where they
7 are looking at MR imaging, ultrasound,
8 mammography, and in some they are adding PET.
9 Until those data come out, I can't give you a head
10 to head comparison of the two.

11 I do want to say though, that from my
12 other hat, which is more as a molecular cell
13 biologist, what we're talking about sounds so
14 primitive in that it's to me, just to put it in
15 contrast, I raised this analogy the last time six
16 months ago, that it's like saying prove to us that
17 what applies in an x-ray on the left pinky applies
18 on the right pinky, because you haven't proven it
19 for the right pinky. To me it's not just a breast
20 cancer issue, it's the fundamental biochemistry of
21 these tumors. This is not the tissue it
22 originated in. When we go later to the issue of
23 recurrence, looking for staging, it's not which
24 metastasis is present in the liver, where it came
25 from, it's the fact that it's in the liver. We

00193

1 are limited in its size for sensitivity, and its
2 specificity it determined by issues of
3 inflammatory response and other background
4 activity that's not anything to do with the origin
5 of the tissue type. So when we look at these
6 other categories, we have to be careful not to say
7 oh well, show it to me in the breast literature.
8 When we look back a decade from now later, you
9 will hear in your own minds echoing these words,
10 that that doesn't matter, it just doesn't matter
11 that it originated from breast.

12 DR. TUNIS: Sam, what is it that

13 explains the 10 to 20 percent false negative rate
14 for the axillary use in breast cancer, or, I don't
15 remember exactly what the false negative was, but
16 given that these tumors do consume 20 times more
17 glucose or whatever, what accounts for a false
18 negative?

19 DR. GAMBHIR: I think that's a very
20 good question, and it applies to all cancers, not
21 just breast. The main reason for false negatives
22 tend to be, one, tumor burden at that site. None
23 of these imaging technologies are looking at a
24 single cell or a hundred cells or a thousand
25 cells. You have to approach hundreds of thousands

00194

1 to millions of cells in a given site. We would
2 love to have a technology that identifies these
3 molecular areas when you're down to just one or
4 two cells having that error. These technologies
5 don't do that, so the smaller the tumor is, the
6 smaller the lymph node metastasis is, the less our
7 chance of being able to catch it on any
8 technology, including PET. So that produces false
9 negatives.

10 Then there are different degrees of FDG
11 uptake by different tumor types. Not all breast
12 cancers are absolutely equal. Ductal carcinoma in
13 cyto will not be as metabolically active as
14 infiltrating ductal cancer. Infiltrating ductal
15 cancer tends to be a little more active than
16 lobular, so different tumor types do have a range
17 of glucose metabolism, and that also causes us to
18 miss certain tumors, but both those lead to less
19 than perfect sensitivity and again, then, it
20 depends on not the origin of the tumor but the
21 tumor burden at a given site. So whether it's
22 lung cancer that has made its way into the axilla
23 or whether it's breast cancer that's made its way
24 into the axilla, it's the number of those cells at
25 a given site that matters and the rate of glucose

00195

1 metabolism.

2 And the contrary is the specificity
3 issue. It's not which tumor metastasized to the
4 liver, it's what are the things that cause false
5 positives in the liver or false positives near the
6 bowel wall. It's not the site it came from. So
7 although we can close our eyes and say no, no, but
8 let's focus on the breast literature, really what
9 we should be focusing on is for all these
10 different tumors coming to this site, what's our
11 probability of catching it at this site and what's
12 our probability of being falsely positive. And
13 that's the arguments that you know that I used at
14 the last meeting across all those other cancers,
15 and that's I think the more important way to look
16 at this data.

17 DR. PAPANICOLAOU: Thank you.
18 Dr. Phelps and then Dr. Weinberg, and we are going
19 to close this discussion.

20 DR. PHELPS: Just a brief comment. You
21 know, still the issue with MR, you're switching
22 from electron density to proton density, or
23 hydrogen density, so it's still categorically a
24 different issue. It's still the issue of gross
25 density, and there is no relationship proven

00196

1 between disease and electron density or proton
2 density. You know, and that's the point where
3 we're trying to get everybody to come over to the
4 other side to look at biology where there is
5 fundamental proof in the relationship between
6 biological process and disease, and then just take
7 that evidence over to the patient with PET.

8 And it's not an issue of the value of
9 x-ray techniques or CT or MR, we all know they are
10 valuable, but it is to separate these two
11 categories when we are trying to define the type
12 of information that we are looking at and how we
13 use that.

14 DR. PAPANICOLAOU: Thank you.
15 Dr. Weinberg.

16 DR. WEINBERG: Yes. I would like to
17 perhaps assist Dr. Tunis in his question as to the

18 possible reasons for false negatives in PET. In
19 nuclear medicine, size does matter, and just as
20 Dr. Gambhir pointed out, you can miss large
21 cancers and even in patients with palpable
22 cancers, as Dr. Guyton has focused on, it's very
23 helpful for some surgeons to be able to see
24 whether there is multifocality associated with
25 those large cancers, and that is again, a size

00197

1 question.

2 A technology was developed in
3 Dr. Phelps' lab that's being used currently in
4 animal imaging where you get one millimeter
5 resolution. We have looked in protocol at
6 patients who were injected with FDG, had the
7 specimens removed, and we looked at those core
8 specimens. You could see minute amounts of
9 cancer. People have shown with autoradiography
10 they can detect as few as 10 cancer cells, so PET
11 is the heir to radiography, it really has a lot of
12 power in terms of being able to see not only the
13 large cancers but also very minute cancers, and so
14 there's a lot of promise in this technology.

15 DR. PAPTATHEOFANIS: Thank you. Donna?

16 MS. NOVAK: It seems like there's a
17 spectrum of you know, from initial screening
18 through, you know, we know we have a tumor and
19 it's quite large. And it seems to me that these
20 questions start in the middle of that spectrum
21 somewhere and do not include the initial
22 screening, so that really isn't part of our charge
23 if our charge is in fact these five questions, and
24 I think a lot of the discussion is really around
25 initial screening.

00198

1 DR. PAPTATHEOFANIS: So far, right.

2 DR. BURKEN: And that has to do with
3 the fact that there are statutory reasons for that
4 maneuver and the questions did start there because
5 of a statutory exclusion of screening, except for
6 mandated reasons such as mammography. But on the

7 flip side of that, I think this has been a
8 provocative discussion on dense breasts and just
9 because we don't have a question on the page and
10 we may not vote on it today doesn't mean we will
11 leave it behind.

12 MS. NOVAK: That's another interesting
13 point. Can this panel say, you know, we voted on
14 these five and this is our vote, and here is
15 something else that we would have liked to have
16 considered or want to consider in the future?

17 DR. PAPATHEOFANIS: Well, I think we
18 have said that, and I just wanted to close the
19 discussion on the dense breast by asking Sean if
20 he has captured enough information at this point,
21 since it's not an issue that we will vote on, and
22 we're just going to move on from here. Is there
23 any further discussion you would like us to
24 consider?

25 DR. TUNIS: My only measure is as long

00199

1 as everybody on the panel feels like they've had
2 their say on this issue for the record and for our
3 consideration, that's the only measure of whether
4 there has been enough discussion. So I don't know
5 if anyone who hasn't weighed in on this wants to
6 weigh in. As I said, we won't vote on it
7 formally, but obviously all of this discussion
8 becomes part of our internal consideration.

9 MS. NOVAK: I'll say that I think dense
10 breast is a specific example, but I think initial
11 screening in general as far as what gives better
12 diagnostic help. One thing with mammography, if
13 you haven't had a mammogram obviously, you have to
14 wait a period of time. And so, I think there are
15 other issues besides just this one, which I think
16 is an example of an initial screening issue.

17 DR. PAPATHEOFANIS: Right. And that's
18 not to say that we will not be charged with
19 addressing that issue at a future panel meeting.

20 So with that, let's go would to what we
21 do have, and that is the charge of working our way
22 through these five questions and offering our

23 recommendations to Sean and to HCFA.

24 DR. PHELPS: Can I ask one thing?

25 DR. PAPANICHOPOULOS: Go ahead.

00200

1 DR. PHELPS: So I guess the question I
2 have asked, you decided against, about the -- I
3 mean, I raised the issue that dense breast
4 subpopulation is an issue of palpation and x-ray
5 techniques, it is not a subpopulation in PET, so
6 you're rejecting the including dense breasts in
7 the general diagnostic population in question with
8 PET?

9 DR. PAPANICHOPOULOS: That's what we're
10 going to do, that's the sense from this panel, and
11 if it does come up again from the Agency, we'll
12 look at it in that light. I think what you're
13 seeing is we sort of have one hand tied behind our
14 backs in that dense breasts means something to a
15 lot of people and the data weren't cut that way,
16 it's not to say the data don't exist, but it's
17 sort of an 11th hour request when what we have
18 been dealing with are these five questions. It's
19 not an excuse, it's just that it's sort of a
20 destabilization of what we can do at this point,
21 and I think that's why I'm offering that perhaps
22 we will look at this at a future panel meeting.

23 DR. TUNIS: Yeah, and I don't think
24 the -- I mean, we have obviously heard from this
25 panel that a number of these panelists consider

00201

1 this an extremely relevant and important issue,
2 and so that becomes part of our deliberation in
3 the 60 days or whatever from the time we get our
4 Executive Committee ratification of whatever is
5 decided out of this meeting, so it's not as though
6 this closes off the conversation on the dense
7 breast issues. So I don't know that that
8 constitutes in your view rejecting your proposal
9 or not, but that's not what's intended. We are
10 not going to vote on your proposal.

11 DR. PHELPS: I think you rejected it

12 for the vote today.

13 DR. PAPTAEHOFANIS: We did, yeah.

14 Well, I started this by reading
15 question one, that was about an hour ago. Let's
16 try it again. Question one. Is there adequate
17 evidence that PET can improve health outcomes when
18 used to decide whether to perform a biopsy in
19 patients with an abnormal mammogram or palpable
20 mass? I think what I would like to do is discuss
21 this question, and I think maybe take a vote after
22 we discuss this question so it's fresh on our
23 minds and do the same for the remaining five.

24 So with that, any comments on question
25 number one? Dr. Flamm?

00202

1 DR. FLAMM: One framework to begin
2 breaking down this question is to look at what we
3 know about the diagnostic performance of PET in
4 this indication, think about how it seems to
5 change management, and then think about the
6 balance tables that were presented in terms of
7 benefits and harms and thinking about whether PET
8 improves health outcomes.

9 And one point I think is helpful in
10 this indication and it also applies to the second
11 indication, we had a fair number of studies
12 estimating diagnostic performance of PET here, and
13 while adding in abstracts may increase the end,
14 it's reassuring to see that the diagnostic
15 performance estimates coming out in the abstract
16 literature are in line with what we know now, so I
17 think, I personally feel like we have some sense
18 of how PET performs in the patient population that
19 was studied, and I'm referring specifically to the
20 segment of the population that we have.

21 And then you go to the next step and
22 think about the balance of benefits and harms, and
23 I think it's of concern here that a patient using
24 PET to avoid biopsy faces such a relatively high
25 false negative rate of having a cancer not picked

00203

1 up by avoiding a biopsy. So I think the problem
2 in question one is not so much the diagnostic
3 performance data, and I don't think that bringing
4 in the abstracts would change my mind at all about
5 this, in this indication. It really is, and given
6 that level of performance, how it would be used in
7 this clinical circumstance, the net effect
8 wouldn't help the population of patients.

9 DR. PAPATHEOFANIS: Okay. Anyone else?

10 DR. GUYTON: I guess I would agree with
11 that assessment, and particularly the palpable
12 masses, because they ought to be biopsied and are
13 easily biopsied and then can be evaluated from
14 there. And then if you then take a subsegment of
15 the abnormal mammograms, I think there is a
16 standard of care that's present at this time for
17 treating that situation and it's not clear to me
18 that adding PET to that standard of care is going
19 to change the outcomes.

20 DR. PAPATHEOFANIS: Mike.

21 DR. MANYAK: I think that, you know, we
22 wrestled with this issue of trying to be a little
23 more inclusive with more data from the abstracts,
24 and I mean, I agree with the strict criteria that
25 has been used. We wrestle with this in our

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1 specialties along the same lines, and you kind of
2 really have to go with something that's, in my
3 opinion, critically looked at like this.

4 However, even, let's say we did accept
5 that data, and I think there was some valid points
6 about incorporating a lot of that data, it still
7 doesn't answer that issue of the small lesion or
8 the indeterminate mammogram and if it did, then I
9 would say that would be an important point to
10 consider here. But it doesn't change, so adding
11 another thousand patients doesn't change the
12 conclusions of question one, and I think that's an
13 important thing to remember here.

