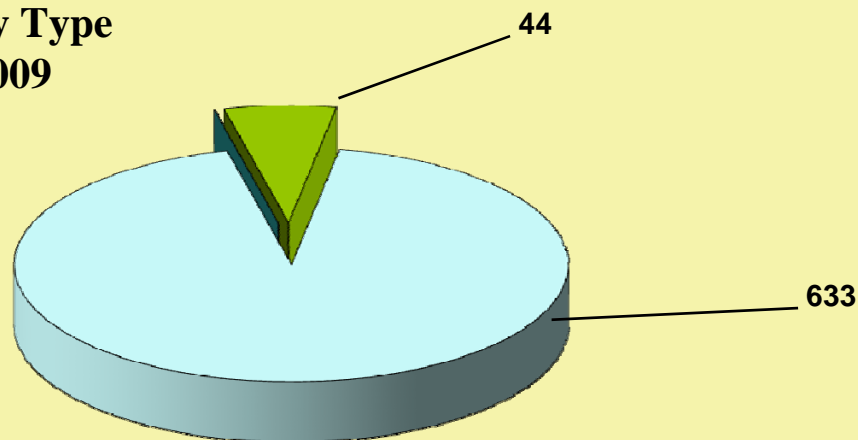


CMS Enforcement Statistics Report
Open and Closed Cases by Type
As of September 30, 2009



Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	633	41	592
National Provider Identifier (NPI)	44	0	44
Total	677	41	636

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved.

Note: On July 27, 2009, the Secretary of Health and Human Services (HHS) issued a delegation that transferred the authority to administer and enforce the Security Rule from CMS to the Office for Civil Rights (OCR). For more information, please visit OCR website: <http://www.hhs.gov/ocr/privacy/index.html>.