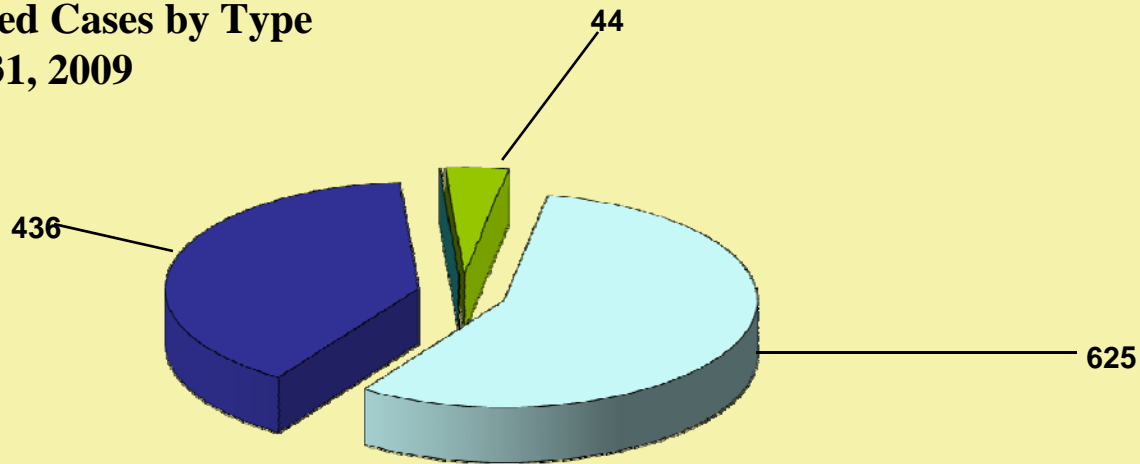


**CMS Enforcement Statistics Report**  
**Open and Closed Cases by Type**  
**As of July 31, 2009**

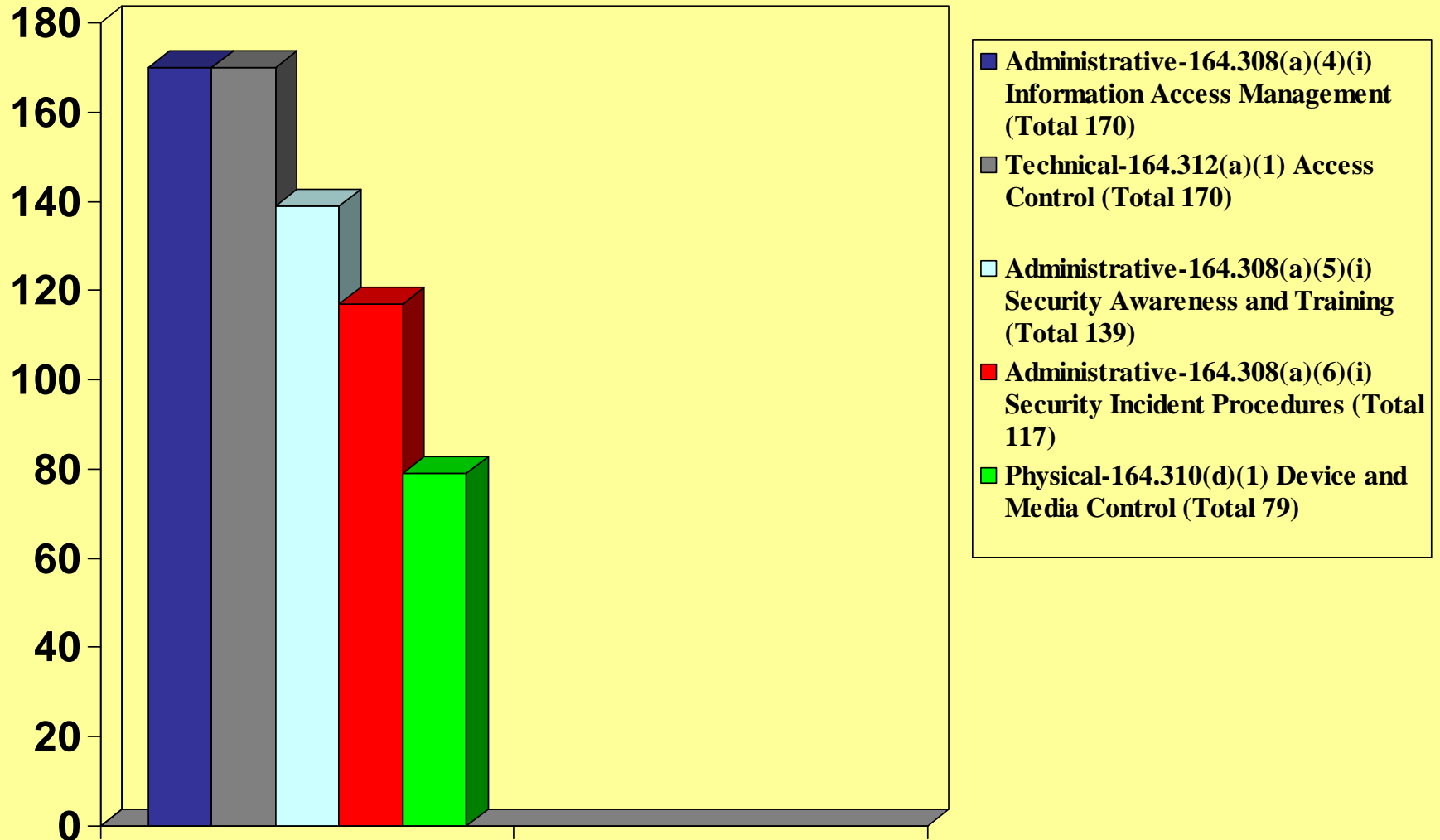


| Complaint Type                     | Total        | Open       | Closed     |
|------------------------------------|--------------|------------|------------|
| Transactions and Code Sets (TCS)   | 625          | 38         | 587        |
| Security                           | 436          | 77         | 359        |
| National Provider Identifier (NPI) | 44           | 2          | 42         |
| <b>Total</b>                       | <b>1,105</b> | <b>117</b> | <b>988</b> |

**Open** – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

**Closed** – No further action required. All issues have been sufficiently resolved. Please note that 47 of the 359 security cases have been closed via corrective action plans.

## Summary of Most Commonly Violated Security Provisions



- A single security complaint can allege violations of all the provisions listed.
- The number of provisions listed do not correlate to the total number of security complaints.
- The total number of Administrative provisions represented on this slide, 426; Technical 170, and Physical 79.