14 DR. PAPATHEOFANIS: And I think in your
15 recommendations for PET forward, one of your
16 suggestions was doing just that.

17 DR. GUYTON: Yeah, and HCFA can decide
18 what information it wants, to design a study to
19 garner that information, and then determine how
20 large a study they want.

21 DR. PAPATHEOFANIS: Barbara, do you
22 have anything you wanted to add?

23 DR. MCNEIL: No, I think the data is
24 incomplete.

25 DR. PAPATHEOFANIS: Anyone else? Well,

00205

1 I need a motion, I guess. Janet.

2 MS. ANDERSON: At this time, the
3 chairperson, Dr. Frank Papatheofanis will call for
4 a motion and will ask the voting members to vote.
5 We are going to vote on the first question which I
6 will read, which is: Is there adequate evidence
7 that PET can improve health outcomes when used to
8 decide whether to perform a biopsy in patients
9 with an abnormal mammogram or palpable mass? And
10 what you're going to do is, we will start with the
11 for, and just simply raise your hand until I tell
12 you that I have you marked. How's that?

13 DR. PAPATHEOFANIS: I'm sorry, I didn't
14 get that.

15 MS. ANDERSON: We will start with the
16 members of the panel who are voting in the
17 positive, voting for the question number one.

18 DR. PAPATHEOFANIS: We need someone to
19 make the motion first.

20 MS. ANDERSON: Oh, I thought you made
21 the motion.

22 DR. PAPATHEOFANIS: I can't.

23 DR. FLAMM: I move that we vote.

24 DR. MANYAK: Second.

25 DR. PAPATHEOFANIS: So the motion is

00206

1 the question, is everyone agreed on that one?

2 DR. GUYTON: So a positive vote is that
3 there is adequate evidence, and a negative vote is
4 that there is not adequate evidence?

5 DR. PAPATHEOFANIS: That will keep us

6 from having to rephrase the questions, right.

7 MS. ANDERSON: Those who are voting
8 for? Those who are voting against? No one has
9 abstained.

10 DR. PAPTATHEOFANIS: Unanimous in the
11 negative.

12 Okay, let's move on to question two,
13 and I'll read that one.

14 MR. KLEIN: Can I ask a procedural
15 question?

16 DR. PAPTATHEOFANIS: Absolutely.

17 MR. KLEIN: Are we, are our votes in
18 each of these areas going to be binary in the
19 sense of yea or nay for each one of these, or is
20 there a possibility to answer these questions yes
21 or no under certain circumstances or for certain
22 indications?

23 DR. PAPTATHEOFANIS: Typically, a
24 question answered no, correct me if I'm wrong,
25 Sean, meets with a question from me, which I

00207

1 didn't do, and I apologize for that, as to why you
2 voted no, and in that way, that information is
3 entered into the transcripts. Would you like us
4 to do that, Sean?

5 MR. KLEIN: I guess what I was getting
6 at --

7 DR. PAPTATHEOFANIS: Because that gives
8 you a chance to say, well, I voted no, but this is
9 why.

10 MR. KLEIN: I was really thinking about
11 as we move forward in some of the other questions,
12 there may be some points that, because some of
13 them are very sweeping questions, there may be
14 some points as we move forward, even in the next
15 one that we have to deal with, where it may not be
16 as simple as saying yes or no. The answer might
17 be, if the motion stated it this way, I would say
18 yes, or I make a motion that this is an indication
19 for recurring cancers, or situations where a prior
20 biopsy would be indicated. Can one move as such,
21 or is one limited to make a motion that's

22 precisely duplicative of the question listed here?
23 DR. PAPANATHOFANIS: No, we will
24 entertain motions in language that you propose,
25 and either vote that language or not.

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1 DR. TUNIS: You can either make a
2 motion to amend any of these questions, and have a
3 vote on that, or you don't have to change the
4 question, you can simply make commentary on your
5 vote, which becomes part of the record and is as
6 important as your vote itself. And that's even
7 true for the nonvoting members who don't have a
8 vote, they can still make a comment in relation to
9 a vote, you know, even without being formally
10 counted as part of the vote.

11 DR. PAPANATHOFANIS: So then before we
12 go to question two, is there a comment you would
13 like to make on question one?

14 MR. KLEIN: My comment is I will have a
15 comment on the other questions.

16 DR. PAPANATHOFANIS: Anyone else that
17 might want to make a comment?

18 All right. Let's go on to question
19 two.

20 DR. BURKEN: I would like to make a
21 comment on question one. I was wondering how many
22 votes on the panel, you know, might want to
23 comment on the risk-benefit ratios that were
24 highlighted by David Samson in his presentation,
25 whether that played a part in the decision making,

00209

1 because I think David highlighted those and I
2 would just be curious how others were responding
3 to David's remarks.

4 DR. MCNEIL: I actually thought Carole
5 said that very nicely in her summary.

6 DR. BURKEN: Okay.

7 DR. PAPANATHOFANIS: Okay. Question
8 number two: Is there adequate evidence that PET
9 can improve health outcomes by leading to earlier
10 and more accurate diagnosis of breast cancer

11 compared to short interval mammographic, vis-a-vis
12 three to six months, follow-up in patients with
13 low suspicion findings on mammography and other
14 routine imaging procedures?

15 This is where you comment, Michael.

16 MR. KLEIN: Yeah. I think that there
17 has been a pretty healthy introduction of some
18 data on the floor by our presenters, indicating
19 that if there has been an occurrence, and in fact
20 there has been prior treatment either because of
21 biopsy occurred, maybe making it difficult for a
22 follow-up review, or if someone is on hormone
23 replacement therapy even though, for Medicare
24 purposes, one might normally suspect that there
25 would be dense breast tissue but found because of

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1 hormone replacement therapy. Recurrent cancer is
2 obviously, or one can argue that genetic
3 predisposition, there are a couple of genetic
4 factors that fairly conclusively lead to a higher
5 percentage rate.

6 But I would say in the case of an
7 already diagnosed cancer, to get an, that current
8 mammographic procedures fall very much short in
9 terms of the ability to detect anomalies or
10 reoccurrences, particularly if there has been some
11 treatment or if there's been breast augmentation,
12 an open excisional biopsy or whatever. So I offer
13 that as a comment in terms of one particular way
14 one might want to consider PET as an indicator in
15 certain circumstances.

16 DR. GUYTON: But you're talking about a
17 situation where cancer has been diagnosed. This
18 question does not address that at all.

19 MR. KLEIN: Well, you're talking about
20 short interval mammographic follow-up for patients
21 with low suspicion findings. I look at that as an
22 indicator, and while there's later questions that
23 may deal with people that have been treated, this
24 is the case where there is clearly an individual
25 in the high risk, the reason for the short

00211

1 interval treatment would either be because of
2 prior cancer or because some risk factor has been
3 determined. What are the other reasons for short
4 interval, three to six month mammographic reviews?

5 DR. PAPANICOLAOU: Dr. Flamm?

6 DR. FLAMM: I think there is a clinical
7 scenario where a woman who is coming in for a
8 screening mammogram has something a little
9 questionable on one view, they don't see a
10 definite mass on the other view, and they are a
11 little unsure, they would like the woman to come
12 back in three to six months for a repeat mammogram
13 and maybe it will make itself a little clearer
14 over time. That's the type of clinical quandary
15 that I think is also captured in this group.

16 DR. PAPANICOLAOU: I agree, picking up
17 disease and tracking a patient who you're not sure
18 of, whether or not there is disease.

19 MR. KLEIN: That's the intent of the
20 question?

21 DR. PAPANICOLAOU: Yeah. Any other
22 comments? Any interest in changing the language?

23 DR. GUYTON: I don't know that enough
24 data has been presented, I mean, essentially no
25 data has been presented on this issue.

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1 MS. ANDERSON: Call for a motion.

2 DR. PAPANICOLAOU: It's called for a
3 motion.

4 MS. ANDERSON: Would someone like to
5 move that we vote?

6 DR. LERNER: Yes.

7 DR. GUYTON: Second.

8 DR. PAPANICOLAOU: Any discussion on
9 that motion?

10 DR. ABRAMS: I'd just like to add, this
11 again, this is not uncommon, this happens a lot,
12 there's millions of women getting mammograms, so
13 you would think that this is an area that if you
14 have another test that might add to the adjunctive
15 procedures to replace mammography, this is the

16 place where you could do a many thousand, nay
17 hundred of thousand patient studies to see if PET
18 would really add, and I guess I'm repeating
19 Dr. Guyton's comment that that is what needed.
20 This would be a great improvement in the field if
21 you didn't have to tell people, go home and wait
22 six months, you might have cancer, you might not,
23 we can't tell you right now, so I think this is
24 really important to do such studies.

25 DR. PHELPS: And who would pay for

00213

1 that?

2 MS. ANDERSON: This is what we are
3 voting on: Is there adequate evidence that PET
4 can improve health outcomes by leading to earlier
5 and more accurate diagnosis of breast cancer
6 compared to short interval mammographic follow-up
7 in patients with low suspicion findings on
8 mammography and other routine imaging procedures?

9 Those panelists who are voting for?

10 Those panelists voting against?

11 We have a unanimous against.

12 DR. PAPANICOLAOU: Would anyone like
13 to provide any comments regarding their votes or
14 should we just move on?

15 DR. GUYTON: I think the comment is
16 basically what Jeff said, this is a ripe area for
17 HCFA to decide what information they want and go
18 get it.

19 DR. TUNIS: And I would just say in
20 response to Dr. Phelps's comment, which wasn't
21 particularly audible, about who would pay for such
22 research, that I think after we're done voting
23 with these questions, if this panel wanted to have
24 some conversation about how they think this sort
25 of research ought to be at least prioritized if

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1 not funded, that certainly the panel could have a
2 conversation about that. I don't know if
3 Dr. Phelps meant it as a rhetorical question, but
4 he's asked the question of me before so I'm

5 passing it along to you.

6 DR. MANYAK: Is that appropriate for
7 this panel? I was led to believe that we were
8 generally not to discuss financial issues and
9 those kinds of things, at least that's what I
10 recall.

11 DR. TUNIS: I think there is some
12 recommendations here about --

13 DR. MANYAK: Because there are other
14 issues along that line that are very serious in
15 this particular issue with PET scanning, very
16 serious, but that's not our charge or our purview
17 today.

18 DR. TUNIS: The purview is not to
19 consider the cost of the technology in making the
20 coverage recommendations. The issue has come up,
21 it has been raised by several panel members about
22 you know, the need, the priority of this sort of
23 research. So I think, you know, at some level,
24 wrestling some with that as a policy issue, given
25 that it's raised in the context of this as a

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1 coverage issue can be discussed. I think that's
2 different from --

3 DR. MANYAK: That's a different
4 question than what he mentioned. Who's going to
5 pay for it sounds to me like a cost consideration,
6 as opposed to saying it should be a priority,
7 that's a different issue.

8 DR. TUNIS: Exactly.

9 DR. PAPATHEOFANIS: It's also in
10 keeping with I think one of the future roles of
11 the Executive Committee as the identity, or the
12 responsibility of the Executive Committee shifts
13 to an even purer advisory capacity, one of the
14 issues that the Executive Committee will deal with
15 is prioritization of research needs. And to have
16 our panel for example, pass that along to th EC
17 would give further guidance to that committee and
18 move things along. Jeff.

19 DR. LERNER: For the purposes of today,
20 I guess I'm sort of a strict constructionist, and

21 having read the document from the Executive
22 Committee, we're just -- you know, I think we are
23 voting properly according to that document, but I
24 am glad that you're opening side comments on
25 overall policy issues because there are lots of

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1 them that come out. But for the moment, that
2 document does say that we're not supposed to --
3 studies that haven't been done -- I'm trying to
4 phrase it according to the actual language of that
5 document, but there may be studies that haven't
6 been done that may be difficult to do, or may be
7 costly to do, but that doesn't mean that you know,
8 we can't say, well, they ought to be done. But we
9 have to vote on the current evidence and that's
10 how I understand that document, so as a strict
11 constructionist, yeah, I would like to see those
12 studies done, but I think it's irrelevant at this
13 point.

14 DR. PAPANICHOPOULOS: Right, it's
15 irrelevant but it's important information. What
16 will happen as soon as we close today's panel
17 meeting is that I along with Dr. McNeil will put
18 together a summary of this meeting in very much a
19 decision analytic format, and try to convey to the
20 Executive Committee, and we're both on the
21 Executive Committee, why this panel behaved the
22 way that it did, and why it took the votes that it
23 did. But along with that narrative, we can add
24 specific recommendations regarding policy and I
25 think they would be met with favor by the EC in

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1 certain ways.

