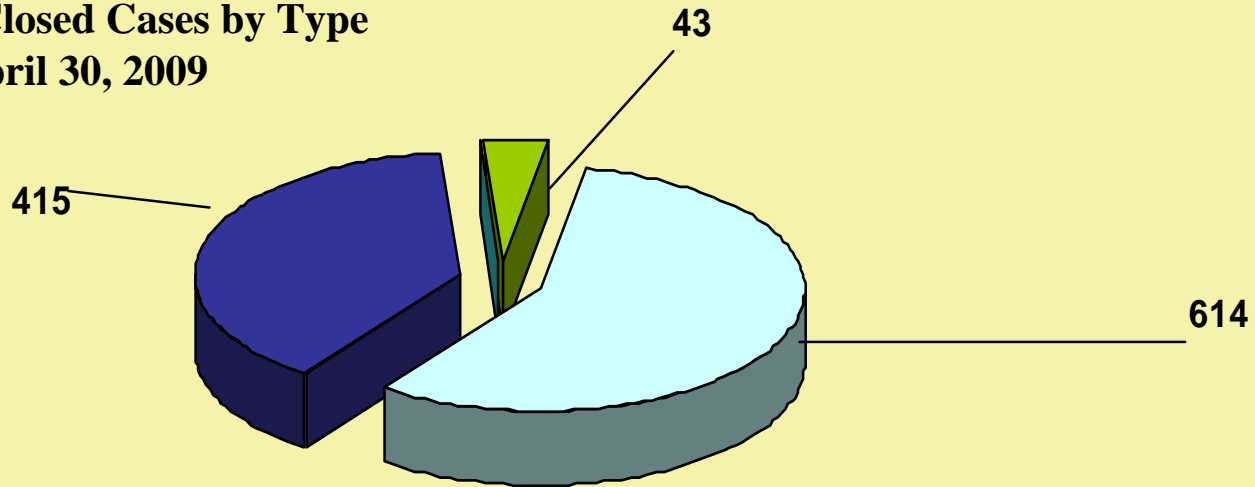


**CMS Enforcement Statistics Report**  
**Open and Closed Cases by Type**  
**As of April 30, 2009**

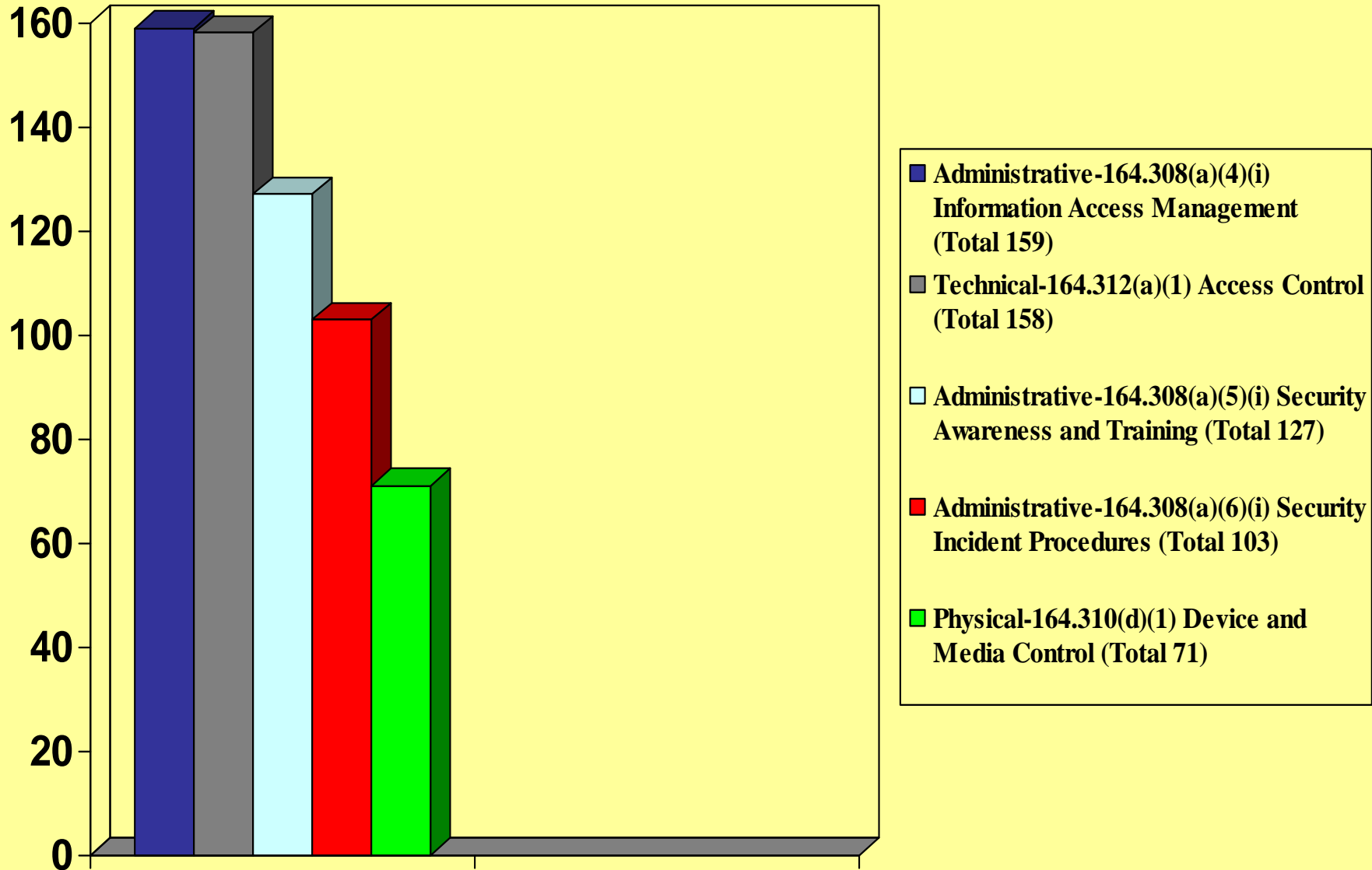


<b>Complaint Type</b>	<b>Total</b>	<b>Open</b>	<b>Closed</b>
Transactions and Code Sets (TCS)	614	43	571
Security	415	74	341
National Provider Identifier (NPI)	43	4	39
<b>Total</b>	<b>1,072</b>	<b>121</b>	<b>951</b>

**Open** – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

**Closed** – No further action required. All issues have been sufficiently resolved. Please note that 47 of the 341 security cases have been closed via corrective action plans.

## Summary of Most Commonly Violated Security Provisions



- A single security complaint can allege violations of all the provisions listed.
- The number of provisions listed do not correlate to the total number of security complaints.
- The total number of Administrative provisions represented on this slide,389; Technical 158, and Physical 71.