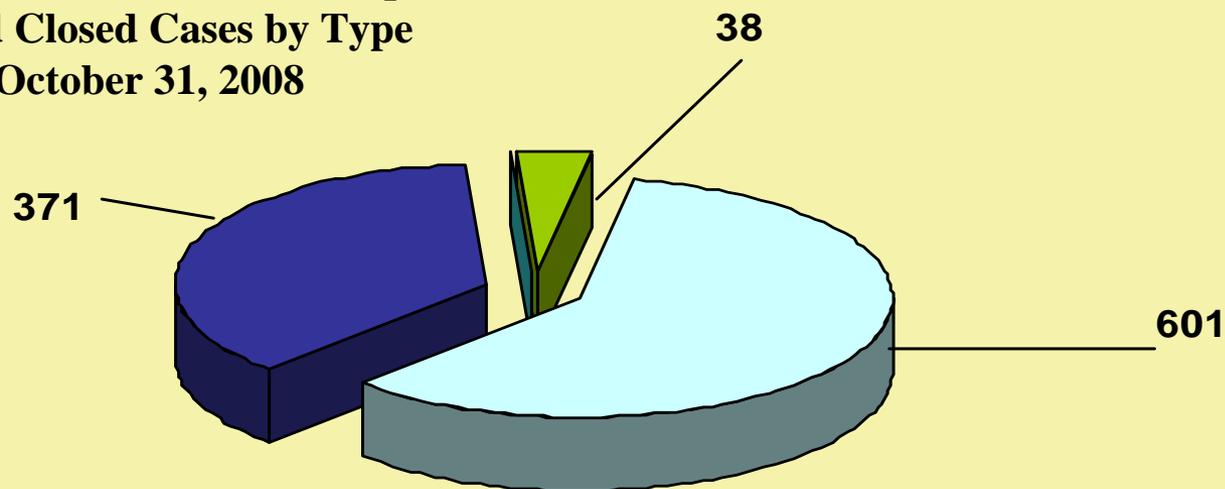


**CMS Enforcement Statistics Report**  
**Open and Closed Cases by Type**  
**As of October 31, 2008**

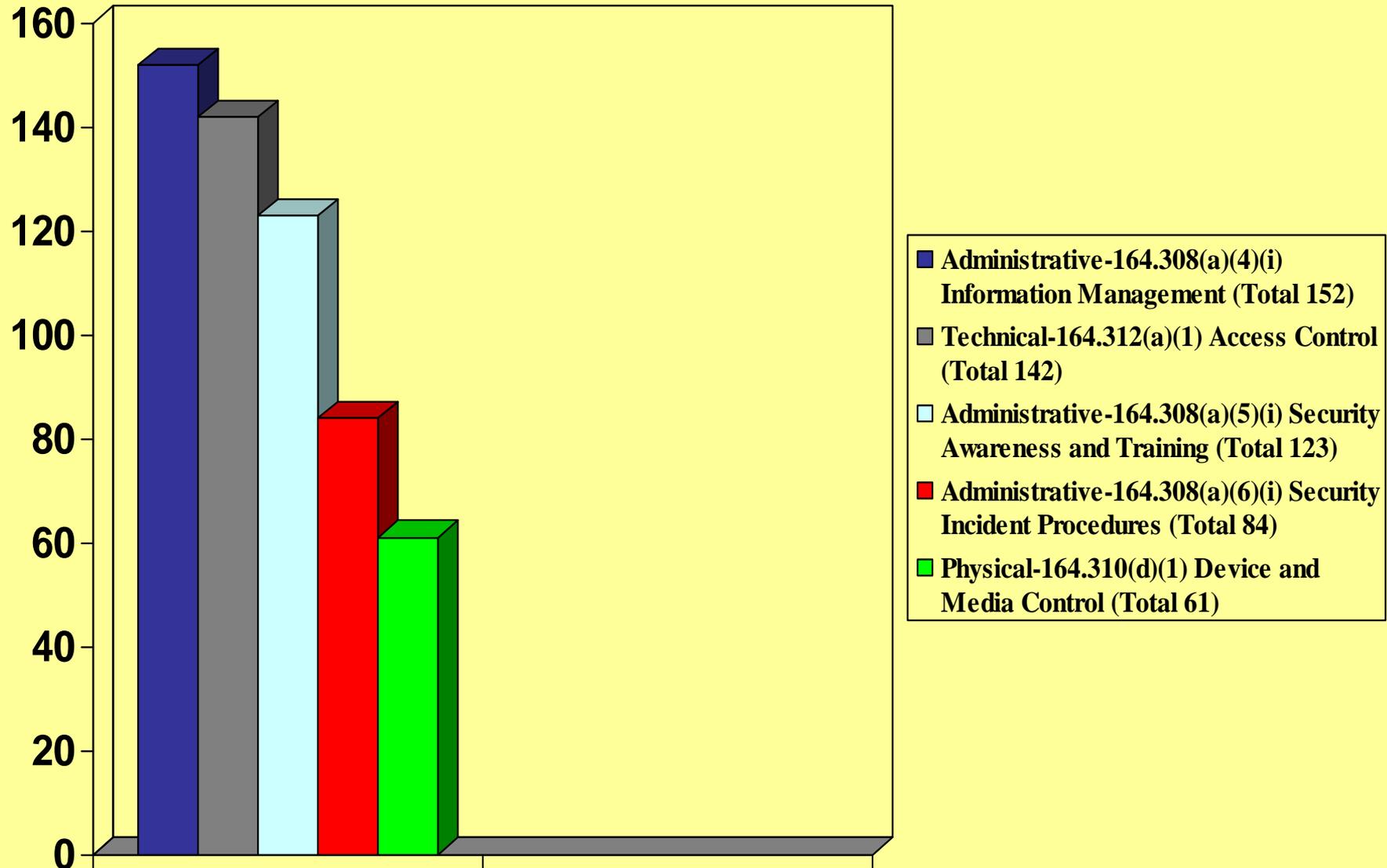


<b>Complaint Type</b>	<b>Total</b>	<b>Open</b>	<b>Closed</b>
Transactions and Code Sets (TCS)	601	46	555
Security	371	85	286
National Provider Identifier (NPI)	38	13	25
<b>Total</b>	<b>1,010</b>	<b>144</b>	<b>866</b>

**Open** – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

**Closed** – No further action required. All issues have been sufficiently resolved. Please note that 50 of the 371 security cases have been closed via corrective action plans.

## Summary of Most Commonly Violated Security Provisions



-A single security complaint can allege violations of all the provisions listed.

-The number of provisions listed do not correlate to the total number of security complaints.

-The total number of Administrative provisions represented on this slide, 359; Technical 142, and Physical 61.