2 DR. KRUBSACK: Did the panel address --
3 this also says, if the evidence is inadequate or
4 insufficient to draw conclusions, the panel will
5 explain the reasons for its determination and also
6 form a judgment about the possibility of
7 developing better evidence and the potential
8 benefits of obtaining better information, and it
9 goes on to say what are common obstacles to not

10 having adequate information, and that includes
11 technology is relatively new, costs of performing
12 study is high, funding has not been available. I
13 think all of these apply to the present situation,
14 so I think this panel is charged by its own bible
15 to form its own guidelines to address those
16 issues.

17 DR. PAPTATHEOFANIS: Yes, and I think as
18 we get into questions three, four and five, that
19 discussion becomes even more relevant, and we will
20 probably draft language that takes that into
21 account. Okay. Dr. Conti?

22 DR. CONTI: Could I ask a question to
23 the question?

24 DR. PAPTATHEOFANIS: Sure.

25 DR. CONTI: You asked also about

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1 restructuring the question itself, rephrasing the
2 wording, and I might propose you to consider this
3 for perhaps a future meeting, to take question
4 number two and look at it in terms of something
5 like this. Is there adequate evidence, et cetera,
6 compared, to use PET leading to an earlier and mor
7 accurate diagnosis of locally recurrent breast
8 cancer compared to short interval mammographic
9 follow-up in patients with equivocal findings on
10 mammography? That perhaps could be a specifically
11 addressed question from the literature and
12 something that would be more directed towards the
13 appropriate patient population I think we're going
14 to be talking about.

15 DR. PAPTATHEOFANIS: Thank you. All
16 right, question three. Is there adequate evidence
17 that PET improves health outcomes when used to
18 decide whether to perform axillary lymph node
19 dissection? If so, is a more detailed analysis of
20 sentinel node biopsy versus PET as alternatives to
21 axillary lymph node dissection necessary?

22 It's kind of a two-part question.

23 DR. GUYTON: Not necessarily.

24 DR. PAPTATHEOFANIS: Any discussion?

25 DR. MCNEIL: Well, I think, Frank, that

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1 the analysis for three is very similar to the
2 analysis that Carole made for question number one,
3 so I would say ditto to what she said there.

4 DR. PAPANATHOFANIS: Okay. Any
5 additional comment before I ask for that language?
6 Okay.

7 MS. ANDERSON: Then we need a motion to
8 vote on question number three.

9 DR. LERNER: So move.

10 DR. PAPANATHOFANIS: Is there any
11 discussion before we vote?

12 DR. MANYAK: Second the motion.

13 DR. PAPANATHOFANIS: We have a second,
14 and no discussion, so you can take the vote.

15 MS. ANDERSON: Okay. Those voting in
16 favor of question three as it stands worded?
17 Those voting against question three? Okay. We
18 have six votes, it's unanimous against question
19 three.

20 DR. PAPANATHOFANIS: Any comments about
21 your voting? Anything else you want to add to the
22 record? If not, let's go on to question four. Is
23 there adequate evidence that PET improves health
24 outcomes as either an adjunct to or replacement
25 for --

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1 DR. BURKEN: Excuse me, I believe we
2 need to go to the second part of question three?
3 I'm sorry; that was only if yes to the first part.

4 DR. PAPANATHOFANIS: Is there adequate
5 evidence that PET improves health outcomes as
6 either an adjunct to or replacement for standard
7 staging tests in detecting locoregional occurrence
8 or distant metastases or recurrence?

9 Dr. Flamm?

10 DR. FLAMM: One comment that I think I
11 want to make to help when we look at some of the
12 studies that are presented in this evidence,
13 patients were selected into the study by virtue of
14 having had equivocal findings or problem scenarios

15 based on conventional staging tests including CT,
16 MR in many cases, and PET was used in those
17 settings and those studies do report sensitivities
18 and specificities of PET and CT. But one caution
19 I think is important to note is that that's not a
20 prospective head to head comparison of CT versus
21 PET in all unselected patients.

22 In this type of study population, we've
23 taken out the easy diagnoses for CT and so, it's
24 not logical to directly say that because the
25 sensitivity of PET may be higher than PET in this

00221

1 type of a selected study setting that one is
2 interchangeable for the other and you can expect
3 this diagnostic performance to be the case in all
4 patients.

5 DR. PAPTATHEOFANIS: Yes, Dr. Abrams.

6 DR. ABRAMS: This question is the one
7 that gives me personally the most difficulty,
8 because I think we can all relate to some of these
9 stories that we have heard about how PET has
10 helped in certain difficult clinical
11 circumstances, like brachial plexopathy versus
12 soft tissue invasion, like bone metastases versus
13 advanced degenerative disease, where certain of
14 our other tests don't work all that well and we
15 know that by long experience, and having another
16 adjunctive test can be useful, although I can also
17 see here how you know, as Dr. Wahl pointed out, we
18 might have to wait a very very long time to have a
19 series of a hundred patients that were properly,
20 you know, that had a prospective study done.

21 So I think in some circumstances, you
22 are forced to look at smaller pieces of evidence,
23 10, 15-patient studies that if they are fairly, if
24 the evidence is fairly distinct and coming from
25 experienced clinicians and radiologists, is pretty

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1 believable, and I myself am struggling with
2 having, with getting much better evidence. And
3 maybe others have some thoughts about that, but I

4 think those, that's what makes this a difficult
5 question. It sounds like there is some evidence
6 that it has helped people in difficult clinical
7 circumstances.

8 DR. GUYTON: In the evidence that we
9 can consider, there is expert testimony, and
10 consideration of -- there is another term that
11 they used here -- other relevant information
12 including guidelines from professional societies
13 and other expert bodies, et cetera, so that also
14 is evidence.

15 DR. PAPTATHEOFANIS: That's right.

16 DR. GUYTON: And we are the jury.

17 DR. PAPTATHEOFANIS: Right.

18 MR. KLEIN: I just have a question and
19 a comment. The question is, in other areas where
20 PET is indicated, as it is for lung cancer
21 detection, where one could argue similar systemic
22 concerns about the spread of disease beyond the
23 local area, where they might be nodal involvement,
24 Sean, do you know what the coverage is on that?

25 The reason I raise that is because I

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1 think you can argue that if it's indicated for
2 cancers in other areas for this specific reason,
3 for use of detection of lesions systemically or
4 recurrences in other areas, then you could make
5 the argument that it could apply here as well, and
6 I'm just wondering what the coverage is, if there
7 is coverage for this particular indication in
8 other areas.

9 DR. TUNIS: For the cancers that are
10 currently covered as of the December decision
11 memo, we decided there that if there was a clearly
12 proven single indication within a cancer, that
13 other uses within the same cancer would be
14 covered, subject to a set of restrictions. One
15 would be that there wasn't evidence that showed in
16 fact that they were not useful for a particular
17 clinical use, and the other provision was that
18 conventional imaging can't have already answered
19 the question that you would presumably be asking

20 with the PET scan.

21 So in other words, for the lung cancer
22 example, this would be covered for lung cancer, as
23 long as there was documentation by the ordering
24 physician that the treatment decision would be
25 changed based on the result potentially, based on

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1 the results of the scan. Does that answer your
2 question?

3 MR. KLEIN: Yes. Let me provide some
4 useful background. I guess the problem I have may
5 be similar to yours, Jeff, in that we could wait a
6 long time to get data on this one, but it seems
7 both intuitively and beyond intuitively proven
8 with some concrete degree of comfort that if there
9 has been a recurrence, that the regional or
10 systemic involvement is not adequately answered by
11 using imaging technologies, particularly as we
12 have begun to start grasping how we're looking at
13 this, which is in a more biological way. And the
14 anatomical sort of spatial relationship between
15 the tissue model that we have used is not really
16 adequate in looking at the staging of disease, and
17 I found some of the images pretty compelling, and
18 I see other images as well that are even more
19 compelling.

20 I've also seen the statistics, in fact
21 this is a well established statistic, that when
22 you find a cancer, if you go back the prior year,
23 and two-thirds, 66 percent of the time, you will
24 find that cancer one year earlier, and 50 percent
25 of the time you will find it two years earlier.

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1 So it clearly means that our ability to detect
2 cancers is not only lacking, but the ability to
3 find the cancers in all the areas that they might
4 be as they spread, as you get nodal involvement or
5 further metastatic spread, is currently very
6 limited.

7 So, in this one, whatever the vote is,
8 I would hope that if the vote is to the no, which

9 would mean that there may not be adequate
10 evidence, that I think we could at least establish
11 for the record that there is some indication of
12 such, of evidence, and perhaps there needs to be
13 some further documentation to the point. But I'm
14 not comfortable dismissing this point outright,
15 because it's very clear that there is a propensity
16 of evidence in the clinical setting, and while we
17 wait to get the data, there are going to be a lot
18 of people that will be misdiagnosed and will be
19 lost.

20 And I think Kim Pierce made the point
21 as a survivor and she is one of thousands of those
22 who might benefit. So what I would be arguing for
23 here is that there be some motion along the lines,
24 if the argument is no, that there be some
25 statement, there is indication requiring some

00226

1 further documentation to move to the category of
2 adequate evidence.

3 DR. PAPTAEOFANIS: Dr. McNeil?

4 DR. MCNEIL: Like I guess Jeff, I'm the
5 most conflicted about this particular indication.
6 And as I'm thinking about it, I'm trying to think
7 about it in terms of the data and the clinical
8 consequences, and the feasibility of getting
9 additional data as well as the problems with not
10 getting additional data. I think we have to
11 consider all four of those.

12 And as I listened to Rich Wahl, I was
13 struck by one fact, which was that brachial plexus
14 was an unusual situation, it occurred
15 infrequently, 15 times in 8 years, but when it did
16 occur, this was quite a dramatic way to diagnosis
17 it, and there might not be other technology as
18 good for that particular site of suspected
19 recurrence. So that's, I think I could understand
20 approving an indication that said suspected
21 brachial recurrence, and maybe with a slightly
22 broader mantel to that.

23 So then I get to the rest of the body,
24 and I get in trouble and my logic, it's hard for

25 me to be clear about what's really going on here

00227

1 because I read the document, and the studies have
2 the problem that Carole mentions in that the easy
3 patients have been taken out of the pool, so that
4 we're looking at only the tough ones, and even
5 when we look at only the tough ones, there are
6 some false positive rates here in several of the
7 areas.

8 So I say okay, now what do we do? Then
9 I say, maybe we take what Michael just said and we
10 say we should do what was done for lung cancer and
11 if all other efforts have failed, you go to this
12 one. As I thought about that one, and I actually
13 hadn't thought about it until you raised the
14 issue, Michael, that one bothers me actually. And
15 the reason it bothers me is that if we were to say
16 downstream, this is really going to be a very
17 powerful one-stop shopping for distant metastases
18 in this disease, we have lost the opportunity to
19 ever find that out by the approach that has just
20 been suggested, because we will never get the
21 data. We will always have the patients presorted
22 by other modalities and then we will be left with
23 the ones that were a problem.

24 DR. GUYTON: I don't see why a decision
25 to allow that precludes us getting that data.

00228

1 DR. MCNEIL: Well, I'm just guessing
2 that the radiology community is not going to rush
3 to do that particular study. Now I could be
4 wrong, but they --

5 DR. GUYTON: I don't see it.

6 DR. MCNEIL: Well, perhaps, but anyhow,
7 if that were the case.

8 DR. GUYTON: I think you would find
9 people would love to find, replace all the
10 multiple scans with a single scan, given the same
11 or better data.

12 DR. MCNEIL: Perhaps. I mean, I'm sure
13 they would. The question is would they -- I don't

14 want to disagree with you, I'm just raising that
15 as an issue. So what I come down on is that on
16 the basis of just the anecdotal data that Rich
17 Wahl presented, the locoregional, if that means
18 brachial plexus, sounded pretty convincing to me.
19 The other area does not sound convincing to me,
20 and it looks to me as if it's begging for
21 additional data. Now maybe I'm misinterpreting
22 something in this document, but given the way the
23 patients were selected, I'm not sure that I am, so
24 I would just like a little help on my thinking
25 here.

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1 DR. GUYTON: It sounds to me like the
2 way the patients were selected was basically the
3 way we're treating lung cancer coverage at this
4 point in time. Is that approximately correct? If
5 the findings are equivocal on the CT scans or
6 whatever needs to be done, that's indeterminate
7 findings in evaluation, that's how the patients
8 were selected for the studies that have been
9 presented.

10 DR. ABRAMS: If I understood what you
11 said correctly, maybe it bears repeating one more
12 time what they're doing in lung.

13 DR. TUNIS: The way the coverage policy
14 is written is that if there could potentially be,
15 HCFA -- right, if this was residual clinical
16 uncertainty about appropriate management after
17 conventional imaging, in other words, if the PET
18 study may inform a change in the clinical
19 management, that the PET scan would be covered.
20 And the requirement is that the reason that it's
21 being ordered is documented in the chart.

22 So whether that maps exactly to the
23 scenario that you were describing that most of
24 these studies are done in, is close. I'm not sure
25 it's exactly the same, but it's close.

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1 DR. ABRAMS: But is that from
2 metastatic evaluation in general in lung cancer,

3 or are we talking about pulmonary nodules and
4 things like that?

5 DR. TUNIS: It's not specific to
6 metastatic evaluation.

7 DR. ABRAMS: It's not specific to
8 nodules, it could be any metastatic evaluation?

9 DR. TUNIS: Right, exactly.

10 DR. PAPATHEOFANIS: Dr. Wahl.

11 DR. WAHL: Since my name was mentioned,
12 I thought I should just comment, and my intention
13 wasn't to suggest that PET only had a role in
14 imaging brachial plexopathy. Our experience is
15 that is was uniquely superior to other methods in
16 that particular setting and I couldn't convince
17 our referring physicians to order any other tests.

18 But I would respectfully disagree with
19 Dr. Flamm in how some of the studies were done in
20 evaluating the comparative accuracy of PET. In
21 bone scanning as an example, I think the study
22 from Gary Cook as one, and having just reviewed
23 this for the Seminars, was done as a prospective
24 comparison, as I read it, between PET and bone
25 scan for bone metastasis. And these were read

00231

1 independently, thus the bone scan wasn't used as a
2 selector for the PET scan, and PET showed more
3 lesions and had fewer false positives.

4 This was also true of the performance
5 of PET in evaluating the skeleton in lung cancer,
6 where it's now covered. So, you could easily
7 argue as that point suggested, could PET replace
8 the bone scan, and the answer would be yes. And I
9 think several studies showed that where they were
10 directly compared, and the entrance criteria were
11 not an abnormal, or was not to be an abnormal
12 conventional diagnostic imaging study, if I
13 remember that correctly, and I think I do.

14 DR. MCNEIL: That's what it says here,
15 Rich. Do you think that's wrong? That's what
16 written in the table.

17 DR. WAHL: That is was -- well, the
18 patients, as my understanding --

19 DR. MCNEIL: It says history of breast
20 cancer, evidence of bone mets on bone scan in
21 greater or equal to one other test.

22 DR. WAHL: My understanding, and I
23 don't have the two papers with me, was that that
24 paper and the study from Germany were done to
25 directly compare patients with PET and bone scan,

00232

1 and some of the patients having normal studies.

2 DR. FLAMM: When you mentioned the
3 Germany study, you've heard of the Bender study?

4 DR. WAHL: Yes.

5 DR. FLAMM: The Bender study
6 specifically states that patients were selected on
7 the basis of having equivocal or uncertain
8 findings on the basis of conventional imaging, so
9 I think that that is definitely a subselected
10 group. I would link it specifically to the Cook
11 study at that time.

12 DR. WAHL: I would have to review it to
13 be absolutely certain, but Cook just wrote a
14 chapter for a textbook I'm doing on PET and I did
15 read -- well anyway, I believe that's how it was
16 reported. I think the point is that PET appears
17 to be able, even in difficult cases, appears to be
18 able to find more abnormalities and be more
19 certain about what they are than the conventional
20 tests. I guess that would be the point.

21 DR. PAPTHEROFANIS: It's my intent to
22 bring Dr. Larson back to the podium, but he seems
23 to have volunteered.

24 DR. LARSON: I think that, I just
25 wanted a point of clarification in the data that I

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1 presented. The problem of the 133 patients in
2 that table that I gave you, Barbara, was with the
3 clarification you'll see in the handwritten data,
4 and again, I apologize for this, but you'll notice
5 that actually based this categorization, which is
6 six month follow-up as the gold standard with the
7 available tests including biopsy and progression

8 on conventional testing, there really is quite a
9 small rate of false positive. The problem is the
10 false negative.

11 Actually, the bottom line where there
12 was a positive PET with conservative management
13 that was stable is the category of false positive,
14 and that's only 7 out of 133 patients. The false
15 negative group is significantly greater than that,
16 and that's what accounts for the balance of the
17 remainder of the inaccuracies. Remember, the
18 accuracy here was 78 percent, so most of those
19 were false negatives, so I just want to clarify
20 that point for the thinking.

21 And again, this was a population that
22 was selected because they were imaged because
23 physicians referred these patients because the
24 management was in question after conventional
25 techniques were done. And this is actually a very

00234

1 important category and a very difficult patient
2 group to manage, and I would submit that getting a
3 significant fraction of an accurate management
4 resolution, which my calculations suggest is about
5 78 percent, if that were followed, is very
6 helpful.

7 But again, this is a very very selected
8 subset, this is a group where the conventional
9 techniques are equivocal.

10 DR. MCNEIL: So Steve, the false
11 negative rate on this would be 7 -- I mean the
12 false positive rate would be 7 over 7 plus 28, so
13 it would be false positives over false positives
14 plus true negatives right, so it would be about 20
15 percent? Do I have that right?

16 DR. LARSON: That's correct, but what I
17 was thinking is the contribution to the inaccuracy
18 in the whole population is quite small, but on the
19 other hand, the false negative, the contribution,
20 the thing that degrades the accuracy down to about
21 78 percent is primarily the false negatives.

22 DR. PAPTATHEOFANIS: Thank you. I see
23 that this Bender study obviously is one of the

24 pivots to this argument, and I wanted to ask
25 Dr. Conti if he didn't mind coming up and giving

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1 the alternative interpretation to the data. There
2 seems to be some issue with the data. Peter, are
3 you still here? I would be curious to hear your
4 interpretation of this study and why you think its
5 placement and the way it was represented in the
6 assessment might be less than right on.

7 And David Samson, is he here? You
8 might want to power your laptop up again and let's
9 take a look at the data for part three, which is I
10 think where we're at, so we're all clear as to
11 what we're talking about here and why we are
12 forming these conclusions.

13 DR. TUNIS: While we're waiting for
14 that, someone was nice enough to hand me the
15 actual language from the coverage decision
16 regarding how it's worded, so I can read it for
17 folks if they are still --

18 DR. GUYTON: Please.

19 DR. TUNIS: So, for staging and/or
20 restaging for the covered malignancies, PET is
21 covered in clinical situations in which the stage
22 of the cancer remains in doubt after completion of
23 the standard diagnostic workup including
24 conventional imaging, or the use of the PET could
25 potentially replace one or more conventional

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1 imaging studies. And in addition to that
2 criteria, the clinical management of the patient
3 would have to differ depending on the stage of the
4 cancer identified. In other words, the test would
5 have to have made a difference. So the stage has
6 to remain in doubt after conventional imaging or
7 it's felt that the PET could replace conventional
8 imaging, at least one or more studies, and the
9 treatment would change as a result of the
10 findings. So that's the way the current coverage
11 decision is structured, so if you want to model
12 this one on that one is open to discussion.

13 DR. GUYTON: The other issue about the
14 question is it states, is there adequate evidence
15 that PET improves health outcomes as either an
16 adjunct to or replacement for standard staging
17 tests in detecting locoregional recurrence or
18 distant metastasis or recurrence. So if Barbara
19 feels that it's a good test for locoregional
20 disease in the shoulder, she has to say yes, and
21 then we put conditions on it.

22 DR. TUNIS: She has to say yes or she
23 has to amend the question.

24 DR. GUYTON: Right, but the way it's
25 stated, she would need to say yes.

00237

1 DR. TUNIS: Right.

2 DR. PAPTAEOFANIS: Dr. Conti.

3 DR. CONTI: Just again to remind you of
4 the ACR and SNM's position on this, representing
5 nearly 50,000 practicing radiologists and nuclear
6 medicine physicians, we would vote yes to this
7 particular indication. Now, also, in terms of the
8 issues on the Bender article, the things that I
9 was concerned about, and perhaps I misheard them
10 but I just wanted to make sure. Number one is
11 that this article also evaluated patients under
12 routine clinical conditions, so it's not the type
13 of study perhaps that one might decide on
14 performing in a prospective fashion, but it does
15 reflect a clinical practice scenario which, being
16 a country physician myself, I like to do that.

17 It talks about patients being followed
18 up with -- excuse me -- who have been completely
19 evaluated and followed up for at least six months,
20 so clinical follow-up is a component of the
21 verification process in this particular paper,
22 which I think was not mentioned in the analysis.
23 In particular, if you look on page 1689 of the
24 article, only patients were included where results
25 had been verified by histology, except for a few

00238

1 cases, four, where extensive disease was verified

2 by clinical course. So in fact, there was
3 reasonable criteria established and used to
4 establish whether or not there was a disease in
5 the location of interest.

6 The other point I wanted to make was
7 that these patients all were part of a routine
8 workup for staging, usually consisting of a
9 physical examination, axillary lymph node
10 ultrasonography, thoracic abdominal CT and/or MRI,
11 bone synthegraphy, and serum tumor markers, so all
12 the patients had a regimen of routine tests in
13 addition to the PET scan. So they weren't
14 screened out on the basis of a particular finding
15 on a routine test, they were all studied with the
16 technologies.

17 The PET scans were later independently
18 compared to the standard imaging, so they were
19 rereviewed and compared independently to the
20 original performance of the study. Those are my
21 comments.

22 DR. TUNIS: Dr. Conti, I just want to
23 ask one question. Does your society develop any
24 sort of professional, do you have a formal process
25 for doing clinical guideline development for the

00239

1 nuclear medicine community?

2 DR. CONTI: Yes, the Society of Nuclear
3 Medicine does.

4 DR. TUNIS: And is this a topic that --

5 DR. CONTI: Yes. I was corrected, ACR
6 does also.

7 DR. TUNIS: And has any been issued on
8 this topic, use of PET for breast cancer?

9 DR. CONTIN: For use of PET, yes, not
10 for use with breast cancer, in other words, use of
11 PET across the board.

12 DR. TUNIS: Okay.

13 DR. MCNEIL: Could I ask one more
14 question while you're there? I want to make sure
15 I understand this article correctly. Do you have
16 any idea why only 63 of the patients ended up
17 having CT and 75 ended up having PET?

18 DR. CONTI: There was some MR done
19 instead of CT.
20 DR. MCNEIL: No.
21 DR. CONTI: It says CT and/or MR.
22 DR. MCNEIL: Yeah, but if you add them
23 up, it comes out to 63, unless this table, unless
24 table 12 is wrong, there is a dropout of 12
25 patients between taking CT and/or MR and PET.

00240

1 DR. CONTI: They do say that this was
2 an optional examination in their methods section,
3 so I can't explain why the authors chose to do
4 that.

5 DR. MCNEIL: Right. Just one more
6 point to make sure I have this right. You
7 disagree with this notation that the PET was not
8 read blind, is that what you just said?

9 DR. CONTI: Well, I'm just reading what
10 the article said.

11 DR. MCNEIL: That's why I'm asking you,
12 you're the only one with it in his hands.

13 DR. CONTI: It says quote-unquote, PET
14 results were later independently compared to
15 standard imaging modalities, x-ray, CT/MR,
16 ultrasound, mammography, film syntheagraphy,
17 quote-unquote.

18 MR. SAMSON: And I would like to
19 clarify my point of view on this. Later on in
20 that same paragraph on page 1689, it says, only
21 patients were included, and this is I think a
22 translational error, where results had been
23 verified by histology except for a few cases. So
24 that I read as meaning they had histologic
25 confirmation as the reference standard for 71 out

00241

1 of 75 patients, and they used follow-up in four
2 cases, and that's, that was the fundamental
3 criticism I had with the Bender paper. It didn't
4 seem to make sense to me that they could do
5 histology in 71 patients for a number of different
6 sites, they did bone, lymph nodes, local sites,

7 liver, it doesn't seem logical that they would be
8 sampling lots of negative sites in all 75
9 patients, and there just isn't enough detail to
10 really know what the reference standard was for
11 all sites for all patients, and I think that's the
12 kind of detail we should demand of studies like
13 this.

14 And then also, it says PET results were
15 independently compared to standard imaging
16 modalities and names them, but that's not the same
17 thing as saying PETs were read blindly to the
18 reference standard because what is the reference
19 standard, it's not really clear.

20 DR. CONTI: Again, all the patients
21 have been verified, either histopathologically or
22 by clinical follow-up, so we know that they have
23 disease or not disease. So the issue is, we're
24 using standard radiological procedures which we
25 rely on every day in clinical practice to

00242

1 determine the presence or absence of this disease,
2 and you're telling me perhaps that that's not a
3 reliable source to compare the PET imaging data
4 to, and I --

5 MR. SAMSON: That's not what I'm
6 saying. What I'm saying is it's not clear from
7 this article whether the reference standard for
8 the sites that they were assessing, the
9 recurrences of different anatomic locations,
10 whether the reference standard was histologic of
11 whether it was clinical follow-up, this paper is
12 not clear on that.

13 DR. CONTI: Again, I'm sorry to be
14 argumentative but the fact is, it says four cases
15 were not histologically confirmed, they used
16 clinical follow-up on the patients that were
17 evaluated, so I'm not sure I understand what
18 you're talking about here. And let's also keep in
19 mind that with metastatic disease, we are not
20 going to be able to biopsy every particular site,
21 as we talked about earlier.

22 MR. SAMSON: No. And I think it's

23 perfectly legitimate to use follow-up as a
24 reference standard, and I made that point in the
25 presentation this morning. What I'm saying in

00243

1 this particular article, we don't know what the
2 reference standard was. It's not clear.

3 DR. PAPTATHEOFANIS: Any additional data
4 on this part three of your assessment that you
5 want to comment on?

6 MR. SAMSON: The only other thing I
7 would mention is that if you want to take a
8 separate look at the issue of locoregional
9 recurrence and especially at the brachial plexus,
10 we have one published study by Hathaway that
11 looked at issue in 10 patients. I think lots of
12 other comments have been made about how PET may be
13 particularly useful for this particular
14 indication, but I think this is a pretty small
15 evidence base to make that kind of conclusion.

16 DR. PAPTATHEOFANIS: Great, that's very
17 helpful. Dr. Flamm?

18 DR. FLAMM: As long as we're
19 pinpointing details of language in the paper, let
20 me just clarify two things. One may help Barbara
21 in your initial question about the number of CT
22 patients that are good PET patients. It says all
23 patients were part of a routine workup for staging
24 usually consisting of physical exam, axillary
25 lymph node, CT, da, da, da, so they may have had

00244

1 some but not necessarily all of those things.

2 And the second point is the second to
3 last sentence in that same paragraph after what
4 Dr. Conti read, it says patients were referred in
5 order to confirm or dismiss a suspicion of tumor
6 recurrence or systemic disease, or distant
7 metastasis in undecided/equivocal cases, so that's
8 where I was getting that from.

9 MR. KLEIN: Just a question. Sean, I
10 know you had a summary of the data. You wouldn't
11 happen to know what the burden of proof was in

12 presenting, in getting that indication in terms of
13 what led up to those conclusions, or those
14 indications?

15 DR. TUNIS: For the lung descriptors,
16 you mean which was the indication that was
17 considered the prove indication?

18 MR. KLEIN: Yeah, the one you read that
19 was indicated for, I'm just wondering what the
20 burden of clinical efficacy data, the data for
21 efficacy was to produce that result.

22 DR. TUNIS: Mitch, do you want to talk
23 about that at all, in terms of the December 15th
24 memo? I guess on lung cancer is what you are
25 exploring. See, in lung cancer we had a covered

00245

1 indication for the pulmonary nodules, if I recall;
2 is that right?

3 SPEAKER: And initial staging.

4 DR. BURKEN: That's correct, for the
5 evaluation of solitary pulmonary nodules and also
6 for staging nonsmall cell lung carcinoma. But as
7 I said, you know, many of you are familiar with, I
8 think just about everybody in the room is familiar
9 with the December 15th decision memorandum where
10 we extended coverage to many other indications by
11 tumor type as long as there wasn't a particular
12 contraindication.

13 DR. TUNIS: But I guess they're asking
14 what sort of studies did we have for the staging,
15 restaging in lung cancer, for nonsmall cell, how
16 do those compare to these sort of studies we're
17 looking at here, like are these studies worse, or
18 better or about the same?

19 DR. BURKEN: Unfortunately my memory
20 fails me, but there was a fairly good British
21 study that really helped us to get into the
22 particular area for lung cancer. And let me see,
23 I'm not sure it's going to be in my folder her, in
24 fact I'm positive it's not going to be in my
25 folder, but there was a particular article that we

00246

1 used as evidence for lung, for extending that.

2 DR. MCNEIL: Was it better than these
3 data?

4 DR. BURKEN: I remember the study, I
5 don't have that particular study in front of me.
6 I didn't think we would be getting into that
7 particular issue and I didn't bring all my PET
8 material with me, but I have several notebooks
9 worth of PET articles back at the office, but I
10 remember being, you know, I'm not being very
11 scientific here, but I remember it being a fairly
12 good study, certainly strong enough to go to bat
13 with.

14 DR. PAPANICHOPOULOS: Dr. Conti.

15 DR. CONTI: Just to fill in the gap
16 perhaps with the CT issue that we talked about in
17 the Bender study. If you look at the Huebner
18 study, he also looked at CT versus PET and in my
19 document from ACR and SNM, I did quote those
20 numbers and again for the record, the sensitivity
21 in the 57 patients that had PET scan, the
22 sensitivity was 85 and specificity 73 percent,
23 compared to CT that was only done in 44 of those
24 patients, the numbers were 71 and 54 percent. So
25 at least you have additional data to show that PET

00247

1 is superior to CT with regard to detecting
2 metastatic disease.

3 DR. PAPANICHOPOULOS: Thank you. Any
4 other comments. I think we have almost gone all
5 the way back to -- sure, Dr. Phelps.

6 DR. PHELPS: You know, I think when we
7 look at the TEC assessment criteria, even on Sam's
8 papers we read the methods of the Blue TEC
9 assessment, and we understand why that criteria
10 was used. But on the other hand, when you go just
11 to those strict and rigid criteria, you're setting
12 a weight to all other information of zero, whether
13 we recognize it or not. When we start looking at
14 other evidence, you start shifting back to that.
15 So all of the thing, you say the value that it
16 provides is zero, and we know that that's not

17 true. And so, you know, maybe it shouldn't be
18 equal to the peer studies, but it does have value,
19 so it should have some weighted value in the
20 decision that you make.

21 You know, also in the real world, where
22 patients are being taken care of and you're doing
23 research, you know, things are not so easy to
24 build large populations in these criteria, so
25 that's the real world we live in, and its weight

00248

1 shouldn't be zero.

2 DR. TUNIS: The only way I disagree
3 with that, Mike, is for purposes of a TEC
4 assessment what we're trying to do there is
5 formally summarize the kind of better half of the
6 rigorous scientific literature so at least we know
7 what the rigorous side of the world has to say
8 about this stuff. The reason we don't set the
9 other stuff to zero is that we have meetings like
10 this where, you know, Dr. Wahl can talk about his
11 experience in Vancouver and Dr. Conti can talk
12 about additional studies, and so that information
13 is making its way into the considerations of this
14 committee through all kinds of avenues other than
15 being summarized in the TEC assessment.

16 So I think that for purposes of the TEC
17 assessment, we're trying to summarize the more
18 reliable body of scientific literature, and the
19 rest of this meeting is about bringing all that
20 other information forward, maybe not in as
21 systematic a fashion, but it's not systematic
22 information. So I don't think it's true that it's
23 set to zero, I think it's just coming through in a
24 different form.

25 DR. PAPTAEOFANIS: Dr. Conti.

00249

1 DR. CONTI: One last very quick
2 comment. Just keep in mind that we recommended
3 again, that this be at physician discretion. We
4 would implore you to consider physician discretion
5 in determining whether or not a patient needs

6 additional studies to make a diagnosis. Your
7 question also poses as an adjunct, which I also
8 think you should seriously consider the use of
9 that particular word in your decision.

10 DR. PAPATHEOFANIS: Thank you. I was
11 going to say, we have almost gone all the way back
12 to Jeff Lerner's question about quality assurance
13 and so forth, and this little side bar illustrates
14 the process that we went through in choosing one
15 of the data points if you will, of our
16 consideration, and I'm pretty convinced that in
17 the document that David and Carole prepared, due
18 diligence was done, and I think it's a fair
19 representation of the information that was there
20 and I haven't heard otherwise, so again, for what
21 that's worth, I commend them.

22 We've got number four on the table
23 still, and we've got the data in the TEC
24 assessment, we've got the data from public
25 commentary, we've got individuals here with their

00250

1 own personal experience. Anyone else want to add
2 to this discussion?

3 DR. GAMBHIR: (Inaudible) data, if you
4 go and add the abstracts in this category, that
5 doubles the N, okay? So it's like saying instead
6 of Bender is just 75 patients, there are an
7 additional 75 there, the Huebner article doubles,
8 and then there was now management percentages that
9 have been noted, and those management percentages
10 in the abstract show that after conventional
11 imaging, by adding the PET, 30 to 40 percent of
12 patients change management due to the PET. So I
13 think that data has to be weighed, and that
14 changes managements occurring because you have now
15 been able to understand whether it's locoregional
16 recurrence, axillary recurrence, and/or distant
17 recurrence, and all those data then, even though
18 they're not yet in publication form, need to be
19 weighed into the vote you're about to make.

20 DR. BURKEN: I would, you know, note
21 some caution with respect to abstracts, although

22 you know, certainly much valuable information is
23 in abstracts, there hasn't been a chance to really
24 review the methodology and look and go through it
25 carefully to see whether there are certain types

00251

1 of biases in those studies. So I think certainly
2 there can be a lot of good information available
3 in abstracts but I think there has to be some
4 caution as well.

5 DR. PAPTATHEOFANIS: Barbara.

6 DR. MCNEIL: Frank, I am confused
7 beyond belief about what to do in this one, and I
8 guess I'll just throw out some thoughts, and they
9 may not be right, but I'll just throw them out.
10 The first one is, in rereading this table,
11 Hathaway stands out and Rich Wahl's comments about
12 brachial plexus stands out, so I kind of have a
13 feel for that.

14 I also think that from a policy
15 perspective consistency is good, so that if in
16 fact these data on looking for metastatic disease
17 were actually equivalent in quality to the same
18 data that led to the decisions in December, that
19 would influence my thinking a lot because I think
20 when you're making policy, you want to have some
21 sort of consistent framework for making those
22 recommendations.

23 If these data are not the same or of
24 lower quality than the data that went into the
25 December 15 judgment, and if we look at these data

00252

1 as they stand, then I'm really troubled, I don't
2 think they hold up. I just looked at the Huebner
3 article and it's a retrospective study with all
4 kinds of people dropping out.

5 So I don't really know what to do. I
6 guess what I'm doing is asking for some kind of
7 potential advice about how to split up this
8 question in a friendly way before we go down a
9 vote that may not be helpful.

10 DR. MANYAK: You know, maybe this is a

11 role for changing the wording of the question,
12 because I have exactly the same conflict. I'm
13 really torn with this, because there is clearly
14 anecdotal evidence that suggests that PET is
15 valued in a subset of patients, but it clearly
16 does not meet the criteria of strict review. So I
17 mean, which way do you want? And frankly, I'm
18 uncomfortable just saying no to this outright, yet
19 I think it's very important to adhere to the
20 criteria that have been set up which are good
21 criteria.

22 So I think maybe either we vote on this
23 issue and then add a significant comment after, or
24 we change the wording of the question. I think we
25 have to do one or the other.

00253

1 DR. PAPTATHEOFANIS: I would actually
2 favor more of the latter, that we actually change
3 the wording. Dr. Abrams?

4 DR. ABRAMS: You know, I thought what
5 Dr. Gambhir said earlier about should you ignore
6 the evidence from other diseases, I did feel like
7 we should ignore it in the screening question,
8 because I think you are dealing with different
9 issues when talking about primary tumor. But I
10 would take his point here that we shouldn't
11 totally ignore what has been found in other
12 metastatic diseases in terms of, you know, its
13 ability to help with differential diagnosis.

14 And so, I don't view the lung data or
15 the other indications as necessarily, you know,
16 this data has to be as good as that data. In a
17 way I view it that data sort of helps me here,
18 because you know, we are dealing with somewhat
19 similar issues, and I think as best we understand
20 the biology of these metastases, there are some
21 similarities. They may not be identical, but at
22 least the principle that this test is operating
23 under, it seems to make sense that that's the
24 understanding.

25 So for me, that was why I was thinking

00254

1 that the wording that we just heard on that lung
2 policy, posing it as an adjunctive as opposed to a
3 replacement sort of makes pretty good sense.
4 Replacement, I would have want to have better
5 evidence; adjunctive, I think that's sort of where
6 they went with the lung data, and this data speaks
7 to that point too.

8 DR. PAPTATHEOFANIS: Good. I want to
9 call just one more person to the podium to get a
10 little more insight, and that's Ed Coleman if he's
11 still here. Dr. Coleman, share your thoughts on
12 that proposed language change.

13 DR. COLEMAN: I'm Ed Coleman from Duke
14 University, am a professor of radiology. I have
15 received honoraria from GE, from Radiology
16 Corporation of America, from other mobile PET
17 vendors to give lectures. I have been doing PET
18 scanning for many years now, starting back when I
19 was a resident at (inaudible) Institute of
20 Radiology. I have had one of the most active
21 clinical PET centers at Duke. Over the last
22 couple of years we have started doing more and
23 more patients with breast cancer, and it's
24 primarily in this indication that we're talking
25 about here. And it's generally as an adjunct to

00255

1 the other imaging studies after they have been
2 completed and they have indecisive conclusions
3 based on the other imaging modalities.

4 So I think that putting it as an
5 adjunct would be appropriate. I think that as e
6 get more data, we're going to find that it does
7 replace the other imaging modalities, and a
8 wording similar to what's been used for staging of
9 the malignancies in the December 15th memorandum
10 would be appropriate for this use in breast
11 cancer.

12 DR. PAPTATHEOFANIS: Give us a sense of,
13 and I know this is putting you in an awkward
14 position, but let's say the breast cancer
15 specialists at Duke, let's say the language comes

16 in, and it is an adjunctive test, is this going to
17 open the floodgates, is there discretion, is there
18 an understanding by breast cancer specialists of
19 the appropriate use of PET? I mean, I'm trying to
20 get a sense of where the real world stands.

21 DR. COLEMAN: I think that the
22 oncologists are learning extremely rapidly how PET
23 is best used in the management of their patients.
24 They've learned a lot with the indications that we
25 have now; with the expanded indication that's

00256

1 coming in July, certainly they will learn more,
2 but I think that the oncologists are getting very
3 savvy on how to best utilize PET in answering
4 these specific questions to their patients. It's
5 not just going to open the door that everybody
6 that has breast cancer needs a PET scan. I think
7 that it would be specific patients with specific
8 questions as to does the patient have recurrent
9 disease, metastatic disease, and will be used
10 specifically with the other imaging modalities to
11 answer that question.

12 DR. PAPATHEOFANIS: Great, thank you.
13 Any questions for Dr. Coleman? Thank you.

14 Well, anyone good at word smithing or
15 are we going to just change a couple words around?
16 Barbara is very good with commas.

17 DR. MCNEIL: That's an inside joke.

18 DR. PAPATHEOFANIS: That's an inside
19 joke.

20 DR. MCNEIL: All right, I'll try a word
21 smithing, given what we've just said.

22 Is there adequate evidence that PET
23 improves health outcomes as an adjunct to standard
24 staging tests in detecting locoregional recurrence
25 or distant metastases/recurrence when results from

00257

1 these other tests are inconclusive?

2 I think that's the spirit of what the
3 lung cancer, and consistent with --

4 DR. MANYAK: Would it be inappropriate

5 to say anecdotal evidence, is that the --

6 DR. PAPANICHOPOULOS: No, I think she
7 meant results from other imaging tests.

8 DR. MANNING: Right, but what I'm saying
9 is we change the, instead of adequacy, anecdotal
10 evidence?

11 (Chorus of nos.)

12 DR. MANNING: That's what it is, folks,
13 I really think, but you know, that's okay, we
14 don't have to call it that.

15 DR. TUNIS: There was a consensus that
16 that was a bad idea though.

17 (Laughter.)

18 DR. MANNING: That's the first thing
19 everybody agreed on today.

20 MR. KLEIN: Is it worth reading -- I
21 think that was pretty good what Barbara put
22 together -- is it worth reading the lung statement
23 again, just in case there's a little trailer there
24 that might be interesting to add?

25 DR. PAPANICHOPOULOS: Well, you know, the

00258

1 lung is for the lung, and I think we want to move
2 beyond that, because I think the language there
3 was a little different than what we're hearing
4 today, and I think we're pushing this as much as
5 we can.

6 DR. TUNIS: I mean, just to respond, on
7 the lung issue, we were careful to make sure that
8 the approved indications in December, you know,
9 met reasonable but at least minimum standards of
10 scientific adequacy of evidence, so it wasn't a
11 gimme or something like that. So you know,
12 without being able to cite you how big the study
13 was or what flaws it was, there was at least one
14 good study in this area, and that clearly exceeded
15 the margin of anecdotal evidence. Beyond that, I
16 can't say much about the lung question, but I
17 think this has to stand or fall on its own merit.

18 DR. PAPANICHOPOULOS: I agree, so I think
19 we should back off from the lung analogy because I
20 think we've taken this as far as we can. And I

21 think that rather than use the word anecdotal, I
22 think what we're trying to say and while we're
23 trying to be consistent with previous policy, is
24 that there is a certain level of data, there is
25 some discrepancy in the interpretation of those

00259

1 published reports, there is a significant body of
2 anecdotal information, and we're taking all of
3 that into account in changing the language and
4 voting on that.

5 So with that, if you want to reread --
6 I'm sorry, go ahead, Donna.

7 MS. NOVAK: I had a question. Because
8 of the wording of inconclusive, does some of this
9 evidence actually indicate that it's better? I
10 guess maybe if it does not indicate more lesions,
11 then you can say that's inconclusive and go to the
12 next step. I'm just having a little problem
13 because it seems like there's some evidence that
14 it might be a better test.

15 DR. MCNEIL: I was using the word
16 inconclusive with regard to the results of the
17 tests.

18 MS. NOVAK: I understand that, right.
19 I understand that, and I guess I wanted to make
20 sure that there is enough leeway that if a
21 physician felt it was a better test, that they
22 could go on, even though there might be some --

23 DR. PAPTATHEOFANIS: You mean skip the
24 test in between that might turn out to be
25 inconclusive?

00260

1 MS. NOVAK: It's an adjunct, so you
2 can't skip the tests, but I guess I'm saying that
3 -- maybe I'm convincing myself that if the
4 original test doesn't show any additional lesions,
5 we could say that's inconclusive because it didn't
6 show anything, and go on to the next step.

7 DR. GUYTON: But if there's significant
8 clinical suspicion, that would be the plan.

9 DR. NOVAK: I just needed to convince

10 myself that there would be some way a physician
11 could order those tests if the first test they
12 didn't accept, for whatever reason.

13 DR. PAPTAEOFANIS: Go ahead,
14 Dr. Conti.

15 DR. CONTI: I think it's important
16 clinically to understand that we may need to know
17 tumor burden to make certain decisions in these
18 patients, so you might have an equivocal finding
19 that's on a bone scan or CT scan, and even if it
20 is perhaps a solitary lesion, you might act
21 differently than if you knew you had widespread
22 metastatic disease. So I think you need to have
23 enough flexibility in this indication to allow
24 physician discretion, because that decision, the
25 physician has in his mind a certain pathway that

00261

1 he or she is going to go down if they know certain
2 pieces of information.

3 So you might have a test that has one
4 lesion, but if they have two, they're disqualified
5 perhaps from a particular protocol, so I think you
6 -- make sure that we have enough flexibility so
7 that the physicians ordering the tests have enough
8 discretion to determine which tests, or which
9 pathways to choose from.

10 DR. GUYTON: But you're talking to Sean
11 at HCFA, you're not talking to us, because we have
12 to make a decision based on the evidence that's in
13 front of us.

14 DR. CONTI: The issue that I'm --

15 DR. GUYTON: But that's not the issue
16 that we're talking about.

17 DR. CONTI: I'm talking about the word
18 inconclusive. I just want to make sure we
19 understand what the use of that word is, because
20 inconclusive might mean that there is no evidence,
21 it might mean that there is an equivocal finding,
22 or might be the patient has widespread disease
23 from some other process.

24 DR. MANYAK: But that's the definition
25 of inconclusive, I believe, isn't it?

00262

1 DR CONTI: Indeterminate might be a
2 better word rather than inconclusive because you
3 might make a conclusion, or you might be
4 inconclusive because you're not --

5 DR. MCNEIL: Well, maybe, I guess two
6 comments. The Bender article that meets the
7 criteria said undecided or equivocal, so maybe
8 that would be more appropriate. But my guess is,
9 I would vote against the motion as I just word
10 smithed if it this were to be used to measure
11 tumor burden. These data that are presented to us
12 have nothing to do with tumor burden in a
13 quantitative sense, they just had to do with sites
14 of disease, so I think if you want to introduce
15 that, then that should be put on the table as
16 another question. If it gets rolled up into this
17 one, you will change my way of thinking.

18 DR. CONTI: Well, we can forget the
19 discussion of tumor burden, that's not a problem.
20 (Laughter.)

21 DR. MANYAK: Boy, did you scare him
22 off.

23 DR. MCNEIL: Well, no. I think we have
24 to read the data the way we've got it.

25 DR. MANYAK: I agree with you, I think

00263

1 you're absolutely right.

2 DR. TUNIS: On that point I guess I
3 would ask Dr. Abrams. I mean, what I understand
4 this motion to be about is that if the clinical
5 information would potentially change the
6 management strategy, treatment strategy, then
7 that's relevant information. So if it's a
8 solitary lesion versus ten lesions then we need to
9 know that. You know, you as a clinician would
10 know in breast cancer. I figure one lesion in the
11 bone is enough, you don't need to know that
12 there's ten, but I'm not an oncologist.

13 DR. ABRAMS: I would agree with you
14 that if the CT scan gave me five metastatic

15 lesions in the liver and a PET scan gave me seven,
16 I'm not sure that would help me very much, so I'm
17 not sure I would need the PET scan in that
18 circumstance. On the other hand, if I had
19 elevated liver enzymes, couldn't find any other
20 explanation, the CT was negative, maybe a PET scan
21 would be indicated in that circumstance. So I
22 mean, that's how clinicians will have to use this.
23 And I agree with you, it should be to inform
24 decision making.

25 And with that in mind, I just, you

00264

1 know, my interpretation of health outcomes
2 included that. Some people use the word health
3 outcomes as you know, end points of survival or
4 disease free, those sorts of things. I included
5 in health outcomes that it changes one's decision
6 making and that may affect treatment choices which
7 have their own morbidity, et cetera. So, I just
8 wanted to make sure we were okay with health
9 outcomes as well.

10 DR. PAPTATHEOFANIS: Sure. Any more
11 word smithing?

12 MS. ANDERSON: I'm going to go ahead
13 and read what we have so we know what we're
14 looking at and see if there's a word or two that
15 we want to change.

16 Is there adequate evidence that PET
17 improves health outcomes as adjunct to standard
18 staging tests in detecting locoregional recurrence
19 or distant metastases recurrence when results from
20 other tests, and some did mention imaging may be
21 placed in this area, are inconclusive? So it's
22 either tests or imaging tests.

23 DR. MCNEIL: Just tests.

24 DR. PAPTATHEOFANIS: Did you have a
25 comment, Carole?

00265

1 DR. FLAMM: Well, I guess I'm just
2 wondering if there's going to be a companion piece
3 of what's left over after we've modified this.

4 Are we splitting this out basically into two
5 different questions and votes? Is there going to
6 be any specific discussion as a replacement for?
7 That's kind of being silent then, if we change the
8 language just to be a vote on PET as an adjunct
9 to, there is something left over.

10 DR. GUYTON: I think the committee
11 could decide to do that if they wanted to.

12 DR. PAPANATHOFANIS: What would help
13 you, Sean?

14 DR. TUNIS: Well, it sounds like you
15 dropped the replacement because the feeling was
16 there may not be any evidence on that, so it
17 probably would be useful to frame that as a
18 question and then vote on it, since it is part of
19 this question.

20 DR. PAPANATHOFANIS: So it's two
21 questions then. One is replacement, and the other
22 is the new one, the word adjunct. Okay. Any
23 other word smithing? Let's start with the one
24 where the words replacement are left in place.

25 MS. ANDERSON: Could I have a motion?

00266

1 DR. MANYAK: So move.

2 DR. MCNEIL: Could you read that again?

3 DR. GUYTON: Wait a minute. Why don't
4 we stick with the one that we smithed?

5 DR. PAPANATHOFANIS: Well, we've smithed
6 both really and created new ones, but okay, let's
7 do that. We're going to go with the one that you
8 created, Barbara.

9 DR. MCNEIL: So that the outcomes as an
10 adjunct to, that one.

11 MS. ANDERSON: I need a motion to vote.

12 DR. MANYAK: So move.

13 DR. GUYTON: I will second, if you will
14 read it again.

15 MS. ANDERSON: This is the question.
16 Is there adequate evidence that PET improves
17 health outcomes as adjunct to standard staging
18 tests in detecting locoregional recurrence or
19 distant metastases recurrence when results from

20 other tests are inconclusive? That's what we
21 have.

22 DR. MANYAK: I think the wording was,
23 when results from these tests are inconclusive.

24 DR. MCNEIL: From other.

25 (Inaudible colloquy.)

00267

1 DR. MANYAK: Leave other, okay.

2 DR. MCNEIL: Is there a value in having
3 this second recurrence in here, distant
4 metastases/recurrence, is that any value?

5 DR. GUYTON: Yes. It could be both, it
6 could be several times recurrent disease.

7 DR. PAPTATHEOFANIS: Any further
8 comments on the language as it stands now?

9 MS. ANDERSON: Okay. We have the
10 motion, so I'm just going to carry the motion and
11 we will vote on the language that I just read.

12 DR. PAPTATHEOFANIS: Well, on the motion
13 itself.

14 MS. ANDERSON: Those voting for? We
15 have five votes for. Those who are voting
16 against? Those who are abstaining. We have five
17 votes for and one abstention.

18 DR. PAPTATHEOFANIS: Boy, would we want
19 to know what why you abstained.

20 DR. LERNER: I am trying to cope with
21 the burden of evidence. I guess I'm not
22 comfortable and I guess I need to see more and in
23 a sense, the people who voted yes said they wanted
24 to --

25 DR. PAPTATHEOFANIS: So your conflict is

00268

1 with the literature, the evidence in the
2 literature.

3 DR. TUNIS: And it would on this one,
4 it would help to know that Dr. Manyak had proposed
5 the word anecdotal I think to reflect some sense
6 within the conversation that while the evidence,
7 while you just voted that the evidence was
8 adequate, that my sense from this discussion was

9 that the committee felt that it was barely
10 adequate or just adequate, and maybe that's what
11 you're saying, Dr. Lerner. I just want to make
12 sure that if anybody on the committee disagrees
13 with that characterization, they can let us know
14 now, just because that may, we would take that
15 into account as we discuss this internally.

16 So even though there is no such thing
17 as saying barely adequate, you have voted that
18 it's adequate, but the sense I'm taking away from
19 the conversation is that it sort of just got over
20 the line, and if somebody disagrees with that on
21 the committee, I would be interested in hearing
22 that.

23 DR. GUYTON: Well yeah, I think it
24 probably does meet a higher standard than that.
25 Jeff was talking about it earlier when he said

00269

1 that the propensity of the clinical evidence that
2 was presented was very positive for this
3 particular indication, and I think we've heard
4 very strongly from the people who are involved in
5 the clinical activities related to this process
6 that they are convinced themselves and they have
7 convinced us that the evidence is adequate, and I
8 think it's more than just barely adequate.

9 DR. MCNEIL: Sean, I actually think
10 it's barely adequate and I think that I voted yes
11 for this, but I voted because it just hit the
12 line, but if we were to have other studies like
13 this, with this level of evidence, I'm not sure I
14 would vote yes again. I mean, I think this was
15 kind of a, it was that close to me and on another
16 day, if I woke up on the wrong side of the bed, I
17 just might not be able to vote yes with this level
18 of evidence.

19 DR. TUNIS: Good thing you flew first
20 class.

21 (Laughter.)

22 DR. MANYAK: I would also like to add
23 that I believe it was barely adequate. I mean,
24 the dust cleared and the runner was safe at the

25 plate, and that's really the way I looked at it.

00270

1 It was slightly over the line, enough to convince
2 me after this discussion, but I still like
3 anecdotal even though you guys don't like it, but
4 in the spirit of moving forward, I will desist
5 from any further discussion.

6 DR. FLAMM: I would also agree that it
7 was a very borderline decision for me, and that
8 one of the elements was that these patients in a
9 highly selected kind of way may be few and far
10 between, the problem of equivocal cases, and that
11 may be a harder to study population, and may be
12 justification for the way I voted.

13 DR. PAPTATHEOFANIS: That makes sense.
14 I think it also points to the use of information
15 that is not in the peer reviewed literature and
16 how that was used in this example, for those who
17 are in attendance in the audience, to really make
18 a decision on a really hard one. And I agree,
19 hopefully it will be a population that's very well
20 screened and preselected and the technology is
21 used appropriately.

22 So with that, we have one more.

23 DR. MCNEIL: No, we have two more.

24 DR. PAPTATHEOFANIS: Oh, we have to do
25 the replacement. I apologize.

00271

1 DR. BURKEN: I would kindly ask the
2 chair to ask the committee to vote on the size of
3 the effect, since we did vote in the affirmative
4 on this question, to examine that question of
5 effect size in keeping with the EC recommendations
6 several months ago.

7 DR. PAPTATHEOFANIS: Well, let's have a
8 discussion on the effect size, if you want to
9 start us off with that, Mitch.

10 DR. BURKEN: Earlier, to take us back
11 to 8:30 this morning, I had talked about the
12 seven-point scale that was recommended by the
13 Executive Committee, and I would ask that the

14 panelists consider placing this effect size into a
15 range ranging from not effective to less
16 effective, as effective, more effective, and
17 breakthrough technology, with some breakdowns
18 within the less effective and as effective range,
19 as I talked about this morning.

20 I know it's not, you know, not the
21 easiest thinking to break down some of this stuff
22 that has some intangibles into a neat discrete
23 category, but I would ask that we give it our best
24 shot.

25 MS. NOVAK: The way the question is

00272

1 worded, it says better health outcomes, so I think
2 we're almost voting that it's more effective, or
3 has the potential of adding something.

4 DR. GUYTON: It could be as effective
5 with advantages.

6 DR. PAPTATHEOFANIS: Right. The degree
7 is what he is getting after.

8 MS. NOVAK: Yes, but the improved
9 health outcomes to me as part of the definition
10 would be that means it is more effective, because
11 we said it has improved health outcomes.

12 DR. PAPTATHEOFANIS: But I think in that
13 sense it's from the baseline condition of the
14 patient who's having the study done. I think it's
15 not a generic improvement of health outcomes. In
16 other words, someone who's ill who experiences --

17 DR. GUYTON: That's not the way I
18 interpreted it.

19 DR. PAPTATHEOFANIS: How would you
20 interpret it?

21 DR. GUYTON: Compared to the other
22 strict staging tests that are available.

23 DR. PAPTATHEOFANIS: Okay, you can do
24 that. We still need an effect size.

25 DR. GUYTON: Then it's either as

00273

1 effective with advantages or more effective, as
2 far as I'm concerned. I don't consider it

3 breakthrough.

4 DR. PAPANATHOFANIS: We have seven
5 categories?

6 DR. BURKEN: That's correct.

7 DR. PAPANATHOFANIS: We're not going to
8 go to one extreme or the other so we won't, and I
9 don't mean to put words in anyone's mouth, but you
10 just suggested it's not a breakthrough. If anyone
11 think it's not effective at all, obviously you
12 wouldn't have voted the way you did, so the two
13 extremes are pretty much out.

14 DR. BURKEN: Let me clarify that,
15 Dr. Papanathofanis. You can have adequate evidence
16 in part one but the evidence could be extremely
17 negative, at which point it would be not
18 effective. In this case, we've had some evidence
19 that is positive, but you know, so that has kind
20 of taken not effective out of the picture.

21 DR. PAPANATHOFANIS: So what are the
22 middle five categories, or what categories are
23 missing, I should ask.

24 DR. BURKEN: Well, the middle five is
25 less effective without any advantages such as

00274

1 tolerability or convenience, less effective with
2 advantages, as effective without advantages, as
3 effective with advantages, or more effective. And
4 I know these are kind of slippery categories in
5 spots, but again, this is just a framework that
6 was put in front of us several months ago.

7 DR. PAPANATHOFANIS: Okay. Barbara?

8 DR. MCNEIL: Frank, I guess I -- do we
9 have to vote on this? Because I'm going to
10 abstain, I don't know how to answer the question,
11 because we have, even if the data were compelling,
12 you know, if it were 70 percent instead of 50.1,
13 the health outcomes are a little bit hard for me
14 to quantify on this scale. I understand what Jeff
15 said is really what it's doing is improving
16 treatment strategies, and the associated health
17 outcomes are going to vary with what treatment is
18 changed to what for what organ.

19 DR. GUYTON: Isn't the effectiveness of
20 the detection, because we're saying that it's used
21 to detect locoregional recurrence and distant
22 metastases, and the question is, how effective is
23 it in detecting locoregional disease or metastasis
24 or recurrence.

25 DR. MCNEIL: I don't think that's how

00275

1 they formulated the --

2 MR. KLEIN: I think we may want to
3 hinge where we score this on the choice of words
4 adjunctive versus replacement, because I think the
5 reason it was for adjunctive as opposed to
6 replacement has some relationship to the perceived
7 effectiveness. I'm curious what effectiveness
8 with advantages typically means, Mitch.

9 DR. BURKEN: What I'm going to do is
10 kind of answer your question in a more reflective
11 manner. These are interim guidelines that have
12 been suggested by the Executive Committee. It
13 turns out that when we put them into play, they
14 may not play out as easily as we would have liked,
15 you know, so the very fact that we are wrestling
16 and grappling with this and maybe having a hard
17 time with it may mean that we need to go back to
18 the Executive Committee and consider some other
19 ways of trying to quantify or scale these effects,
20 or maybe not scaling them all. So I would leave
21 it up to the committee to try to wrestle, and the
22 Executive Committee can I think get some good
23 feedback from this discussion.

24 DR. PAPATHEOFANIS: Donna?

25 MS. NOVAK: I think the with

00276

1 advantages, I can see if it's noninvasive, versus
2 the current procedure which is invasive, if
3 there's a quicker diagnostic time because of
4 whatever, I would think that's what you're talking
5 about with advantages, does it have, you know --

6 There's two things I think you're
7 asking for. One, is it more effective than the

8 current procedures and I agree with you, that it's
9 effective in diagnosing, not in curing, although
10 there is certainly some type of relationship
11 there. And then the second is, is there any
12 advantage over the current procedures, and I don't
13 think we've heard any testimony about that at all.
14 I mean, we might know, but I don't think there is
15 anything that has been written up as far as
16 advantages.

17 DR. BURKEN: And again, the two
18 potential types of advantages that come quickly to
19 mind are convenience and tolerability of a
20 particular tests, and maybe others.

21 DR. PAPATHEOFANIS: The others that are
22 listed, the language in the interim guidelines is
23 convenience, rapidity of effect, fewer side
24 effects, and other advantages, and that's under
25 category three, which is effective but with

00277

1 advantages.

2 So, let's say we don't want to vote on
3 size of health effects, or the committee chooses
4 not to. Is that an option?

5 DR. BURKEN: I certainly think it would
6 be, and it would send certainly some message to
7 the Executive Committee.

8 MS. NOVAK: Are we going to vote on
9 whether we are going to vote, or is that --

10 DR. PAPATHEOFANIS: Well, we need a
11 motion. If there is no more discussion, we need a
12 motion that you're not going to vote on it, and so
13 if the motion is that, then we will vote on the
14 fact that you will not vote on this.

15 DR. GUYTON: I guess my question is is
16 it, are we voting on the effectiveness of the
17 improvement in health outcomes or the
18 effectiveness of the test in detecting disease.
19 That's the real issue, I think.

20 DR. BURKEN: To go back to this morning
21 when I stood up, I said everything we're talking
22 about in PET today is compared to something else,
23 so it would be the effectiveness of PET versus

24 conventional diagnostic tests, so that's, you
25 should always think of PET and its comparator or

00278

1 comparators.

2 DR. PAPATHEOFANIS: Does that help?

3 DR. GUYTON: Well, I guess it still
4 raises the question of are we comparing it in
5 terms of detecting disease or improving health
6 outcomes.

7 DR. BURKEN: Improving health outcomes
8 is what we're trying to do here.

9 DR. ABRAMS: But, I just think this
10 gets to what I brought up earlier. I mean, the
11 only thing that an imaging modality can really do
12 is help you make a different decision about the
13 treatment, and that eventually depending on how
14 good that treatment is, will or will not affect
15 the overall health outcome. But if you use health
16 outcome in a very broad sense, making a different
17 decision, you know, give radiation, not give
18 radiation, that, I mean, I think if it didn't
19 affect the decision, because we went through this
20 earlier, then you wouldn't want to use it as an
21 adjunct.

22 I mean, if you were just doing it to
23 have another test, it doesn't make any sense, so
24 I, you know, I take this as a whole, and that's
25 just a subjunctive clause in the sentence, and

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1 basically my thinking about this was the reason it
2 could be as effective or more effective in certain
3 circumstances. This advantages and stuff, I must
4 confess, I'm not sure what that really means in
5 this context. But it has to be as effective or
6 else you wouldn't have voted yes, and it may be
7 more effective in certain circumstances.

8 DR. LERNER: I'm just wondering whether
9 we're trying to fit a square peg into a round
10 hole. I think that maybe this was developed to be
11 an overall set of categories, and what we really
12 found here was something that doesn't quite fit.

13 DR. PAPANATHOPANIS: Isn't
14 generalizable?

15 DR. LERNER: Right. And maybe we're
16 better off rather than trying to make that fit in
17 an uncomfortable way to simply say back to the
18 Executive Committee, maybe for situations like
19 this, you need something else.

20 DR. GUYTON: Actually, I agree with
21 Jeff with regard to his characterization that,
22 improving health outcomes in that regard, and I
23 will move the question.

24 DR. PAPANATHOPANIS: What is the
25 question then?

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1 DR. GUYTON: Vote on the effectiveness.

2 DR. PAPANATHOPANIS: To go ahead and
3 vote on the effectiveness?

4 DR. GUYTON: Right.

5 DR. PAPANATHOPANIS: And how would you
6 categorize it in the seven categories?

7 DR. GUYTON: If you want me to
8 categorize it in the seven, I'll say more
9 effective.

10 DR. PAPANATHOPANIS: So more effective,
11 the new intervention improves health outcomes by a
12 significant albeit small margin, as compared with
13 established services or medical items.

14 DR. GUYTON: Uh-huh.

15 MS. NOVAK: I will second that.

16 DR. PAPANATHOPANIS: Any discussion on
17 that? Let's go to a vote.

18 MS. ANDERSON: Okay. We're voting on
19 whether the effect size is considered more
20 effective, just the language more effective.
21 Those voting for? Those voting against? And
22 those abstaining.

23 I believe we have two votes for, one
24 vote against, and three abstentions. That means
25 the vote does not carry, but the information is in

00281

1 the record.

2 DR. PAPANICOLAOU: Let's go back to
3 question four but with the different language
4 that includes the words replacement for, and I'd
5 just like to move ahead.

6 MS. NOVAK: Excuse me. I have a
7 problem with leaving the "or" in. I think maybe
8 what you have to do is take out the "adjunct to"
9 and then vote on the other half of the question,
10 the replacement for.

11 DR. PAPANICOLAOU: I think that would
12 be clear, so does someone want to provide some
13 language here?

14 DR. FLANNERY: Improves health outcomes as
15 a replacement for, blah, blah, blah.

16 (Inaudible colloquy.)

17 MS. ANDERSON: So what we're voting on,
18 is there adequate evidence that PET improves
19 health outcomes as a replacement for standard
20 staging tests in detecting locoregional recurrence
21 or distant metastases recurrence? Those voting
22 for? Those voting against? We have a unanimous
23 against vote.

24 DR. PAPANICOLAOU: Great. Let's move
25 on. Last question. Is there adequate evidence

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1 that PET can improve health outcomes by providing
2 either a more accurate or an earlier determination
3 of tumor response to treatment compared to the use
4 of conventional response criteria which may rely
5 upon clinical exam and/or standard imaging tests,
6 for example CT, MR or bone scan. Any discussion
7 on this one?

8 DR. TUNIS: Let me just maybe mention
9 one thing again from our previous coverage policy
10 related to monitoring therapy, which is, what we
11 cover for the other oncologic indications is
12 restaging after the completion of a planned course
13 of chemotherapy or therapy, but that monitoring
14 during a planned course of treatment to look for
15 tumor response is not covered. That's for the
16 other cancers just so you know what existing
17 policy is, so we did not elect to cover monitoring

18 a response to therapy during a planned course of
19 treatment, but did allow for coverage of restaging
20 following the completion of a planned course of
21 treatment.

22 DR. PAPATHEOFANIS: Any discussion?
23 Any additional information that anyone would need
24 from the audience on this one?

25 DR. WAHL: If I could comment? It

00283

1 appears not.

2 DR. PAPATHEOFANIS: Go ahead.

3 DR. WAHL: Sean mentioned that the
4 response therapy wasn't covered in the other
5 tumor, since you pointed out, it should be
6 mentioned that breast cancer has been studied
7 probably more extensively in terms of sequential
8 studies and response to treatment than many of the
9 other cancers, and probably that's why you are
10 considering it as a fifth question.

11 DR. TUNIS: Well, I wasn't proposing
12 that that should be the model for this coverage,
13 just that they should know what the coverage was
14 for the other. It seems to me this hinges a lot,
15 and maybe Dr. Abrams, you could fill in here to
16 what extent the treatment of breast cancer is a
17 trial and error or multiple options, you try
18 something and you look for signs of recurrence and
19 how often those signs -- I means signs of
20 response, and how often things that are detected,
21 how PET could add something there. Does that
22 question make sense?

23 DR. ABRAMS: Yeah. I mean, I think the
24 type of research studies that have been presented
25 the us and that are being published, the Mortimer

00284

1 study that recently was published, the hormonal
2 therapy study that Dr. Wahl cited, these are very
3 exciting, because if we could have something that
4 we could rely on like a PET scan fairly soon into
5 a treatment to tell us that treatment was working
6 and we didn't have to wait for the longer end

7 point of response rate on standard scans that
8 usually takes at least a minimum of four weeks and
9 maybe eight weeks, you could spare people
10 treatment that wasn't helping them, and I think
11 that would be beneficial in some cases.

12 But I, you know, I don't think from my
13 reading of this yet, that the evidence supports
14 that. I think that what it supports is that these
15 studies, again, need to be done and there is at
16 least sufficient evidence to do more of this type
17 of research and that it's promising, but I don't
18 know that I read anything that convinces me that
19 it's ready to be used in lieu of the standard
20 tests at this point.

21 DR. PAPTATHEOFANIS: Thank you.

22 Dr. Flamm.

23 DR. FLAMM: I agree that some of these
24 studies are interesting and provide some
25 provocative results, but they are small studies

00285

1 and one concern I have, especially for the studies
2 that do report imperfect prediction of tumor
3 response is that at least if a patient is going to
4 go on and respond to the treatment that they're
5 on, and you because of your PET think that they
6 are a nonresponder and you take them off of that
7 treatment to which they ultimately would have
8 responded and put them onto some second line maybe
9 not as effective treatment regimen, what have you
10 done to that patient, have you really helped them.
11 That's one of my concerns.

12 DR. PAPTATHEOFANIS: Okay. Any other
13 discussion? We need a motion to take a vote then
14 on question number five.

15 DR. MCNEIL: I move to call the
16 question.

17 DR. MANYAK: Second.

18 DR. PAPTATHEOFANIS: Okay.

19 MS. ANDERSON: The question reads as
20 follows: Is there adequate evidence that PET can
21 improve health outcomes by providing either a more
22 accurate or an earlier determination of tumor

23 response to treatment compared to the use of
24 conventional response criteria, which may rely
25 upon clinical exam and/or standard imaging tests

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1 such as CT, MRI or bone scan.

2 Those voting for? Those voting
3 against? And no abstentions. That's a unanimous
4 vote against.

5 DR. PAPTATHEOFANIS: Great. That
6 fulfills the charge of this committee. I want to
7 spend five minutes, and I know everyone has a
8 flight, but mine is not until 5:20. Everyone has
9 a flight to catch, but I want to spend five
10 minutes going back and touching on what
11 recommendations, if any, we can make that are
12 specific and that we think might be of use to HCFA
13 as far as the future role of this indication and
14 the use of this technology. Do you want to start,
15 Steve?

16 DR. GUYTON: I think I went through the
17 potential studies that HCFA might do. I would
18 caution them to try to avoid some of the
19 contentious parts of the NETT trial.

20 DR. PAPTATHEOFANIS: So you're not a big
21 NETT proponent. Anyone else? Jeff.

22 DR. LERNER: It's not a study per se,
23 but I'm just wondering whether future MCAC would
24 ever want to issue some guidance to people
25 presenting for public comments to MCAC committees

00287

1 that might, you know, help them know sort of from
2 the get-go what panels tend to look for in
3 information, and I think it might be helpful.

4 DR. PAPTATHEOFANIS: Well, I think
5 that's a good recommendation. I think the folks
6 who spoke from the public sector and from other
7 vantages did an excellent job today, I think the
8 discussions were very focused, I think the
9 comments were relevant. And so they are picking
10 up that sort of guidance from what's out there,
11 but I think it can be refined maybe a step further

12 to some specifics, I think that's what you're
13 getting at.

14 DR. LERNER: Right. I want to be
15 clear, I wasn't being critical of what people
16 presented, I just think there's a way to make it
17 easier for them to have a sense of what some of
18 the expectations are as a panel, and it's moving
19 for efficiency, not a criticism.

20 MS. NOVAK: Along those same lines of
21 process, it would be helpful possibly if you
22 allowed individuals that were going to testify to
23 provide that ahead of time, and gave some time
24 frame of when they would have to get it in in
25 order to get it disseminated before we caught

00288

1 airplanes.

2 DR. ABRAMS: Having watched this play
3 out, new treatments, and I am more familiar with
4 drug treatments, but in other areas in breast
5 cancer, it seems until you have some partnership
6 of the research arm, the payer arm and industry,
7 and what the mix should be in any given treatment
8 may be different depending on the financial
9 circumstances, but until you do that, I don't
10 think you will get these trials done. So although
11 we recommended a lot of I think good trials and
12 ones that people would like to do, it is true that
13 if they are not going to find some way to pay for
14 them they will not be done, and we will all be
15 frustrated sitting around asking these questions
16 in another five years.

17 So I would hope that perhaps payers
18 would see it to their advantage to some degree to
19 pay for patients in trials so that they don't have
20 to support costs outside of trials which don't
21 answer the question and which perpetuates this
22 sort of lack of information.

23 DR. GUYTON: It wouldn't necessarily
24 have to be limited to payers, it could be
25 manufacturers or pharmaceutical companies or

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1 whatever.

2 DR. ABRAMS: That's why I said
3 partnership, I think it has to be a partnership.

4 DR. GUYTON: It may have to be some
5 sort of request for proposal that includes not
6 only the effect that the research will have, but
7 how much it will cost the government.

8 DR. PAPTATHEOFANIS: That's great.

9 Just to give you a thumbnail of what
10 happens next, our deliberations as I say will be
11 summarized and passed along to Sean and then
12 eventually to the Executive Committee for
13 ratification, so there will be some discussion
14 again at the Executive Committee. The level of
15 discussion, the details and so forth, we really
16 can't predict, but that's what happens next, then
17 ratification, and then I guess up to the
18 Administrator's office is the next step.

19 Did you have anything to add before I
20 close?

21 DR. TUNIS: Just as many of you know,
22 the ratification function of the Executive
23 Committee is set to expire as of October 1st of
24 this year. However, the decisions that are made
25 until that goes into effect will probably still be

00290

1 subject to Executive Committee ratification. As
2 far as I know, we don't have a scheduled EC
3 meeting, or do we have a tentative.

4 MS. CONRAD: October 17th.

5 DR. TUNIS: October 17th is the
6 tentative Executive Committee date. If we could
7 find a way to get this finalized prior to then,
8 which is not out of the question, we will
9 certainly pursue that, but I guess Frank and
10 Barbara will be writing up their detailed summary
11 of the deliberations here, which will take a
12 little bit of time, to present to the EC.

13 DR. PAPTATHEOFANIS: Well, I wanted to
14 thank Sean for being here, and to thank Janet
15 Anderson for her efforts in getting this thing
16 together, and all the committee members. There

17 were rumors that some of us had died in the
18 two-year interval since we met, but hopefully we
19 will meet again sooner than two years.

20 MS. ANDERSON: Actually, we are not
21 done yet. We have to stay in compliance so
22 there's two more things we have to do.

23 The first being that I do want to
24 remind everyone that continuing information can be
25 found on our web site. Our name may have changed,

00291

1 but the web site is the same,
2 www.hcfa.gov/coverage, or you can just go to
3 www.hcfa.gov and click on the coverage process.

4 Now, to conclude today's session, would
5 someone please move that the meeting be adjourned.

6 DR. MANYAK: So move.

7 DR. GUYTON: Second.

8 MS. ANDERSON: And second, thank you.

9 The meeting is adjourned.

10 (Whereupon, the meeting adjourned at
11 3:56 p.m.)

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